

POOR QUALITY DOCUMENT
SEE 201 FOLDER FOR HARDCOPY

SECRET
Security Information

DD/P - 5070

31 July 1953

MEMORANDUM FOR: Director of Central Intelligence
VIA : Deputy Director (Plans)
SUBJECT : Sergei Lvovich SHEPALKIN
REFERENCES : NSA Regulation No. 50-10
DALE 1134 (IN-49820)

1. It is requested that approval be granted for the entry into the United States this summer of Sergei Lvovich SHEPALKIN, formerly Rostislav Lvovich ANTONOV; his wife, Tatiana Afanasiyovna (RAUN) SHEPALKINA; and his six year old daughter, Margarita, under the authority of Section 8, Public Law 118 (81st Congress). Operational considerations render it essential for the subject to be brought out of Austria and into the United States with a minimum of delay. Exercise of the authority herein requested is the only legal method for securing the subject's permanent admission to the United States at this time:

a. Operational considerations preclude subjecting the subject to a carriage test in the field because of the sensitivity of his latest assignment. Without the favorable report of a carriage test, it would not be possible to obtain a Covert Security Clearance from IS and thereby bring the subject in under "black" entry procedures.

b. As stated in DALE 1134 (IN-49820), the American Consulate in Munich finds the subject's immigration papers in order, but because of a recently cut Russian quota, he will have to wait for approximately eighteen months before he can enter the United States.

c. The subject cannot be brought to the United States under a "preferential quota" (Section 203, a 1, of the Immigration and Nationality Act) because only atomic experts are in unquestioned demand in the United States. The need for other people well qualified in professions or trades will undoubtedly be contested by their counterparts in the United States.

2. This request is based upon the subject's past and potential usefulness to this Agency:

SECRET
Security Information

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCE: METHODS EXEMPTION 3820
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

POOR QUALITY DOCUMENT
SEE 201 FOLDER FOR HARDCOPY

SECRET
Security Information

- 2 -

a. Service to date

The subject, a former captain of the Soviet Army and later General VIKAROV's adjutant, has been utilized under various projects of the SR Division. In two of the projects the subject performed the ground work, but, due to lack of funds, those projects were abandoned. At present the subject is still connected, although indirectly, with REDCAP and CI operations. Reports from the field by the subject's case officers speak well of his capabilities, motivation, and suitability for intelligence work.

b. Future services

If this request is approved, the subject will be employed as a spotter and interviewer by the Assessment and Recruitment Section of the CI Domestic Operations Case in this country. It is felt that his emigration to the United States is desirable to assure his valuable services indefinitely and to secure his continued allegiance to American interests.

3. A detailed justification for the subject's admission to the United States, plus biographic details of him, his wife, and his daughter, are attached.


Chief, SR Division

Attachments - 4

- 1 - Justification for Admission into US of Sergei Lvovich SHERALIN
- 2 - Biographic Information on Sergei Lvovich SHERALIN
- 3 - Biographic Information on Tatiana SHERALINA, nee RAUN
- 4 - Biographic Information on Margarita Sergeevna SHERALINA

Distribution:

- 2 - DCI
- 1 - DDP
- 1 - Alien Affairs
- 1 - EC/REDCAP
- 1 - C/SR
- 1 - SR/3
- 1 - RI

SECRET
Security Information

SECRET
Security Information

Attachment I

SUBJECT: Justification for admission into the United States of
Sergei Lvovich **SHIBALIN**, formerly Kostislav Lvovich
ANTONOV

1. Kostislav Lvovich **ANTONOV**, who legally changed his name to Sergei Lvovich **SHIBALIN** in June 1950 in the Amt Für Kriminal, was a Soviet Army officer and later General **VLASOV**'s adjutant. He came over to the West in the same way that millions of his countrymen did. Captured by the Germans, he suffered the same miseries as other captives, but being more capable than the average, he managed to survive where others fell.

2. He acquired methods of dealing with various types of people, particularly with people who, like himself, came from the USSR. As **Vlasov**'s adjutant, he became personally acquainted with all the top leaders of the **Vlasov** movement. His knowledge of these people and of the conditions under which they had to exist while in the USSR, should be extremely useful to the work of the Assessment and Recruitment Staff of the IR Division, Domestic Operations Base. He could contribute useful advice concerning bona fides and locations of agent candidates.

3. The subject began to work for this Agency in June 1951. His first assignment was to examine the possibility of establishing a net of agents who could release balloons into Iron curtain countries. The subject's second assignment was to organize a network of agents to penetrate the Soviet Zone of Germany. He succeeded in organizing this net and did preparatory work for the previous assignment. However, these two projects were abandoned due to lack of funds.

4. At present the subject is a **REDSKIN** contract agent and is performing his duties under the supervision of qualified American **REDSKIN** personnel in Germany and Austria. Recent reports from the field speak well of his capability, desire to work against the communists, sincere anti-bolshevik motivation, interest in working for the AIC, and willingness to accept orders without question.

