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Chief, SR Division
Chief, EE Division
Chief of Station, Frankfurt

REDSOX/AEROSOL

Establishment of Centralized CABOCHE 1 Operational
Unit in the United States

1. Introduction: During the undersigned's trip to Headquarters 1-8 March, the subject of transferring CARCASS training to the United States was discussed at length. In addition, the time devoted to problems arising in the WARNACK operation indicated that there must be better coordination of the entire CABOCHE 1 operation. It presents a somewhat confused picture at present with Project AEROSOL being handled in Frankfurt by who is responsible for all contact with the operational staff of CABOCHE 1 and also for supervising AEROSOL activities SPAIN and RADIO. CARCASS is now handled at CSOB/K and under the original plan, would move to Headquarters in July. WARNACK was conceived and worked out in the United States and proceeded as a project initially with only minimal CABOCHE 1 Headquarters involvement.

All of this was not important in the early stages, but as the projects matured and important decisions had to be taken, it became obvious that we would have to revise our approach to the problem of coordinating these varied activities. The suggestion of combining the CARCASS and WARNACK schools under one unit was brought up at Headquarters and concurred in in principle. The remainder of this dispatch will be devoted to outlining the CABOCHE 1, specifically CABOCHE 7, reaction and our final recommendation.

2. Factors Affecting Continued Collaboration with CABOCHE 1: Prior to embarking on the ambitious and costly plan outlined below, it would be well to take a good look at the results of this initial experiment in working with the organization because a lot has been said on both sides and it appears worthwhile to try to clear the air. Some of the problems recently faced in WARNACK were aggravated by the fact that CABOCHE 7 never felt able to give AEROGUNS 3 or 1 a clear mandate. Attachment 2 is an attempt to do this but, of course, is modified under the terms of the new plan. Nevertheless, the experiences of both CARCASS and WARNACK are sufficiently similar to enable us to draw some conclusions. First, we have to admit that CABOCHE 1 will never consent to these operations except on a joint "partnership" basis. Second, given this premise, and taking into consideration the calibre of most of the CABOCHE 1 supervisory personnel, it will never

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 be easy to work with CABOCHE 1 (merely because joint operations with any group or nation are difficult) although in time working relations will be considerably improved. Third, there exists on the part of many CABOCHE 1 members a real distrust of the United States and its role in regard to Russia brought on by (a) the weaker side's illogical but human resentment of a powerful ally, viz. England and America, a good example, and (b) a difference in ideological conviction. CABOCHE 1 is not "democratic" in the Western sense and never will be. If we try to force our brand on them or on Russia they will be the first to turn against us.

