# SOVIET BLOC OPERATIONAL PERIODIC REPORT

1 April 1972 - 30 September 1972

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#### SOVIET BLOC OPERATIONAL PERIODIC REPORT

1 April 1972 - 30 September 1972

# A. \_\_\_\_\_BASIC OPERATIONAL ASSETS

- 1. Inventory of Unilateral Sources
  - a. Access/Support/Tracking Agents
    - 1) CATOMIC/5, chauffeur for various Soviet Trade Mission (STM) officials.
    - 2) CATOMIC/6, a female journalist and member of the Foreign Press Association.
    - 3) CATOMIC/8, widow of AEBOGUS. She is presently in charge of the Slavistics Department teaching Russian at an institute of higher learning in the Bonn/Cologne area.
    - 4) CATOMIC/14, a German television sales manager.
    - 5) CATOMIC/15, a German intellectual presently employed by a political science foundation.
    - 6) CATOMIC/17, an employee of Ford in Cologne. His apartment shares a common wall with the STM building.
    - 7) CATOMIC/18, wife of CATOMIC/17. She is utilized as a neighborhood observer and is the operator of the photopoint located in the CATOMIC/17-/18 apartment.
    - 8) CATOMIC/19 a commercial officer in a Near Eastern embassy.
    - 9) CATOMIC/20, wife of CATOMIC/19. She is a fully witting and fully responsive agent.

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- 10) CATOMIC/21, a German national who manages one of the better night spots in downtown Bonn.
- 11) CATOMIC/22, an attractive German female involved with her husband, CATOMIC/56, in contact operations.
- 12) CATOMIC/23, a Greek national, who manages a leading Bonn jewelry store doing business with the Soviet Embassy.
- 13) CATOMIC/24, an elderly female German national who performs operational support tasks in the Bonn/Cologne area.
- 14) CATOMIC/25, the husband of CATOMIC/24, who is also performing a number of miscellaneous support functions.
- 15) CATOMIC/27, a female German university graduate student who has performed a number of tracking and contact missions in both true name and alias.
- 16) CATOMIC/31, a member of the German artistocracy well-connected in local university circles.
- 17) CATOMIC/32, a senior West European diplomat, who has been a BKCROWN agent for 17 years, serving a series of BKCROWN stations.
- 18) CATOMIC/33, a Near Eastern free-lance journalist and employee of a German governmentaffiliated cultural organization.
- 19) CATOMIC/34, the manager of the Bonn office of the SYNEW firm.
- 20) CATOMIC/35, a German national employed by a leading Bonn theatrical and cultural center.

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- 21) CATOMIC/36, a city planner who resides in Essen and who has carried out several tracking missions throughout the Ruhr area.
- 22) CATOMIC/37, an attractive saleswoman for a leading cosmetics firm which markets its products door to door.
- 23) CATOMIC/38, a radio astronomer who comes into regular professional contact with Soviet PCS and TDY officials who call upon his scientific institute.
- 24) CATOMIC/40, an attractive young German female available for contact operations.
- 25) CATOMIC/41, a German physician boyfriend of CATOMIC/40 who engages in contact operations.
- 26) CATOMIC/43, a Central American diplomat who contacts and reports upon select target personalities.
- 27) CATOMIC/46, an elderly lady who mans the OP/photopoint opposite the Soviet Embassy residential highrise.
- 28) CATOMIC/47, a Bonn realtor used extensively by the Soviets.
- 29) CATOMIC/48, the assistant manager of a leading hotel.
- 30) CATOMIC/49, Cologne businessman whose office shares a common wall with two STM apartments.
- 31) CATOMIC/50, a female journalist employed by a major Western European wire service.
- 32) CATOMIC/52, Duesseldorf businessman who does extensive business with the STM.

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- 33) CATOMIC/54, retired WODUAL national who has made her apartment available as an LP.
- 34) CATOMIC/55, a CARVER interpreter who has considerable poise and social presence and who is being trained for an access role.
- 35) CATOMIC/56, a Cologne business executive involved in commercial dealings with a Soviet primary target.
- 36) CATOMIC/57, a WODUAL female graduate student who poses as a German national in carrying out tracking and contact operations.
- 37) CATOMIC/58, a retired police official who performs tracking and investigative missions.
- 38) CATOMIC/59, a Duesseldorf businessman with extensive business contacts with the STM.
- 39) CATOMIC/60, the husband of CABATON/260, who is now an asset in his own right and is undergoing training as a tracker.
- 40) CATOMIC/61, widow who shares a common wall with AEWARBLER and who has made her home available for an audio operation.
- 41) CATOMIC/62, formerly CAPLENTY, Cologne student performing contact operations in Duesseldorf and tracking in Cologne.
- 42) CATOMIC/63, a tri-lingual female student being trained for tracking operations.
- 43) CATOMIC/64, wife of CATOMIC/62. Witting of, butnot yet participating actively, in her husband's operational activities.

