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ROUTING AND RECORD SHEET

INSTRUCTIONS: Use officer designations in the "TO" column. Number each comment to correspond with the number in the "TO" column. Draw a line across the sheet under each comment. Each officer should date and initial (check mark insufficient) before further routing. This Routing and Record sheet should NOT be removed from the attached RECORD document.

FROM: RI SR/MC				DOCUMENT NUMBER EGMA-34599 DOCUMENT DATE 6 JUN 58	
TO	ROOM NO.	DATE		OFFICER'S INITIALS	COMMENTS
		RECEIVED	FORWARDED		
1.	SR/3/MC	JUL 1 1958	JUL 3 1958	pb	NOTE: If a copy or attachments are removed from the attached document, note the location of the copy and/or attachment hereon. If this <u>RECORD COPY</u> is to be retained in the files at the Desk, call the Central File Section for permanent loan. <div style="text-align: center; font-size: 2em; font-weight: bold;"> KAPOK DESENSITIZED </div>
2.					
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5.	SEL/CE/RS	JUL 10 1958	JUL 21 1958	WF	see files <div style="text-align: center; font-size: 2em; font-weight: bold;"> KAPOK DESENSITIZED </div>
6.					file: 10 to 11: your retention JUL 1 1958 PANZINGER, Friedrich
7.			FEB 14 1962		
8.					
9.					
10.	SEL3	SEP 15 1958		chcc	
11.	EE/G				
12.					DECLASSIFIED AND RELEASED BY CENTRAL INTELLIGENCE AGENCY SOURCES METHODS EXEMPTION 3028 NAZI WAR CRIMES DISCLOSURE ACT DATE 2000 2006
13.					FILE NUMBER
14.	RI/FI	1400L			ENCLOSURE DATE PROCESSED 6 June 58
					ABSTRACT 0121 INDEX

VIA _____
(Specify Air or Sea Pouch)

DISPATCH NO. EGMA-34599
(201-)

UNRECORDED

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CLASSIFICATION 1962

TO Chief, EE DOCUMENT COPY ROOM DATE 6 June 1958

FROM Chief of Base, Munich INFO: COS, SR, BOB

SUBJECT { GENERAL UPSWING/CART/LCIMPROVE/Operations
SPECIFIC UJNUTLET Operation

LIAISON

Reference: EGMA-34326, 22 May 1958

ACTION REQUIRED: Traces on UJNUTLET (see separate cover attachment for identity).

1. INTRODUCTION: When [] was in Munich on 7 May, he mentioned to @Dr. KLAUSNER that he was familiar with only two or three Soviet cases involving UPSWING but assumed that there must be more. @Dr. KLAUSNER indicated that this was so and mentioned as an example their Fall PANOPTIKUM. (Addressees please note that we will hereafter use the KUBARK cryptonym UJNUTLET (see Identity one).) [] quite understandably, expressed his ignorance of this case. @Dr. KLAUSNER, in front of [] said there was no reason why this could not be discussed between a member of MB/LD/CE and @KUTZBACH, the UPSWING Headquarters' desk officer who is handling the case. Not long before this meeting with UPSWING, MB/LD was considering how best to re-open conversations on the case, which, as a matter of fact, was known to us in very general form as early as August 1956. However, for reasons not explicitly known to us but probably as outlined in paragraph 3 below, we had heard nothing more about it after 1956. Our problem as to how to re-open the matter was now easily resolved.

2. At a meeting with @KUTZBACH on 16 May, the undersigned referred to the above remarks and said he would appreciate a briefing on the case. After the UPSWINGER recovered from momentary shock he said he would comply with our request but unfortunately he had few details in mind and would have to check his files at his office before giving us an account (our meeting was at the MB/LD Liaison House). Apparently, @Dr. KLAUSNER had not told @KUTZBACH to expect KUBARK queries about the case. After assuring the latter that we understood @Dr. KLAUSNER's offer to discuss the case had been made in the light of broader cooperation

Distribution: 3 - EE (w/out att #2)
2 - COS 2 - SR 2 - BOB (w/att usc)
(w/att usc) (w/att usc)
Attachment: 1. Identities (usc)
2. Picture (usc)

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Ans: EGMW-6514

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between our two organizations, the undersigned was given a fairly comprehensive presentation, studded with not a few specific details.