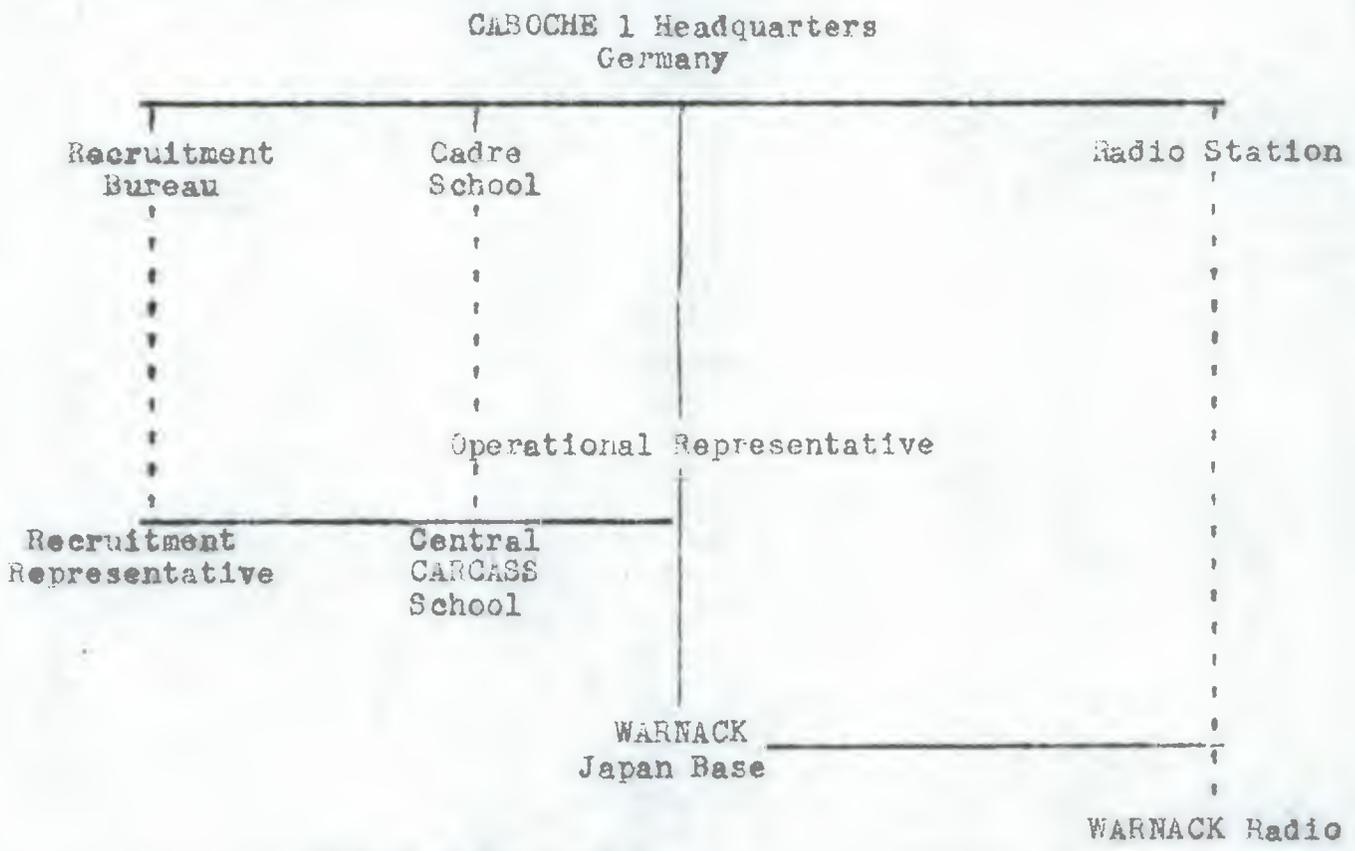
On the credit side of the ledger they have provided agent candidates and give every promise of being able to get more. There are six candidates they have assessed favorably now awaiting our check-out in Frankfurt. The fact that AEROGUN 3 in his recruiting effort in the States turned up some over-age in grade types is more a tribute to his lack of discrimination rather than to a basic fault in the CABOCHE 1 recruiting system. Also, the ideological motivation needed to persuade a man to enter and remain in the U.S.S.R. is present in large quantities in their personnel. Even CACCOLA 6, who is no flaming patriot, shows the effect of the appeal to ideology in his behavior. It does make a difference which increases in importance as our missions become more complicated and hazardous. Granted the CABOCHE 1 idea is to place their people in the U.S.S.R. to act in case of war or other event, but while there they will be of incalculable value to us. Aside from agents, CABOCHE 1 has done better than any other emigre organization in providing instructors. CACCOLA 3 is an adequate Sovietization instructor; CACCOLA 8 is a top-notch radio instructor; while CABOCHE 14 in Frankfurt has recorded some excellent lectures on tradecraft subjects.

Therefore, balancing these factors, and remembering that it is the single most effective emigre organization operating today, the undersigned recommends we go ahead. Our personnel will have to learn to appreciate the factors outlined above, whereas CABOCHE 1 personnel are going to have to overcome gradually their almost psychopathic fear and distrust of Americans. In this regard CABOCHE 7 has proven to be an excellent partner. His attitude is generally reasonable and he exerts a very moderating influence on other CABOCHE 1 members with whom we come into contact.