44) CATWANG/1, [. a contract employee [ SECRET RYBAT

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- 46) CAFILBERT, a senior Deutschlandfunk official who is in infrequent contact with local Soviet Bloc officials.
- 47) CASENSE, an FDP functionary and CARVER official who comes into regular contact with senior Soviet officials.
- 48) CAEXCEL, an SPD functionary presently an advisor to a senior MHBRUSH official. He is a long-time contact of AEWARBLER.
- 49) CABAFFLE, Deputy Chief of the CARVER MHHARSH Desk.
- 50) CAORGAN, a journalist who learns of and reports on Soviet contacts with leading Germans.
- 51) CAMETRIC, a businessman who is our most (LHDRIVE experienced tracker. project)
- 52) CADRILL, a Frankfurt businessman in regular contact with the STM.
- 53) CAQUARRY, a Wiesbaden television producer who is in contact with Soviet Bloc targets during regular visits to Bonn/Cologne.
- 54) CACHIN/20, a student who has rented a safehouse for ops use.
- 55) CACHIN/22, a senior room assignment clerk (front desk manager) for a leading hotel in Duesseldorf.
- 56) CACHIN/100 and /101, a young married couple who function as an accommodation address.

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57) CACHIN/102, a young divorcee who acts as an accommodation address.

CATOMIC/5 remained in continuing close contact with primary target AEGREBE and his colleague AECRANE. Long business trips throughout West Germany, including many overnight stops, gave him unique opportunities for assessment. We now hope to take advantage of these opportunities to put other assets in contact or in some way manipulate AEGREBE toward recruitment. CATOMIC/5's regular access to the STM also provides information on many staffers assigned there.

CATOMIC/6 continues her close relationship with AETOWHEE, whom we hope to manipulate into a recruitment situation in the near future. She also knows primary target AESKIMMER primary target AERAM, as well as other and/ Soviet members of the third estate. She has met several Yugoslav officials stationed in West Germany, and most recently, a Polish journalist is dating her. CATOMIC/8 remains our best authority on Cologne academia and on West Germans studying Slavistics. We hope to locate at least one CATOMIC asset within this group. CATOMIC/14 has contact with primary targets AEWARLBER and AEDEFENSE. At the latter's invitation, CATOMIC/14 traveled to Moscow in July 1972 to pursue business discussions there. AEDEFENSE accompanied him, and they had considerable time together. CATOMIC/15 remains on the staff of a prestigious political science foundation. The foundation's activities include regular appearances before the group by leading political figures, the most important of which from our standpoint was Soviet Ambassador Valentin FALIN, who spoke at a group-sponsored function in late September. Although CATOMIC/15 is in closest contact with primary Chinese target STMINOT/1, his position provides him natural access to those Soviet Bloc personalities who participate in foundation programs. CATOMIC/17 and /18 function as neighborhood observers from their apartment which shares a common wall with the STM. CATOMIC/17 has gone on elicitation missions in the neighborhood and elsewhere, but CATOMIC/18 confines her activities to operating the photopoint located within their apartment.

CATOMIC/19 developed an exceptionally close relationship with primary target AERAVEN and his Embassy colleague

