

VIA: ATP  
(SPECIFY AIR OR SEA POUCH)

DIS: H NO. EGFA - 18126

**SECRET**  
MICROFILMED  
CLASSIFICATION  
JAN 17 1957  
DOC. MICRO. SER.

TO : Chief, EE  
ATTN :  
FROM : Chief of Base,

DATE: 17 September 1957

INFO: OOS

SUBJECT: GENERAL— Operational/CALL/Support

SPECIFIC— Subject of Reference

REFERENCE:

EGFW-4079 - *NR 6 July 56 [unclear]*  
*4079 28 Mar 57*

ACTION REQUIRED: See Paragraph 4

*Georg Umppenbach*

**MICROFILMED**  
**MAR 30 1965**  
**DOC. MICRO. SER.**

1. On the basis of discussions regarding the need for a support facility to be utilized by the various Country Desks represented by the Satellite Branch, approach was made to Subject, said approach having been approved by DIR 15434, and assessment initiated.

2. Subject was informed that he was being considered for a position by a U. S. organization and was queried on his attitude toward giving up his present position and accepting another. Subject showed considerable interest and stated that he would gladly make himself available, at such time as we had need of his services. Subject did not, at any time, request additional information as to the type of work involved, which made it quite obvious that he understood that the work would be of an intelligence nature.

3. In regard to the possibility offered in Paragraph 2 of reference, the undersigned is of the opinion that Subject is not an intelligence opportunist but conveyed that impression by statements made to [redacted]. The undersigned believes that Subject had a genuine desire to offer his services and was attempting to wrap himself in an attractive package, based on his primary commodity, an extensive experience in the I. S. field, in order to attract the attention of the appropriate authorities.

4. It is anticipated that Subject will be utilized as a member of an over-all Satellite Support Team, engaging, primarily, in surveillance activity.

5. Operational Approval is requested on the basis of information contained in PRQ Parts I and II, which are forwarded as separate cover attachments.

*att has been assigned slack number 172*

APPROVED: [Signature]

Distribution: (3)EE w/att S/C  
2-COS w/att

*No att to DOCUMENTS*

FORM NO. 51-28A  
MAR. 1949

**RI COPY**

*Now*

DECLASSIFIED AND RELEASED BY  
CENTRAL INTELLIGENCE AGENCY  
SOURCE METHOD EXEMPTION 382B  
NAZI WAR CRIMES DISCLOSURE ACT  
DATE 2007

*17 Sept 57*

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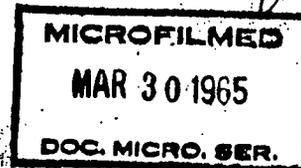
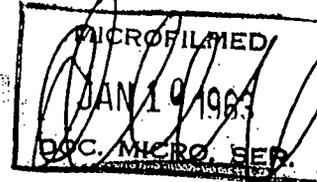
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EGFA-18126/1

12 September 1957

PERSONAL RECORD QUESTIONNAIRE - PART ONE

1. Georg U M P F E N B A C H
3. Same
5. 2 January 1897 in Frankfurt/Main, Germany
6. German
7. German
8. Frankfurt/M., Neue Kraeme 29
10. Hotel Portier (Desk Clerk)
11. (a) Liselotte DUCOMMUN, Nee UMPFENBACH, DOB 1 February 1924 in Frankfurt/M, married to Erwin Edward DUCOMMUN (1948) and residing at 3707 W. 187th St., Torrance, Calif.  
Children: Joan Ann, Steven and Linda (no DOB's given.)
- (b) Carola ERVING, Nee UMPFENBACH, DOB 28 November 1927 in Frankfurt/M, married to Raimond Kenneth ERVING (1948) and residing at 319 Pacific Ave., Bradley Beach, N. Y.  
Children (5): Carol Ann, Margaret, Cindy, Kenneth and Henry (no DOB's given.)
12. John STEVENSON, 601 South St., Chicago 43, Illinois. ✓
13. Father: Georg SEHRING, DOB 2 August 1871 in Langen, Hesse, Germany. Deceased 1927.
14. Mother: Margarethe UMPFENBACH, DOB 5 May 1876 in Langen, Hesse, Germany. Deceased 1937.
15. Anna Margarethe UMPFENBACH, Nee FISCHER, DOB 15 November 1901 in Frankfurt/M, Germany, German citizen. Married on 7 August 1922 in Frankfurt/M.
16. (a) Liselotte DUCOMMUN (see 11 a. above)
- (b) Carola ERVING (see 11 b. above)
- (c) Ingeborg UMPFENBACH, DOB 30 October 1936 in Frankfurt/M, Germany, residing at Frankfurt/M, Honburgerstrasse 22.
- (d) Peter UMPFENBACH, DOB 25 February 1942 in Gnesen, Germany, German citizen, residing at Frankfurt/M, Neue Kraeme 29.