3. BACKGROUND TO CURRENT DISCUSSION OF THE OPERATION AND INFORMATION CONCERNING THE AGENT: [] held two conversations with @RISCHKE on the subject of UJNUTLET in August 1956. These are reported in Memoranda for the Record dated 14 August and 21 August 1956. Copies of these memoranda were forwarded to Headquarters. Although, as previously said, we do not know exactly why there were no further discussions on the subject between [] and @RISCHKE, it is probable that @RISCHKE was told not to discuss the case with a KUBARKer. As a matter of direct concern to UPSWING only, it probably fell into that category of cases UPSWING specifically wished to keep from us. It will be remembered that UPSWING had been "legal" only for a few months at this juncture; so there was little or no ground on which [] could have "required" additional briefings, least of all from @RISCHKE who was soon to be removed almost entirely from contact with KUBARKers. Apparently as a result of new assignments given @RISCHKE, the handling of the case was transferred to @RONICKE according to @KUTZBACH. This came as something of a surprise to us for we did not know that @RONICKE had had any responsibilities other than the preparation and general processing of build-up material. @RONICKE went on to other duties (unknown) approximately September 1957. @KUTZBACH was then put in charge. This is an interesting development to note since as far as we know, he never before dealt with Soviet CE matters. @KUTZBACH handles several Hungarian cases, Czech cases, one MfS case, and now at least one RIS case.

4. So that all addressees will know what had earlier been learned about UJNUTLET, there are cited below the [] memoranda of his conversations with @RISCHKE. All of this information was also given to the undersigned by @KUTZBACH with the additional details cited in paragraph 5.

A. MEMORANDUM OF 14 AUGUST 1956:

I then mentioned UJNUTLET. @RISCHKE stated that this was a different matter, that UJNUTLET had an RIS mission against UPSWING, had been developed by them, and they were now trying to place him in touch with a Scheinnetz; i.e., a fabricated UPSWING intelligence net which UJNUTLET could use as build-up material to report to the RIS. @RISCHKE explained that the difficulty existed in the fact that UJNUTLET knew so many people and was at present in the Munich area. I agreed and said I hoped they would be able to tie him into a Scheinnetz away from Munich. In the middle of

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our discussion about UJNUTLET, @RISCHKE suddenly interrupted himself since he realized that he had never discussed UJNUTLET with me before. He asked, "Have we discussed UJNUTLET before?" I said "no". Then he asked, "Well, how do you know about him?". I said I did not know of his RIS mission or that he had been turned by UPSWING, but knew of his return and had even seen some Eastern press stories regarding him and had naturally wondered whether he, like many returnees of this type, would have an RIS mission. @RISCHKE was again somewhat uneasy and wondered whether under the new agreement [

] Comment: He meant after legalization.) it was really proper for him to brief me on cases of this type. I said that I should hope so and thought that the significant data of double agent operations would be something that we might profitably exchange, since we had noted on several cases (such as LENA, for instance) that both services might profit by such exchanges, etc., etc. @RISCHKE nodded and said "Yes, yes" in his superficial and rather phoney manner, and the conversation ended.

B. MEMORANDUM OF 21 AUGUST 1956:

@RISCHKE then talked about the UJNUTLET case. This case has the UPSWING cryptonym "PANOPTIKUM". UJNUTLET (i.e., the agent himself) has the UPSWING cryptonym "FINKENZELLER". This case, @RISCHKE explained, UPSWING had received from the Bavarian LfV president, Martin RIEDMAYR. In the early days before 1933, RIEDMAYR had been the superior of UJNUTLET in the police service. UJNUTLET had struck RIEDMAYR as an intelligent, energetic and ambitious young man. At RIEDMAYR's suggestion, UJNUTLET had studied, passed his "Abitur" and the required law examinations to qualify for bigger things within the police. After the Nazis took over, UJNUTLET's feat of being able to bone up on all this learning on his own had come to the attention of the new powers-to-be and they called this promising young man to Berlin. After UJNUTLET returned from Soviet captivity, he looked up his old friend, RIEDMAYR, confessed his RIS mission to him, and RIEDMAYR then turned UJNUTLET and the entire case over to UPSWING.