3. Recommendations: We have attached here translations of the CABOCHE 1 proposals on how to handle WARRACK (Attachment 2) and also their more recent suggestion on the Central School (Attachment 1) which were done hurriedly after the WARRACK plan had already been set up. The proposals set forth below represent a fusing of the two plans. The undersigned has not had time to work all of the details out with CABOCHE 7 and therefore many of the finer points discussed in the WARRACK proposals are omitted here. Suffice to say, if Headquarters approves, a new agreement will be drawn up to serve as a protocol covering all aspects of the problem. Headquarters should rest assured that in the new version we will clearly spell out those areas of decision where DYCLAM will have to exercise final decision or veto power. However, here is an outline which has CABOCHE 1's general agreement:

a. There will be appointed a Representative for the United States of the CABOCHE 1 Operational Staff (Upolnomochnyy Operativnogo Shtaba) who will act in all matters discussed below as CABOCHE 7's alter ego in the U.S. CABOCHE 7 has not yet decided on the individual, preferring to wait until he can visit the United States in June or July and personally select someone. This should be preferable from our point of view. We will then get a chance to influence and certainly pass on the selection.

b. Operating under the direction of the Representative will be the Central CARCASS School which will prepare agents for use in any part of the U.S.S.R. that we and CABOCHE 1 deem they can be best utilized. There is no point in setting up two separate schools when in actuality, the training will be almost the same regardless of mission. In addition to the school there will be a member of the recruiting staff assigned to spot and forward information on CABOCHE 1 candidates for all types of AEROSOL work including penetration operations. The Representative will also serve as the channel from the WARNACK base and radio in Japan to CABOCHE 1 headquarters. Communications between the Representative and CABOCHE 1 Headquarters in Germany will utilize our channels although we must recognize that if they want to use open mail to say certain things they will do it. However, CABOCHE 7 is in favor of sending as much as possible through us. The relationship between CABOCHE 1 headquarters, its subordinate sections, the Representative, his subordinates and the Japan based units is as follows:



_____ Chain of Command
 - - - - - Technical, advisory channel

c. The Recruitment Bureau Representative: This individual will spot, assess and recruit personnel in the U.S. and probably Canada for all of CABOCHE 1 activities. Personnel so recruited will be sent to the Cadre School in Germany where they will receive basic training (ideological and operational) which will also serve as further assessment. Following this, the candidates will be selected for penetration work and given assessment by DYCLAIM assessment officers, and if accepted, they will be sent to the U.S. for training. The others go into SPAIN and RADIO. Granted that this appears to be unwise security practice because of the fact that the individual will become known to others who will not become agents; in practice the idea has certain security advantages. If the recruits from the States know that they are being recruited for "organizational" work with CABOCHE 1, they are then supplied with a ready made cover for their friends and neighbors. When they come back for training, fully assessed, they are not allowed to re-contact their former acquaintances or family. Also, the Cadre School in terms psychologically preparing individuals to accept an operational assignment inside cannot be underestimated. It is very important and badly needed.

d. Here are the specific details on the Central Training School.

(1) Instructors: We have definitely rejected CACCOLA 2 and this rejection has been accepted in good grace. If the security problem is not restrictive, we still would recommend the subject of MGAA-245 as the head of the school. Not only would he inspire great respect on the part of the students and staff, but he is better adapted to working with Americans than are many of the other CABOCHE 1 people. He is mature and sophisticated and is not addicted to the popular "fear" of a stronger American. CACCOLA 3 is improving rapidly and will do a competent job as an instructor of general Sovietization and military subjects. CACCOLA 8 is a top flight radio instructor and his wife is an excellent cook; both make an indispensable team. If CABOCHE 14's health will permit, it would be very valuable to have him take at least one team through a cycle because he is outstanding in tradecraft subjects and is a highly practical, competent individual.

(2) Case Officers: would like to begin the next cycle, see it well underway and functioning smoothly before being re-assigned to other activity. would remain for the full cycle and dispatch in the spring of 1953. It is suggested that brother be assigned to this team because he speaks Russian well, can handle the para-military end of training in which specialized after leaves. There should also be an opposite number to the Representative, thus leaving the school case officers free to devote themselves to training. This will require a Russian speaker of some maturity, with operational experience. is suggested for this post because if his release can be obtained in one way or another, he will be excellent in the job.