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AEWAXWING during reporting period. In addition to meeting at functions of the Junior Diplomats' Club, the three met often at a sauna bath near Cologne from where they would frequently go out for a night on the town. Meanwhile. CATOMIC/20, CATOMIC/19's witting and charming wife, complemented her husband's contact activities to the extent possible. Whereas CATOMIC/19 is an outstanding social catalyst, CATOMIC/20 is much the better of the two at making observations and reporting on them. CATOMIC/21, a passive but unpaid asset, remains ready to make contact with and entertain any primary targets we are able to lure into his nightclub. CATOMIC/22 has resumed contact activities after a hiatus resulting from her marriage. After cooperating in our recruitment of her husband (now CATOMIC/56), a film producer, she accompanied her husband and CATOMIC/14 on a trip to Moscow to negotiate a contract for the production of a series of films for television. She thus came into frequent contact with primary target AEDEFENSE. CATOMIC/23 continued to sell jewelry to the Soviet Embassy, and we hope ultimately to have him put on jewelry displays at the Embassy and STM highrise buildings on a regular basis. CATOMIC/24 and CATOMIC/25, another husband and wife team, continue to perform tracking and support missions. Their most significant single accomplishment during reporting period was to locate a suitable OP in an apartment building opposite the STM residential highrise. She also has the franchise to sell a leading brand of cosmetics door to door, giving her excellent opportunities to meet and assess persons of interest. CATOMIC/27's best contact is with our primary China target STMINOT, but she also attempted without success to make contact with primary Soviet targets AEGREBE and AERAVEN. Contact with the latter was to be at a mixed nude sauna which she proved willing to visit. CATOMIC/31 continues to trade on her name and her academic credentials in carrying out contact and tracking missions. She recently hosted a party at which her case officer met a number of potential recruitment leads. CATOMIC/32 has come into regular contact with primary target AERAVEN and with AEWAXWING. His observations form one more dimension to our triangulation of AERAVEN.

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CATOMIC/33 is the asset in closest contact with primary target AESKIMMER. In addition to meeting him regularly for discussions over dinner and drinks, CATOMIC/33 has observed

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AESKIMMER at functions the latter has attended with others. He is one of our sources of information that AESKIMMER may have a drinking problem. By virtue of his membership in the Foreign Press Association, CATOMIC/33 comes regularly into contact with many of AESKIMMER's colleagues. CATOMIC/34 was such a marginal asset during the early months of the reporting period, we came close to terminating him. It was, in fact, the termination meeting when he reported he had just met primary Termination has been suspended while we target AEGREBE. evaluate this new factor in the equasion. CATOMIC/35 is another marginal asset whose affiliation with a Bonn cultural and theatrical center and whose membership in the Society for the Promotion of Relations with the Soviet Union have argued against our instincts to terminate. We are hopeful his growing stature with the cultural center and its growing contacts with the Soviet Embassy will justify our continued retention of him. CATOMIC/36 has been more active against the East German target during reporting period, but has nevertheless carried out tracking missions for the Soviet program. CATOMIC/37 married during reporting period. For a time, she was uncertain whether her husband would permit her to continue her operational activities. He agreed, and she remains one of our more imaginative trackers. In addition to reporting on Soviets who call upon his scientific institution. CATOMIC/38 also provided disseminable intelligence information during reporting period. CATOMIC/40 has not been in contact with target personalities during reporting period. She volunteered to travel to India to make contact with one of primary Soviet targets, but problems with scheduling prevented her making the trip. She agreed to participate in several other schemes to make contact with local Soviets but none materialized. CATOMIC/40's boyfriend, CATOMIC/41, also geared up to make contact with local primary targets without success. On one occasion, he flew to Beirut primary Soviet target to make contact with [primary Soviet targe there. The operation was successful. CATOMIC/41 is a physician who has made pretext contact and elicited information from local physicians treating Soviets. He also met and assessed a medical journalist in contact with AEDEFENSE. One reason CATOMIC/40 and CATOMIC/41 have been less active than we had hoped was because he has been serving a six-month tour of active duty with the West German Army. CATOMIC/43 was out of town for much of reporting period while attending German-

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CATOMIC/47 has been one of our more active assets, showing and renting several pieces of property to newly-arrived The first such rental during reporting period was Soviets. to primary target AERAM, who is transferring his office from that city to Bad Godesberg. Several other rentals followed in short order. In addition to renting the properties to the Soviets, CATOMIC/47 assesses them and in at least one case laid the foundation for continued contact. CATOMIC/49 is a Cologne businessman whose office shares a common wall with apartments occupied by two STM officials. Although he will not permit us to drill from his office into the Soviets' apartments for mike and wire installations, he is willing to allow us to monitor quick-plant transmitters from an unused backroom in his office suite. We have fabricated a master key to the building and believe we can make surreptitious entries for the installation of such audio devices at any time. We have not done so as yet because neither Soviet is a primary target at this time. CATOMIC/50 is one of our newer but promises to be one of our better assets. As a journalist employed by one of Europe's leading wire services, she has ready access to a wide variety of persons and has already made contact with primary target AESKIMMER. Our original interest in CATOMIC/50 stemmed from the fact she occupies a common wall apartment with AETOWHEE. She has agreed to allow us to mount an audio operation from her apartment, and we hope to move on it as soon as AETOWHEE departs on home leave. CATOMIC/52 is a second-generation CATOMIC spotted to us by CATOMIC/5 as a man with excellent contacts