UJNUTLET now has the problem of being involved in some war crimes action which he had carried out or approved on the orders of Reinhard HEYDRICH, for which he is afraid he may now have to face trial. This is something in which UPSWING feels they can help him little, except possibly that he gets a good lawyer. My comment was that in many such cases, no action whatever has taken place since there was no one to push the prosecution. UJNUTLET is considered an interesting and promising RIS agent by UPSWING. He is being met

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periodically by a courier from East Berlin; @RISCHKE voiced the vague suspicion that this courier might be none other than the famous Max KLAUSEN of the SORGE case. Actually, I think @RISCHKE bases this hypothesis simply on the fact that this man is about the same age as KLAUSEN. @RISCHKE gave me a photograph and asked me to check discreetly if this man could be identical with KLAUSEN. On the back of the photograph there is a notation that it was taken on 28 May 1956 on the Isartor Platz.

UJNUTLET has also received a S/W carbon system. While UPSWING does not have an example of the actual carbon sheet, they do have examples of a piece of paper on which some of the chemical treatment has rubbed off; yet, so far, the UPSWING lab has been unable to analyse this system.

5. ADDITIONAL INFORMATION ABOUT THE AGENT: @KUTZBACH states that UPSWING has a very good impression of the agent. He is far above average in intelligence and works industriously and imaginatively. As indicated in the above quoted memorandum, there is the threat of court action against him in connection with war crimes. The agent discussed this with his RIS case officer last year and was told, interestingly enough, not to worry about the matter because the RIS knew that the charges definitely would not be pressed. Just how the RIS could be so sure leads to suggestive speculation. At any rate, the RIS is correct: @KUTZBACH says that the charges are not going to be pressed.

6. TARGETS: The agent was recruited by the RIS during his imprisonment in the Soviet Union and released early in 1956 on condition that he carry out an RIS mission. This is, as we understood it, primarily the penetration of UPSWING plus reporting on political events in the Federal Republic. He was given the names of two persons described by the RIS as members of UPSWING with whom he should make contact to cultivate and develop, either with a view towards recruitment or with a view towards leading the agent to other UPSWINGers. The names given him were (fnu) LEHNERT and Rudolf *FUMY (dob 25 March 1900, pob Munich), both of Dienststelle 12. The former was no longer employed by UPSWING, the latter is still in his job. As indicated in [] memoranda, the agent contacted his old friend and patron, RIEDMAYR, shortly after his return from the Soviet Union. The case was then turned over to UPSWING. UPSWING did not confirm to the agent that FUMY is a member of the organization, and the latter, who through normal social contact did see him from time to time was told to limit the frequency of the contact and gradually to break it off. Meanwhile, the agent became reacquainted with V-2982 (Identity 2), known to him before and during the war, though only casually. @KUTZBACH reports that at the time of the re-contact between the two, V-2982 was a member of Dienststelle 24 in

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Frankfurt. The agent, however, was not aware of this fact. In one of his first reports to the RIS, he was allowed to state that among his acquaintances was V-2982. The RIS responded immediately with the warning that V-2982 was indeed an UPSWINGER and that the agent should proceed very cautiously in developing his relationship with him. The RIS could feel satisfied that a direct contact had thus been made with UPSWING, and from their instructions to the agent it became clear that they wanted him to use this relationship as an avenue for the penetration of UPSWING. In answer to the question as to how the RIS could be so sure that V-2982 is an UPSWINGER, @KUTZBACH believes that since V-2982 was known to V-2600 (@ALBERT), this automatically signalled him to the RIS.

7. Since V-2982 is the agent's primary target, and since he is also the agent's UPSWING case officer, @KUTZBACH reports that V-2982 has been detached from Dienststelle 24 and has been established in a Zweigstelle for the sole purpose of running this operation. (This might seem to contradict, at least in part, some of our information on V-2982, which reveals that he was employed in Zweigstelle e of Dienststelle 161(24) as of April 1955. This could have been a matter of slotting, however.)

8. The agent writes so-called political reports on a fairly frequent basis for the RIS. Although @KUTZBACH was not able to remember the name of the particular organization on which several reports have been submitted, he states that the agent attends meetings devoted to discussions of "Eastern questions" and has become acquainted with many of the leading figures of the organization. Personality reports on these persons have been written and passed to the RIS. @KUTZBACH was able to remember that at least one of the people reported on is (Dr) Hans ~~NEUWIRTH~~ (born 16 May 1901, Joslowitz, CSR).