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Page Two  
~~PRO PART I~~

19. (a) Personal Identity Card: HE-16-179489, valid until 25 May 1958, issued in Frankfurt/M.
- (b) Refugee Identity Card: B 6311/21/1048 issued in Frankfurt/M.
- (c) Drivers Licence: 38364 issued by the Polizei Praesidium, Frankfurt/M on 12 July 1951.
20. Unitarian
21. NSDAP from 1936 until 1945. Presently, no affiliation.
22. 1923 to 1924: Employed by the Compania Mercantile Argentina, Buenos Aires, Argentina.
- 1922 to 1937: Repeated trips to Switzerland to visit cousin, Director Friedel SOMMER, Zuerich, Bolleystr. 1. SOMMER presently residing in Lugano.
- 1935 to 1938: Repeated trips to France, Luxemburg, Belgium,, Switzerland in capacity as intelligence officer, SD.
- 1939 to 1944: Repeated trips to Hungary and Italy in same capacity as above.
23. Volks u. Mittle Schule, Frankfurt/M; Trade School, FFM; Intelligence school, SD and Police Academy, Berlin-Charlottenburg.
24. German, Spanish, English and French (read, write and speak) Good.
25. 4 April 1915 to 9 November 1918: Field Artillery Regt. 205, Schallmesstrupp 29, Lichtmesstrupp 78. Served on Russian and Serbian fronts as Unteroffizier. Awarded Iron Cross II/c.
- December 1918 to November 1919: Riechswehr Reiterregt. 11., Discharged on personal request.
- December 1944 to End WW II: Waffen SS, Fuehrer des Frontnachrichten-Kommandos "CLARA." Awarded KVK I and II, Clasp for Iron Cross II.
26. NSV 1935-45  
NSDAP 1936-45
27. Basic knowledge of law, especially, criminal law and a good background in the fundamentals of criminology.
28. Dependent on present salary. No reserve funds or major capital funds or property.

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Page Three  
PRQ PART I

29. 1921 - 1923: Fa. BOTT u. Co., FFM (volunteer) (sic)  
1921 - 1923: Fa. Eugen BAB, Bankers, FFM (cashier)  
1923 - 1925: Fa. Mercantile Argentina S.A. Buenos Aires  
1925 - 1930: Fa. HOFMANN u. MUELLER, Construction, FFM  
1930 - 1933: No permanent position.  
1933 - 1945: German Intelligence Service (SD).  
1945 - 1948: POW, interned.  
1949 - 1950: Fa. HOFMANN u. Co., Construction, FFM.  
1955 - 1957: Fa. Hans TAENZER, Alcoholic Beverage Wholesalers,  
FFM, left at own request.  
Present : Portier, Hotel Vera, Frankfurt/M, Mainzerland-  
strasse 118.

30. Corresponds with the following:  
(a) Gromovna/AGB/PINA, Katowice, Poland, Rymera 1 m 6.  
(b) (Dr.) Hendryk/CRZYSLAK, Gniezno, Poland, ul. Chrobrego 35.  
(c) Ernst/BIKOWETZ, Mureck, Austria.  
(d) Wolf v. TEPPNER/ Graz, Leechgasse 50, Austria  
(e) Ernesto Lambert/GARCIA, Buenos Aires, Rivadavia 318.  
(f) Jupp/KRAUTZ/ Bonn-Venusberg, Hollunderweg 29.  
(g) (Dr.) Wilhelm/ALBERT, Duesseldorf, Neanderstr. 36.  
(h) (Herr) Toni/ULLRICH, Rosenheim, Amselweg 1.

32. (a) (Dr.) Viktor/RATKA, Obermedizinalrat, Buggingen/Baden,  
Kallstrasse.  
(b) (Dr.) Karl/SOMMER, Landesrat a.D. Kreisgeschaeftsfuehrer  
des BHE, Bad Homburg, Hessenring 202.  
(c) Hans/TAENZER, Frankfurt/M., Trierischer Hof 2, Kaufmann.  
(d) (Oberregierungsrat) Hermann/BUETTNER, Innministerium Stutt-  
gart, wohnhaft Waiblingen, Ludwigsburgerstrasse.  
(e) (Rechtsanwalt) Jupp/KRAUTZ, Bonn/Venusberg, Hollunderweg 29  
(f) (Frau) Trude/KUEHNLE, Stuttgart S. Obere Bachstr. 46 (can  
be queried about Subject's status and conduct in Poland  
during WW II.)  
(g) Heinz/FRANKE, Prokurist der Tempo-Werke, Hannover.  
(h) (Oberingenieur) Oscar/THIEL, Frankfurt/M, Gleimstr. 4.