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with primary target AEGREBE. As a first step following his recruitment, we are debriefing CATOMIC/52 in depth on AEGREBE. He also knows AECRANE and over the years has met a number of other STM officers. CATOMIC/54 is a passive asset who merely allows us to use her apartment as an LP for the AEWARBLER audio operation. No wider use of her is contemplated. CATOMIC/55 is another of our better new acquisitions. As a CARVER linguist, she first came to our attention through her membership in the Junior Diplomats' Club. The Club is now so well-covered by other assets, we will not use her to attend its various events. Instead, plans to introduce her to AESKIMMER are under way. Additionally, she has proven herself an excellent source of information on CARVER personalities and other diplomats she meets through her overt employment.

CATOMIC/56 accompanied CATOMIC/14 to Moscow to negotiate a film contract there. He seems to be off to a particularly good start with AEDEFENSE who has suggested in addition to their business dealings, they might give one another language lessons. CATOMIC/56 is a charming, personable asset who relates easily and naturally to others. It would not be surprising if he could develop a genuine friendship with CATOMIC/57 is a much-needed addition to AEDEFENSE. our tracking mechanism: she is a WODUAL citizen who can pass as a German national. We can thus assign her those sensitivetype tracking missions we would normally not wish to levy on our German trackers. Although not attractive, she is highly intelligent with a winning personality. In her first tracking assignment, she spent two hours talking with the dentist who regularly treats Soviet Embassy personnel. CATOMIC/58 is still in training. As a retired insurance general agent, his ability to deal with people should make him particularly adept at tracking and investigative missions once he has learned how to operate in alias and otherwise mastered the groundrules under which he will have to operate. CATOMIC/59 is a Duesseldorf businessman who has had extensive business contacts with the STM over the past several years. He has agreed to report to us on those STM officials with whom he is in contact, but like CATOMIC/52, will not engage in any aggressive-type manipulation which could jeopardize his business. CATOMIC/60, the husband of CABATON/260, is just now undergoing the early stages of training as a tracker. CATOMIC/61 shares a common wall with the AEWARBLER home. She

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reports regularly on AEWARBLER's comings and goings and has agreed to attempt to establish a neighborhood friendship with AEWARBLER and his wife. The children already know one Most recently, CATOMIC/61 agreed to allow us to another. drill through from her home to install a mike and wire audio device to complement the transmitter already in place in AEWARBLER's upstairs bedroom. CATOMIC/62 has rented an apartment for our use as a safehouse has joined the staff of a national political party, has developed a female functionary of a national political youth organization, and has carried out various miscellaneous tracking activities. As he gains experience, we expect he will approach CAMETRIC in tracking ability. CATOMIC/63 has just been recruited as a tracking asset and is in the earliest stages of training. CATOMIC/64 is the wife of CATOMIC/62. Although we requested permission to make her witting of her husband's agent activities on our behalf, we have not yet formulated any specific plans for her training or operational commitment. These will come at the appropriate time.

CATWANG/1 enjoyed the most frequent access to the Soviet Embassy and the STM and to the residential highrise buildings until his employment with the SYNEW firm came to an end in July. He is working on a number of possibilities for commercial cover of the sort which should bring him again into renewed contact with the various Soviet Bloc installations in Bonn and Cologne. Meanwhile, he is engaged in a number of routine operational support duties. CATWANG/2 is his witting and cooperative wife. She assists him ably in social situations when he is meeting, assessing (and in one case passing) an agent prospect.