5. The subject's bona fides have been adequately established for his use by **REDSOR** in Germany and Austria and he has already received the following clearances:

Operational Clearance No. 1694, 14 November 1951
Provisional Operational Clearance, No. 898, 30 July 1951
Provisional Operational Clearance, No. 1685, 15 January 1952

It is felt that no security risk is involved in his immigration. As

SECRET
Security Information

POOR QUALITY DOCUMENT
SEE 201 FOLDER FOR HARDCOPY

SECRET
Security Information

- 2 -

far as is presently known, the subject has had no training in any foreign intelligence services.

6. After further development of the subject's capabilities, he will be used as a spotter and assessor by the Assessment and Recruitment Staff of 87/00B, if his immigration is approved. There will be no disposal problem, therefore, in connection with this agent.

7. The subject's wife and child are his only close relatives in the West. It is desirable, therefore, that his wife and daughter come with him to the United States, thus providing him with permanent moral support. Their admission would also eliminate the opportunity for Russian Intelligence to recruit the subject's wife and to control him through her.

SECRET
Security Information

SECRET
Security Information

Attachment II

SUBJECT: Biographic Information on Sergei Lvovich SHEBALIN

1. Nostislav Lvovich ANTONOV, later Sergei Lvovich SHEBALIN, was born on 30 November 1920 in Leningrad, USSR. The subject legally changed his name in June 1940 at the Amt für Kriminaltechnische Statistik in Munich, Germany and is currently using the name SHEBALIN. The subject's father, Leo Viktorovich ANTONOVICH, who changed his name at the end of the revolution to ANTONOV, was born in 1878 in Maryopol, Russia. Until 1918 he was an admiral in the Russian Imperial Navy, with the Black Sea Fleet and then with the Baltic Fleet. The subject's mother, Olga Pavlovna ANTONOVA (nee Shebalina), was born in 1891 in Markov and married the subject's father in 1919. The subject also has a sister, Yvutlana Lvovna Antonova, born in January 1925 in Leningrad. He has had no contact with his father, mother, or sister since the summer of 1942. He served as a captain, the subject was taken prisoner by the Germans in August 1942. He later joined VLASSOV's Movement and became Vlassov's adjutant. In August 1947 he married Tatiana Afanasyevna LASH. They have one daughter, Margarita Yergoyevna Shebalina, born in February 1948.

2. The subject was a member of the Komsomol from 1937 until 1942. As is true for many other Russians, this was a necessity rather than by conviction. He was not a member of the Communist Party. His religion is Greek-Catholic. He speaks Russian, and writes three languages, Russian, German, and Ukrainian.

3. From September 1928 until June 1937 the subject attended the 11th Middle School in Leningrad. After the seventh year the subject was transferred to the 19th Middle School and a year later to the 23rd Middle School, also in Leningrad. He graduated from the last school in 1939 with the highest grade and received as an award the Zolotoy Attestat (Golden Certificate). In September 1939 the subject enrolled in the Leningrad Electro-Technical Institute but three months later he became eligible for the draft during the Finnish War. He enrolled in the 3rd Leningrad Artillery School in December and remained there until September 1941 when he graduated as a lieutenant.

4. In September 1941 the subject was transferred to Moscow and then to Alabino, approximately 30 km. southwest of Moscow. At Alabino the subject took a short course in rocket artillery, lasting from September to November 1941. After that he was assigned to the 2nd Operational Company of the 1st Guards Rocket Launching Regiment. He was sent with his company to the front at Markov and remained there till December 1941. He became Chief of Staff of Company Headquarters

SECRET
Security Information

SECRET
Security Information

- 2 -

and was promoted to Senior Lieutenant, remaining there till the summer of 1942. In the summer of 1942 the subject assumed command of the 3rd Separate Guards Rocket Launching Company and was promoted to captain. He received the Red Star for action near Kupyansk on the Don. On 15 August 1942, while crossing the Don River, the subject took his company through German lines and engaged the German tanks. He was in a barn when a German tank hit the building. Germans rescued him and took him as a prisoner of war.

5. Eventually he was sent to the Vinnitsa Interrogation Camp, where he immediately became acquainted with General Vlassov. Later in 1943 when the Russian Army of Liberation was started by General Vlassov in Germany, the subject was assigned to Vlassov as Chief of Personnel and later as Adjutant. The subject remained with General Vlassov until the end of hostilities and narrowly escaped capture by the Red Army.

6. From the time when Germany surrendered until 1948, the subject escaped possible repatriation to the USSR, and existed mainly by purchase, trade, and resale of hard-to-get commodities. In 1948 he opened a grocery store and was the proprietor until very recently.

7. In 1947 he met Tatiana Afanasiyevna BAUM in Kempton and in August of the same year married her. They have one daughter, Margarita.

8. Physical description of the subject:

Age: 30 years	Apparent age: 25-28 years
Height: 175 cm.	
Face: Oval	
Sex: Male	
Hair: Black	
Scars: None visible	
Eyes: Brown	
Complexion: Medium	
Teeth: Good	
Posture: Good	
Build: Medium	
Prominent features: None	

SECRET
Security Information