(3) Agent Personnel: The six candidates will be assessed by the CSCE Assessment Unit during April. If found satisfactory they will be accepted and begin W/T training immediately.

(4) Timetable: The present team will be dispatched in May and after that one of the two case officers should proceed to Headquarters, team up with brother and set up the school. When it is all set, the other case officer follows with the personnel which passed assessment during April. It is unrealistic to look forward to dispatch prior to early spring 1953. During the fall and winter we can determine which will go into the Far East on the heels of the present WARNACK team and which will go to European Russia.

4. This program can be discussed with Sabarth during his trip. If general approval is given, we will work out a detailed protocol covering the over-all program and proceed with implementation following Headquarters' concurrence.

5. It is suggested that go over the attachment on WARNACK carefully because although the policy on planning will change as a result of the new consolidation, there are many points of interest. Of particular importance is the decision to permit AEROGUN / to go in with the team.

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THE CREATION OF A CENTRAL CARCASS
SCHOOL

1. The Central School will be established in the USA.
2. The cadre screening school will remain at CABOCHE 1 Headquarters.
 - a. The task of the cadre school is not only the teaching of operational disciplines, but includes:

(1) Verification of the bravery; capabilities; comprehension of the students in circumstances approximating those at the front, out of contact with their accustomed, and sometimes family, surroundings.

(2) Ascertaining the character of a given person, compiling a record of his knowledge and experience.

(3) Testing a person at phases of the work in Berlin or in other places.

3. Among our personnel, the following will be sent to the USA with the Central School:

Memorandum
Subject MCAA 245,291; KAUFFG 729,762

CACCOLA 2

CACCOLA 3

CACCOLA 8

CABOCHE 14

All of these persons will be sent to the USA together with their families, who will not be settled at the school, but in other places. DYGLAIM is responsible for the support of the instructors and their families.

4. Students will be admitted to the Central CARCASS School only upon completion of courses at the preparatory screening school at CABOCHE 1 Headquarters.

A student will have to observe this routine, regardless of where he lived before entering upon this work. Thus, a student who joins in the USA, for instance, will be sent to Germany to school, and thence to the Central CARCASS school, if he proves fit for CARCASS work.

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5. Students from the Central CARCASS School are assigned to selected theaters of operation, where they undergo additional technical training and training specifically adapted to the given theater.

Each group of students graduated from the Central School is accompanied to this or that theater of operation by one of the instructors, who stays with them until the time of their dispatch. After dispatching the given group, the instructor returns to the Central School.

6. CABOCHE 1 Headquarters is enabled to send a representative at any time, but no less than three times yearly, to the Central CARCASS School for the purpose of control and instruction, and also for the purpose of maintaining contact between the CARCASS school and the preparatory-screening school at CABOCHE 1 Headquarters.

NOTE: The expenditures connected with maintaining the Central CARCASS School in the USA and all of the transfers and moves involved therein will, by our calculation, increase the cost of each undertaking four or five times above the present. Without a concrete accounting of the rise in cost, it would not, in the opinion of CABOCHE 1 Headquarters be worthwhile to transfer the school to the USA.

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Atta ch 2 to MCAA 430

ORGANIZATION OF WARNACK

1. Aerogun 1 is dispatched to the USA and takes part temporarily in the work on WARNACK, directing a separate phase of the work, that being "the reconnaissance operation." Upon completion of this work, Aerogun 1 may be transferred by CABOCHE 1 Headquarters to another assignment in another location, more suited to Aerogun 1 in dimensions and scope.

If the circumstances demand that Aerogun 1 be transferred before the completion of his work on "the reconnaissance operation," this transfer would be effected through an agreement between CABOCHE 1 Headquarters and DYCLAIM, and the dispatch of a suitable replacement for Aerogun 1.

2. Headquarters removes the former prohibition against the dispatch of Aerogun 1 with students to enemy territory. The decision on the expediency of such a dispatch rests with Aerogun 1 and the representatives of DYCLAIM who are taking part in the same "reconnaissance operation." If the decision is made to send Aerogun 1 to enemy territory, CABOCHE 1 Headquarters must be informed in advance, so that there will be sufficient time to train and dispatch a suitable leader, who can handle Aerogun 1's affairs during his absence.