CAFILBERT continues to report not only on those Soviet Bloc officials he meets but on those about whom he hears. As a senior Deutschlandfunk official, he is in a key position to provide insights into how the Soviets and their Satellites work their own contact operations against government officials. CASENSE is in regular contact with AECAPTURE, who has proven to be one of the more interesting and talented members of the Soviet Embassy Political Section. He is a dangerous adversary and thus CASENSE's insights are doubly useful to us. CAEXCEL is one of our most valuable sources of TORADIUS information. Much of what he learns about Soviet intentions comes from his direct contacts with AEWARBLER, on whom he has reported in depth. CABAFFLE is a CARVER official whose duties

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bring him into regular contact with the Soviets but who does not particularly like them. He, therefore, enjoys telling us vignettes and giving us insights into their activities. CAORGAN has only limited contact with the Soviets, but as a journalist working primarily with the Catholic Church and with the CDU/CSU, he obtains considerable information relating to the Soviet and Soviet Bloc efforts to deal with these target groups. AECAPTURE is the Soviet about whom he has been able to provide the greatest amount of information.

CAMETRIC remains our best and most experienced tracker. He has devised any number of ploys for making contact with and assessing recruitment prospects. Among other things, he has a merchandise sampling ploy and can always fall back on public opinion sampling. CADRILL may become one of our agents in closest contact with the STM. Some years back he was in continuous contact with AEBATTLE, now one of the senior officers at the STM. Since CADRILL represents a number of firms doing or wanting to do business with the Soviet Union, we anticipate he will meet and assess many STM staffers over the next few months. CAQUARRY is one of the better informed members of our agent team. As the co-producer of a leading current eventstype television program, he also has excellent access to personalities of interest. His cooperation is limited by his location outside the area and by his unwillingness to jeopardize his position by engaging in aggressive manipulation, but he is proving well worth the modest expenditure of time invested in meeting with him. CACHIN/20, CACHIN/22, CACHIN/100, CACHIN/101, and CACHIN/102 remain passive support assets limited in their functions to maintaining safehouses and/or accommodation addresses.

Providence and and

b. Access Cooptees

<ol> <li>Identity B,</li> <li>Identity C,</li> <li>Identity D,</li> <li>Identity E,</li> <li>Identity F,</li> <li>Identity G,</li> <li>Identity H,</li> </ol>	Science Attache. political officer. economics officer. USIS officer. MAAG officer. Consul General. labor officer. SQUIB officer. SQUIB officer.
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#### 2. Comment on Unilateral Sources

Access/Support/Tracking Agents. Sixty-four a. persons have been encrypted under the CATOMIC Project since its inception. Forty-four are still active, and of these, twenty-three are involved in contact operations against Soviet Bloc targets. With respect to the Soviet target alone, fourteen CATOMIC assets are presently in close personal or professional contact on a regular basis. The remaining eight CATOMIC assets have been in contact with Soviet targets but were not in regular contact as of the end of reporting period. Twelve CATOMIC assets are used strictly on tracking missions. Nine CATOMIC assets, all of whom should probably more properly be encrypted under the CACHIN program, perform such passive roles as OP or LP keeper or. in two cases, are innkeepers who report strictly on Soviets who frequent their establishments. In addition to the CATOMIC assets, who devote the majority of their operational activity toward the Soviet target, nine assets carried under other projects devote at least a portion of their time to Soviet operations. Seven of these nine are in regular contact with Soviet targets, one is a full-time tracking asset, and one is a witting wife who assists the contact operations of her husband. Finally, five CACHIN assets, one of whom is a witting wife, devote the majority of their time to support of operations against the Soviet target. With regard to the Bloc target, seven of the twenty-three CATOMIC assets who are engaged in contact operations against Soviet targets are also in contact with Bloc officials. Four of the nine assets encrypted under other projects are in touch with Bloc officials. As the process of acquiring new CATOMIC assets and eliminating marginal or unproductive CATOMIC assets continues, the numbers assigned to newly acquired CATOMIC assets may tend to give a distorted picture of the number of CATOMIC assets presently on the active roles. The forty-four CATOMIC assets presently active come fairly close to our continuing goal of forty fully-employed, highquality CATOMIC assets committed against the Soviet Bloc target. With a strength of twenty-five case officers, each of whom works at least part time against the Soviet target, forty CATOMIC assets, or an average of 1.6 CATOMIC assets per case officer is not an unrealistic figure.

