

VIA: AIR
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SECURITY INFORMATION

DISPATCH NO. MGMA-9902

SECRET
CLASSIFICATION

TO : Chief, SR
Chief, EE
FROM : Chief of Station, Frankfurt

DATE: 10 June 1952

SUBJECT: GENERAL— REDSOX/AESAURUS

SPECIFIC— Transmittal of Final Version CACCOIA 1 Protocol

REF: MGMA-9832

1. In accordance with the plan outlined in paragraph one of the referenced dispatch, a conference was held with CAPABLE 7 in Munich on 7 June to ratify the protocol forwarded to Headquarters as an attachment to the reference. The finalized version is attached hereto and comments on the changes made follow below. A sterilized English language text of the final protocol was given to CAPABLE 7 who accepted this as the official version. Copies of the sterilized text are being forwarded under MGMA-9903. CAPABLE 7 will make a Russian translation of this final protocol and a copy of it will also be forwarded to Headquarters as soon as it is received.

2. Comments: The notation "no change" indicates the paragraph is identical with that forwarded under the referenced dispatch:

a. Part I

- (1) Paragraphs 1 through 6 - no change
- (2) Paragraph 7 - amended to read:

CAPABLE 7 will appoint the senior CAPABLE 1 instructor for the school, who will be empowered to deal directly with the senior DYCLAIM officer assigned to the school on all matters pertaining to (a) the training of CAPABLE 1 trainees, (b) their morale and discipline, and (c) the implementation of their missions. (NOTE: The addition "their morale and discipline" was added by Jewett in order to bring all matters within the joint framework so that there would be no question about the DYCLAIM staff having authority in the field of discipline. The change "senior DYCLAIM officer" was changed to correspond with the phrase referring to "senior CAPABLE 1 officer".)

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(3) Paragraph 8 - amended to read:

CAPABLE 7 will be kept informed of the progress of the school and also afforded an opportunity to visit the school not less than three times during each training cycle. (NOTE: The change to "during each training cycle" rather than three times per year was requested by CAPABLE 7. He was on the defensive on this point and reflected to some extent the fear that he would be accused of having abandoned his responsibility for CACCOLA 1 entirely. Since we will probably not have, in the foreseeable future, more than one major training cycle per year, and since the factor of CAPABLE 7's limited time is still present, [] preferred not to make an issue of this question. There were other more important areas in which CAPABLE 7's concurrence in our stand was considered essential; hence, it did not seem advisable to haggle over a minor issue.)

(4) Paragraph 9 - no change

(5) Paragraph 10 - Amended to read:

The CACCOLA 1 School will be maintained on a covert basis and will be completely separate from the overt CAPABLE 1 structure in the U.S. Knowledge of its existence will not be made known to any CAPABLE 1 member who is not officially connected with it. The senior CAPABLE 1 officer at the school will be directly subordinate to CAPABLE 7. (NOTE: The wording of the revised paragraph is believed to be more specific.)

(6) Paragraph 11 - no change

b. Part II

(1) Paragraphs 1 through 4 - no change

(2) Paragraph 5 - Amended to read:

All logs, messages, copies, etc., pertaining to the W/T traffic will be held by DYCLAIM for reasons of security. This material will be made available to the responsible CAPABLE 1 officers, i.e. CAPABLE 7 and the senior CAPABLE 1 instructor at the school for their inspection upon request. (NOTE: CAPABLE 7 added to the CAPABLE 1 instructor since he will undoubtedly be shown certain aspects of W/T traffic.)

SECRET

-3-

MGMA-9902

(3) Paragraph 6 - Amended to read:

CAPABLE 7 will be kept informed of the progress of the operation by the senior instructor at the CACCOIA 1 School and by the DYCLAIM officer in Germany with whom CAPABLE 7 is in contact on matters pertaining to Plan "KARKAS". (NOTE: The addition "and by the DYCLAIM officer, etc." was prompted by CAPABLE 7's query regarding his position after the move of CACCOLA 1 to the U.S. He asked what would happen if he were to want to ask someone here about certain aspects of the CACCOIA 1 program as it was developed for him in the CAPABLE 1 instructor's progress reports. To cover this, [] will serve as a backstop on CACCOLA 1 matters after the unit moves to the U.S. However, CAPABLE 7 clearly understands that the day-to-day control of the operation rests with the unit wherever it is.)

(4) Paragraph 7 - Amended to read:

In the event that CAPABLE 1 members who will operate in the USSR are to be provided with contacts in the USSR, DYCLAIM will be informed of this plan prior to implementation of the operation. Addresses abroad to be used in letter S/W correspondence must be given to DYCLAIM in sufficient time to run name traces on these individuals. (NOTE: The present version is most explicit and insures that not only will we get the names but the intended method of exploitation of any internal USSR contacts CAPABLE 1 may have.)