33. Held in Denazification Group II. No conviction.

34. 60 years	Erect
55 years	150 lbs.
176 cms.	Attached partial plate
brown	brown-grey
long	none
olive	slim

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Page Four  
PRQ PART I

35. As prepared by subject: "Als aufgeschlossener und vielseitig interessierter Mensch habe ich neben meiner allgemeinen Erahrungen auf dem Wirtschaftsgebiet Interessen auf dem Gebiet der Literatur und darstellenden Kunst. Mein besonderes Interesse gilt den allgemeinen weltpolitischen und weltwirtschaftlichen Erscheinungen und Zuständen, ueber die ich mich laufend durch lesen guter Zeitungen und Zeitschriften des In-und Auslandes zu orientieren versuche.

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SECRET 29

BA EGFA-15126/2

MICROFILMED  
MAR 30 1965  
DOC. MICRO. SER.

KAPOK

PERSONAL RECORD QUESTIONNAIRE  
PART TWO

MICROFILMED  
JAN 10 1962  
DOC. MICRO. SER.

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36. Cryptonym: To be assigned by Headquarters.
37. Subject is a neighbor of [ ] with whom he has had casual social contact since September 1956. Subject was first met for intelligence purposes by [ ] in Frankfurt/M on 3 September 1957.
38. Subject could be turned over quickly and securely to any German-speaking case officer introduced by [ ]
39. Through Subject's pre-war and World War II experiences in the German Sicherheitsdienst he has developed a knowledge and interest in intelligence work. In his conversation he expresses a consistently pro-West, anti-Communist outlook, and he has explicitly volunteered on several occasions to work with American intelligence against the Communists.
40. Subject was traced per EGFA 13435 dated 16 November 1956 with BOB, MOB, POB (US files only), MID, A-2, OSI and CIC. Please check replies from the above for results of our trace.
41. No direct control over Subject exists as yet. The means of gaining and increasing control are the following: (a) Subject has two daughters married to American citizens and living in the United States; (b) Subject has recently been unemployed and his present job is unsatisfactory in pay and challenge; (c) Subject is an occasionally critical, yet honest, admirer of the United States.
42. Subject believes he is in contact with American intelligence, organization unknown.
43. Please see the trace request and replies cited above and the attachments to EGFA 13463, 16 November 1956.
44. Subject has furnished information on his background and potential operational leads in Austria. We will be better able to evaluate the leads once we have debriefed him thoroughly.
45. Subject's principal duty will be to assist in the training and to serve as the indigenous leader of the FOB/SAT surveillance team.
- ✓ 46. Subject has not yet received any agent or surveillance training from us.
47. He will obtain information as directed by his case officer.

SECRET  
per CSN 43-26  
SECRET

17 Sept 57

[ ]

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KAPOK

all SIFA-15126/

PAGE TWO  
PRQ PART II

48. We have asked approval to pay DM 600 per month starting salary with provision for periodic increase if his performance deserves it. We will also give him occasional gifts of cigarettes and liquor.
49. No commitments have yet been made to Subject.
50. No.
51. Middle class.
52. Subject drinks and smokes moderately.
53. Subject is a responsible and considerate husband and father. he has worked hard under discouraging conditions to provide for his family since his release from PW camp after World War II. If treated with fairness and friendship, he will perform reliably and well. Although he has a tendency to gossip about his current activities, he probably will respond professionally and well to the need for complete operational security.
54. Subject could conceivably cooperate with any Western power that could identify its goals with his. In reality, however, he appears to have a modicum of disrespect for the intelligence capabilities of every Western power other than the United States.
55. Subject had about 14 years experience with German intelligence before and during World War II.
56. Sample of Subject's handwriting, his signature and picture will be supplied shortly.
57. PRQ Part I was filled out by Subject and discussed by him with [ ]
58. We consider Subject's background in the German Sicherheitsdienst as a positive factor in selecting him for the leader of the FOB/SAT surveillance team.

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[ 17 Sept 57 ]