Personality report written by this person & passed to RIS
9. Few details are presently available as to what UPSWING's exact intentions are with regard to UJNUTLET. For the moment they are content to play the operation very slowly and to build it up as a major deception effort against the RIS. In this respect, they are developing their plans for creating the Scheinnetz referred to in the memoranda. Although exactly what is intended is by no means clear at this moment, @KUTZBACH said that a possibility is to dispatch V-2982 to various port cities such as Hamburg, Antwerp and Rotterdam for the ostensible purpose of making clandestine meetings. V-2982 will be instructed to report this to the RIS in the expectation that some response from the RIS will be evoked.

10. RIS CASE OFFICER: The agent has met his case officer only once in the more than two years since the UJNUTLET operation began. @KUTZBACH has agreed to give us as much information as UPSWING has on the man, and this will be forthcoming in the near future. Meanwhile,

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we know only that his name is ~~Heinz~~ MAISKY (pob and dob unknown), that he almost certainly is a member of the KGB, that he spoke with the agent in a Karlshorst Compound building which apparently is identical with the one V-18268 of UJDROLLERY 1500 has been in on various occasions. (There are no identifiable traces on MAISKY in Munich Base files; as soon as we receive more data from UPSWING, we will request KUBARK traces.)

11. COMMUNICATIONS: The RIS uses two means of communicating with the agent. One is via microdot, the other by courier. The agent has an S/W system (see [] memorandum above) which he uses to inform the RIS when he has reports for transmittal via courier. The RIS replies by microdot message in a letter with a West Berlin return address when and where to expect the courier. The courier appears at the appointed time and takes the reports from the agent. @KUTZBACH says that the courier has been dispatched about 8 times during the course of the operation. Meetings between agent and courier always take place at the arch entrance to the old Botanical Gardens, corner of Elisenstrasse and Sophienstrasse, Munich. One of the last RIS communications instructed the agent to come to East Berlin on 13 June for a meeting with MAISKY. This was later cancelled, however, because the RIS indicated it was highly satisfied with the agent's progress in cultivating V-2982 and the need for a meeting in East Berlin was not considered urgent at this time. The last time the agent requested that "Max", the courier, be dispatched to him, he was informed that the courier was in poor health due to a bad heart condition and could not come to Munich at the desired time. The agent was told to write his reports in S/W until a new courier system could be arranged, or until "Max" regains his health.

12. UPSWING still is playing with the suspicion that "Max" may be identical with Max KLAUSEN. The photograph (attached for SR, COS and BOB) of the courier has been compared with the photograph of KLAUSEN appearing in General Willoughby's book, but it seems doubtful that the two persons are identical. As further details on "Max" become available, they will be reported.

13. We have asked @KUTZBACH for a description of the agent's RIS case officer, the Karlshorst Compound safehouse used, Karlshorst telephone number given the agent; a description, and if possible, samples of the S/W used in the operation, and the microdot. When we receive answers to our request, they will be forwarded to addressees as appropriate.

Approved by: [] [] [] []

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SEPARATE COVER ATTACHMENT **KAPOK**

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Any traces which expand those listed below are requested from all addressees on (identity 1) Friedrich *PANZINGER (born 1 February 1903 in Munich, Germany;) Subject formerly lived in Berlin SW 69, Yorkstr. 72 and Munich 25, Hoelderlinstr. 10/I and presently lives at Munich 23, Parzivalstr. 41 (listed as a Regierungsrat z. Wv. (Wiederverwendung) (NOTE: CARETINA, as reported in EGFA-20300, 6 February 58, holds the same status as PANZINGER although CARETINA is listed as a Kriminalrat instead of Regierungsrat.) PANZINGER was arrested 3 October 46 in Linz, Austria (during the war he served in Amt IV of the RSHA) and returned from Russia in 1956 (Returnee Number E/20/55/22).

Munich Base traces reveal the following documents: EGIA-18139, 8 March 1956; BONN 4369, 19 July 1956; Attachment to EGFA-16555, 5 June 1957.

BOB is requested to ~~run~~ BDC checks on both PANZINGER and (identity 2) Fritz ~~BUSCH~~ (BDC forms are attached).

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