3. The above revisions were worked out in an extremely cordial atmosphere. One concept which we took for granted was new for CAPABLE 7 and required some explaining. His view of CACCOLA 1 was that it was a training "academy" which turns out operational workers, but its responsibilities went no further. We pointed out that we disagreed, feeling that CACCOLA 1 is more than a school -- that it is actually a project covering operations as well. In addition, only the case officers who worked closely with the trainees can be expected to evaluate their traffic and actions adequately. CACCOLA 8 concurred in this and CAPABLE 7 appears to be prepared to accept the principle. He also accepted the principle that no delay in the answering of radio traffic because of the channeling of messages to him would be tolerated. However, these two principles obviously limit his control of the operation, and only time and actual practice will convince him

SECRET

SECRET

-4-

MGMA-9902

once and for all that this system alone is practicable. He was unable to delineate clearly routine answers from those affecting policy but stated that the solution of this problem will depend upon the calibre of the senior CAPABLE 1 instructor. If, for example, CAPABLE 14 is appointed, he will be authorized great latitude of judgment.

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Att. to MGMA- 9902

PROTOCOL

PART I: Establishment of a CACCOLA 1 School

1. The CACCOLA 1 School is for the training of CAPABLE 1 members for penetration operations in all parts of the USSR. The school will be moved to the United States as soon as deemed advisable by DYCLAIM.
2. The staff of the CACCOLA 1 School will consist of CAPABLE 1 and DYCLAIM instructors who will decide jointly:
 - a. The missions of each CAPABLE 1 trainee;
 - b. The training program, with the exception of W/T training, the direction of which will be the sole responsibility of DYCLAIM.
3. The housekeeping staff for the school will be furnished by CAPABLE 1.
4. DYCLAIM is solely responsible for the security, finances and administration of the school, including the choice of all training sites.
5. DYCLAIM is solely responsible for the dispatch of CAPABLE 1 trainees and for all technical training connected with the dispatch.
6. CAPABLE 7, as the senior CAPABLE 1 instructor, must approve the nature and place of missions to be assigned to CAPABLE 1 trainees after they have been worked out by CAPABLE 1 and DYCLAIM staffs attached to the school.
7. CAPABLE 7 will appoint the senior CAPABLE 1 instructor for the school, who will be empowered to deal directly with the senior DYCLAIM officer assigned to the school on all matters pertaining to:
 - a. The training of the CAPABLE 1 trainees;
 - b. Their morale and discipline;
 - c. The implementation of their missions.
8. CAPABLE 7 will be kept informed of the progress of the trainees and also afforded an opportunity to visit the school not less than three times during each training cycle.
9. All operational correspondence between CAPABLE 7 and the senior CAPABLE 1 instructor at the school will go through the channels of DYCLAIM.

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SECRET

-2-

Att. to MGMA- 9902

10. The CACCOLA 1 School will be maintained on a covert basis and will be completely separate from the overt CAPABLE 1 structure in the United States. Knowledge of its existence will not be made known to any member of CAPABLE 1 who is not officially connected with it. The senior CAPABLE 1 officer at the school will be directly subordinate to CAPABLE 7.
11. All CAPABLE 1 recruiting in the United States will be done for the CAPABLE 1 Cadre School. No CAPABLE 1 member may become a candidate for the CACCOLA 1 School until he has completed the Cadre School. Prior to assignment to the CACCOLA 1 School, DYCLAIM must assess and approve the candidates.

PART II: The Direction of Operations within the USSR

1. The direction of operational activities of CAPABLE 1 members in the USSR will be guided by the principles laid down in Plan "KARKAS".
2. The direction of these activities will be the joint responsibility of CAPABLE 1 and DYCLAIM.
3. The maintenance and security of W/T communications with CAPABLE 1 personnel in the USSR is the sole responsibility of DYCLAIM.
4. The day-to-day handling of W/T traffic will be carried out by the senior CAPABLE 1 instructor and the senior DYCLAIM instructor attached to the school.
5. All logs, messages, copies, etc., pertaining to the W/T traffic will be held by DYCLAIM for reasons of security. This material will be made available to the responsible CAPABLE 1 officers, i.e. CAPABLE 7 and the senior CAPABLE 1 instructor at the school, for their inspection upon request.
6. CAPABLE 7 will be kept informed of the progress of the operation by the senior CAPABLE 1 instructor at the CACCOLA 1 School and by the DYCLAIM officer in Germany with whom CAPABLE 7 is in contact on matters pertaining to Plan "KARKAS".
7. In the event that CAPABLE 1 members who will operate in the USSR are to be provided with contacts in the USSR, DYCLAIM will be informed of this plan prior to the implementation of the operation. Addresses abroad to be used in letter S/W correspondence must be given to DYCLAIM in sufficient time to run name traces on these individuals.

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