3. If there is a possibility of Aerogun 1's departure for enemy territory, CABOCHE 1 Headquarters will have to take the following measures in advance:

a. For the sake of security and from the point of view of the conspiracy of the entire operation, Aerogun 1 may not direct the whole project WARNACK, but only "the reconnaissance mission." He is to know as little as possible, and only that which has bearing on the matter under his direction.

b. From the organizational point of view, CABOCHE 1 Headquarters must prepare in advance a director or "authorized deputy" and send him to the base as a replacement for Aerogun 1 during his absence.

The decision of Aerogun 1 and DYCLAIM regarding his dispatch on an intelligence mission must be reached beforehand, not in the last few days, so that the "authorized deputy" from Headquarters may have enough time to arrive at the base and to take over the work.

4. Aerogun 1's tasks in regard to "the reconnaissance mission" include:

- a. The direction of student training at the school.
- b. Accompanying the students to the base.

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- c. The establishment and organization of the base.
- d. The dispatch of the students on intelligence missions.
- e. Direction of the students during the time that they are on reconnaissance, and communication with them.
- f. Receiving the students back at the base.

During the students' training time at school and during their guidance in further work, matters are to be arranged so that:

One of them will be prepared to take over direction of the base.

One of them will be capable of directing or teaching at the school.

One of them remains director and guide of further actions at the same area.

If Aerogun 1 is himself sent on reconnaissance, points d, e, and f will be carried out by the "authorized deputy", sent as replacement for Aerogun 1.

5. For reasons of conspiracy and security, Aerogun 1 may not be the director of the whole action WARNACK. Therefore the chief director of WARNACK will be appointed by CABOCHE 1 headquarters. Until such a person has been appointed, Aerogun 3 will fulfill the obligations of the position, assuming all rights and obligations.

6. The rights and obligations of director in chief of action WARNACK:

a. He is the authorized representative of CABOCHE 2 headquarters for action VARYA. He decides independently all questions connected with this action within the framework and term of the plan, approved both by DYCLAIM and CABOCHE 1 headquarters.

All questions outside the framework and term of the authorized plan are turned over to CABOCHE 1 headquarters for decision.

b. He directs and is in charge of all the independent sections of the work, within the compass of WARNACK.

c. He makes all personnel appointments and carries out all important transfers, and also determines the headquarters staff with the approval of CABOCHE 1 headquarters.

d. He keeps CABOCHE 1 headquarters informed all matters connected with WARNACK, sending his reports by mail. If, for conspiratorial reasons, it is not possible for him to use the mails, he turns over his report to DYCLAIM for

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transmission to CABOCHE 1 headquarters.

e. He decides all matters regarding the various phases of the work within the limits of action WARNACK together with the director of the given phase. In case of disagreement, the decision lies with the director in chief of WARNACK. His decision goes into effect immediately. The director of the independent work section may appeal the decision at CABOCHE 1 headquarters, but he does not have the right to prevent the decision from being carried out.

f. He promotes direct contact between the director of independent work sections and the corresponding directors at CABOCHE 1 headquarters, for the decision of current questions. For instance, the director of the reconnaissance mission with the director of the operational sector at CABOCHE 1 headquarters; the director of the radio station with the corresponding director at CABOCHE 1 headquarters, and so forth.

The directors of the corresponding work at CABOCHE 1 headquarters may give direct instruction on the techniques of the given work to the directors of the details on WARNACK, at the same time informing the director in chief of WARNACK of this. The notification is carried out through CABOCHE 1 headquarters.

7. WARNACK is composed of the following elements:

a. Reconnaissance Operation. Director Aersgren 1. His tasks are outlined in point 4. The date of his dispatch to the base is about July of this year. His return is scheduled for about August of this year.

b. Radio Station. The director is to be selected in the USA by the director in chief of WARNACK. The work will begin about August of this year. The preparation of technical equipment is proceeding now.

Duties of the chief of the Radio Station:

(1) He will select, jointly with the director in chief of WARNACK, technical and propaganda personnel to serve the Radio Station.

(2) He will prepare the technical equipment of the Radio Station on time.

