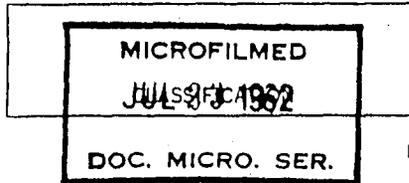


VIA: AIR
(SPECIFY AIR OR SEA POUCH)

DISPATCH NO. EGFA-13319



2P

TO : Chief, EE

DATE: 8 November 1956

FROM : Chief of Base, Frankfurt

INFO: COS
PCB

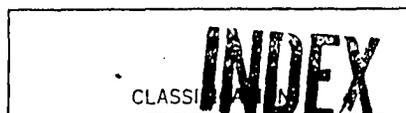
SUBJECT: GENERAL— Operational/UPSWING/CART/LCIMP/PROVE

SPECIFIC— CARETINA

Reference: EGFA-13091 *at. / Passivity* []

1. After the visit reported by the reference dispatch, [] heard nothing further from CARETINA until the evening of 15 October 1956, when Identity-1 called from Bonn to inform [] that CARETINA had suffered a severe heart attack and had been hospitalized in Identity-2. Identity-1 went on to say that CARETINA had collapsed in Bonn on 8 October, the day he left Duesseldorf, and that the physician who had been called had determined that he had suffered a massive coronary thrombosis. (This explains CARETINA's indisposition during his visit with []). For several days it had seemed likely that CARETINA would die, Identity-1 added, but the most critical period had passed and it now looked as though CARETINA would recover, though he would require complete bedrest for several weeks and would thereafter have to follow a very careful regimen for some time, avoiding any physical or emotional strain of any kind. CARETINA had asked to have [] notified and invited to call on him in the hospital during his next visit to Bonn. Identity-1 also suggested that [] would be well advised to check with him before making any visit in order to be briefed on the situation and so avoid upsetting CARETINA during the subsequent conversation with him.

2. It seems to [] that this may be the beginning of an operationally significant development in CARETINA's situation which can be exploited to the advantage of KUBARK--the sort of development [] has been watching for in the hope of being able to turn to account his relationship to CARETINA. Whatever CARETINA's present arrangement with UPSWING is, it will certainly be affected by his present and probably protracted disability, and it is more likely to change to his disadvantage than otherwise. If, instead of being able to look forward to a job with UPSWING in full civil service status, CARETINA should be relegated to some sort of side-pocket consultant position, he will not only feel the pressure of economic insecurity but the generalized bitterness he feels at the way life has dealt with him may focus on UPSWING. He will probably also feel that he may not have long to live, and the obligation and desire to provide for his family may well override his national and professional loyalties. (This applies equally well if he should in fact turn out to be a long-term Soviet plant.) Even if UPSWING treats him generously, the factors set



[]

in operation by this unexpected change in his situation will tend to change his perspective and diminish his view of the importance of UPSWING's (or the KGB's) interests as compared with those of his children. In any case, the operational situation with respect to him has now again become fluid with increased possibilities of yielding something of value.

3. In view of the above, [] proposes to shift from the passive role of a monitor to one of more active cultivation of CARETINA during the next few weeks, taking the position of a friend in need whose resources are limited but whose good will and concern for CARETINA's welfare can be depended on through thick and thin. Weekly visits, small gifts, and psychological support at this juncture should insure that CARETINA will both confide to some extent now and turn to [] later if he should decide to resume negotiations with KUBARK.

4. [] is fully aware of the need for caution in dealing not only with CARETINA but with Identity-1 and the latter's family. He will continue to exercise it and to report fully on all further developments as they occur.

APPROVED: []

Enclosure: Attachment A (Iden. List) SEPARATE COVER

Distribution:

- 3 -- EE, w/att DIRECT
- 2 -- COS, w/att
- 2 -- POB, w/att

① Dr. Waldemar LENTZ.
 ② University Klinik
 Bonn/Venusberg

IDENTITY LIST

Identity 1 -- (Dr.) Waldemar Lentz

Identity 2 -- University Klinik, Bonn/Venusberg