(3) He will draw up a work plan for the station and propaganda material.

(4) He will depart with the station equipment for the place where he will work.

(5) He will organize and conduct the operation of the station there.

(6) He will maintain contact with the Radio Station director at

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CABOCHE 1 headquarters, to synchronize the propaganda work in accordance with the overall plan.

c. Recruitment and training of the group of students to be dispatched. Recruitment conducted by the representative of the Recruitment Bureau at CABOCHE 1 headquarters. At present the representative is Identity A. In all matter pertaining to selection, Identity A is subordinate to the Recruitment Bureau at CABOCHE 1 headquarters, however, synchronizing his work with the director in chief of action WARNACK. The financing of the work of the representative of the Recruitment Bureau is carried out through the director in chief of action WARNACK with the credits allotted to the Recruitment Bureau at CABOCHE 1 headquarters, but transmitted directly to the USA through the director in chief of WARNACK. The representative of the Recruitment Bureau acts according to the instructions of the Recruitment Bureau, which are transmitted to him through the director in chief of WARNACK. The representative of the Recruitment Bureau, through the director in chief of WARNACK, gives an account of his work to the Recruitment Bureau. The director in chief of WARNACK is in control of the activities of the representative of the Recruitment Bureau, both in regard to the work and to the spending of the money allotted to him. The director in chief furnishes a statement on the activities and an account of the expenditures to the Recruitment Bureau at CABOCHE 1 headquarters, together with his recommendations.

Duties of the representative of the Recruitment Bureau:

(1) To locate people living in the USA who are fit for revolutionary work in all areas of the plans PETYA 8 and WARNACK.

(2) To maintain personal or written contact with promising people.

(3) To ascertain the characteristics, character, degree of fitness, revolutionary fervor, capabilities and bent of the persons he has located, and to present all data to the Recruitment Bureau at CABOCHE 1 headquarters, through the director in chief of WARNACK.

(4) To arouse revolutionary fervor in those who have been selected and who are fit, if it is at present insufficient to attract the candidates to active endeavor.

(5) To carry out the instructions of the Recruitment Bureau at CABOCHE 1 headquarters, transmitted through the director in chief of WARNACK.

The selection of candidates for WARNACK.

From among the candidates produced by the representative of the Recruitment Bureau, the director in chief of WARNACK selects the persons he will need, and, when he has received the approval of the Recruitment Bureau at CABOCHE 1 headquarters in regard to this question, he presents the selected candidatures for confirmation at CABOCHE 1 headquarters.

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Terms: The representative of the Recruitment Bureau starts to work immediately. His work continues so long as there is work on the whole PETYA 8 plan. If WARNACK should be discontinued, his work would not come to an end, but the intermediary in the person of the director in chief of WARNACK is eliminated, and the representative of the Recruitment Bureau comes into direct contact with the Recruitment Bureau at CABOCHE 1 headquarters.

Changes in personnel: The replacement of the representative of the Recruitment Bureau in the USA, and also additions to the staff, are effected by the Recruitment Bureau at CABOCHE 1 headquarters, with the agreement and in accordance with the recommendations of the director in chief of WARNACK and with the approval of CABOCHE 1 headquarters.

Training: Training takes place in the school. At the head of the school stands its director, who is subordinate to the chief authorized deputy of WARNACK, however, he is in contact with the corresponding officers at CABOCHE 1 headquarters in regard to matters pertaining to the program, methods of training, and other questions relating to training (the cadre school at CABOCHE headquarters, the Institute for Study of the USSE, and others).

The faculty is composed of a suitable number of instructors, who are subordinate to the school director.

The curriculum is defined by a program worked out on the basis of previous experience at the cadre school at CABOCHE headquarters, other schools, and the requirements of the future work of the students.

The program is worked out by the school director, together with the director in chief of WARNACK and is presented for review to CABOCHE 1 headquarters. In composing the program, the experience and knowledge of DYCLAIM teachers is taken into consideration, and the parts of the program that are related to technical training are drawn up together with representatives of DYCLAIM, taking into account the technical means that can be supplied by DYCLAIM.

Instruction will be conducted in the Russian language, with the exception of technical subjects, for which no instructors who speak Russian have been found.

Candidates for the school are selected by the director in chief of WARNACK, together with the school director. Candidatures are submitted to CABOCHE 1 headquarters for approval. Only after this may a candidate be accepted into the school. A situation would be utterly intolerable under which a candidate had already been accepted into the school at the time that his candidature was submitted to CABOCHE 1 headquarters for approval, thus confronting CABOCHE 1 headquarters with a fait accompli.

Candidates are inducted into the school by the Recruitment Bureau at CABOCHE 1 headquarters, or by the director in chief of WARNACK, with the approval of the Recruitment Bureau.

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Obligations of the school director.

- (a) To train and educate the students for future revolutionary work.
- (b) To look after the morale of the students, and also to be their spiritual guide.
- (c) To maintain discipline in the school, order, and friendly relations, both among the students and between the students and instructors.
- (d) To look after the teaching program, improve it, always remembering, however, that this school is special, and therefore not to turn into a university nor into a trade school. This school is a training school for revolutionaries-combatants.
- (e) To remember that the students in this school have entered it voluntarily, that each of them is valuable, that each has his merits and his shortcomings, which must be reckoned with, and to act accordingly. The merits are to be developed and the shortcomings minimized.
- (f) To decide independently all matters pertaining to the internal life of the school and its organization, and to consult with the DYCLAIM representatives who participate in the school work. All questions on basic matters or questions not pertaining to the school are to be turned over to the director in chief of WARNACK.
- (g) To carry out the instructions of CABOCHE 1 headquarters and to perform his work in accordance with the demands of the director in chief of WARNACK.

Terms. The training of students for the reconnaissance operation is already underway. Ausgun 1 is the director of this course at the school.

The beginning of the following course will be determined by CABOCHE 1 headquarters, with the approval of DYCLAIM. However, it will not precede the completion of the course for the reconnaissance operation.

Personnel changes. Changes in the teaching staff of the school will be made only with the approval of CABOCHE 1 headquarters. Changes in the student body, whether by the addition of new students or the release of others, are made either by the Recruitment Bureau at CABOCHE 1 headquarters, by CABOCHE 1 headquarters itself, or by the school director jointly with the director in chief of WARNACK. The school director himself does not have the right to make changes personally, either in the teaching staff or in the student body.

8. Caboché 5 is not to take part either in the work on WARNACK as a whole or in the work on the reconnaissance operation, since it is his task to arrive in Europe as soon as possible to undertake his work at CABOCHE 1 headquarters. He should therefore be completely free from WARNACK.

9. Disposition of the leading workers:

a. The director in chief of WARNACK is a permanent resident of the USA. However, he has the right whenever necessary to go into the field to control the work where it is taking place, both for reconnaissance operation and the radio station.

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b. Aerogun 1 is located in the USA during the time that the students are being trained for his reconnaissance mission, but thereafter he will proceed with them to the base, where he will remain until completion of the action.

c. Identity A or someone else who is fulfilling his obligations is to be located in the United States.

10. Competence of the leading workers:

a. The director in chief is concerned with all matters pertaining to WARNACK as a whole.

He is acquainted with the work of the representative of the Recruitment Bureau in the USA and with the movements of people leaving the United States either for WARNACK or for Europe. In the latter case, he is not concerned with the future destinations of these people. His information pertains only to the cadres obtained by the representative of the Recruitment Bureau in the USA. He is not concerned with the general scope of movement or destination. He is also not concerned with the full scope of the work conducted by CABOCHE 1 headquarters, if he is not himself a member of CABOCHE 1 headquarters.

b. The director of the reconnaissance mission is concerned only with matters related directly to his action.

c. The representative of the Recruitment Bureau in the United States knows only those matters that relate to the persons he has recruited in the United States for CABOCHE 1 headquarters.

11. CABOCHE 1 headquarters must have both the right and practical means of sending a representative to the USA to decide, when necessary, questions or conflicts that may arise. This right and these means must be part of the natural order of things, and not an exception made as the result of long negotiations. Failing this, the organization of new matters will always be subject to difficulties and will not develop normally.

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