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b. Access Cooptees. One LHFALL access cooptee departed PCS during reporting period. Meanwhile, Identity C and Identity F provided needed assists. In September Identity J arrived to take up his duties. Identity J worked very closely with when he was assigned in that city. We anticipate the same fThe cooperation from him in his new position in 

## 3. Potential Access/Tracking/Support Agents

Identity K a. Ъ. Identity L Identity M с. d. Identity N Identity 0 e. 'Identity P f. Identity Q g. Identity R h. i. Identity S Identity T j. Identity U k. 1. Identity V

Four of the fourteen potential agents listed in the previous report were recruited during reporting period. One potential agent departed the area, and our interest lapsed in a second. Development of the remaining eight continues.

4. Rejected Agent/Informant Candidates

- a. Susan BECKER, \_\_\_\_\_ employee of firm doing business with AEGREBE, dropped when she resigned her position and left the area.
- b. Henry U. RACHNER, , , manager of the airport motel in Hannover, dropped from consideration after experience at the most recent Hannover Industrial Fair indicated an asset in the motel probably was not necessary.
- 5. Telephone Monitoring Capabilities

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·a.

Soviet Embassy. We continued to receive raw tapes from the Soviet Embassy covering the two main Embassy switchboard lines. There were no perceived instances of CAWHISPER sanitization of the tapes during reporting period. We also continued to receive coverage from CAWHISPER on three telephone lines installed in the Embassy residential highrise. One of the lines, located in the office of the building superintendent, was particularly productive. The other two lines, one located in the dispensary and one located in the apartment of Identity W were only marginally useful. In late September, we learned a third line had been installed in the Embassy. By their own admission, this number is to be used by members of the Soviet colony only and is not to be given out to outsiders. We have requested coverage of this line from CAWHISPER.

b. Soviet Trade Mission. We continued to receive transcripts from the two main switchboard lines into the Trade Mission during reporting period. CASCOPE provided the tapes and LNGLUE the transcriptions. LNGLUE reported no cases of sanitization. We commenced receiving tapes from three lines into the Trade Mission residential highrise building during reporting period. We recently requested coverage on three other lines, namely those bypassing the switchboard and located in the offices of AEGREBE, AEFORT, and AEBUNTING, respectively. LNGLUE will transcribe.

- c. Soviet Union Heute. We continued to get coverage of this small, but important, Soviet installation from CASCOPE on a timely basis. They do not transcribe these tapes, so we provide them copies of our transcripts.
- d. Comments on Telephone Monitoring Capabilities.

The telephone taps provide us our best composite look at the day-to-day activities of the local Soviet installations. The calls in the German language provide insights into the types of activities the Soviet Embassy and Trade Mission engage in with respect to West German governmental,

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commercial, cultural, political, and other circles. They are also our best source of leads to persons in contact with our primary targets. The Russian-language calls provide insights into the interpersonal relationships within the Soviet colony.

6. Unilateral Residential Audio. Only one audio operation against a Soviet target was active during reporting period. This was the operation against primary target AEWARBLER. Planning and preparatory work for other audio operations has gone forward as indicated below.

a.

AEWARBLER. The audio device implanted in AEWARBLER's study is functioning well. It is monitored from an LP located in the apartment of CATOMIC/54. Production includes AEWARBLER's half of many interesting telephone conversations "take" which has convinced us a tap on AEWARBLER's telephone would be possibly even more useful than the audio device. To this end, we have recruited CATOMIC/61 who shares a common wall with the AEWARBLER home and into whose home a unilateral telephone tap could be routed. We have fabricated a master key for the local Bundespost telephone junction boxes, so placing a unilateral telephone tap on the AEWARBLER phone should be easy. The audio device presently located in the AEWARBLER home is upstairs in AEWARBLER's study. While we cannot distinguish the dialogue from downstairs, we have monitored sufficient "take" from there to indicate an audio penetration of the living room/dining room area would be worthwhile. MKNOVA techs have completed the technical survey necessary for such a mike and wire penetration through the CATOMIC/61 common wall. We will first submit our ops plan for a teletap operation.

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b. <u>AETOWHEE</u>. We have recruited CATOMIC/50 who shares a common wall with the AETOWHEE apartment. We also have control of an LP in a neighboring building. We have Headquarters permission to proceed with an audio penetration of the AETOWHEE apartment and plan to do so in early October.

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We plan initially to make this a mike and wire installation monitored by CATOMIC/50 from her own apartment. She is a busy professional woman and should it prove she has insufficient time for monitoring duties we will consider installing a transmitter in her apartment which will radiate a signal we can receive in our other LP. c. <u>CAWOOLY</u>. MKNOVA technicials have masterkeyed the office building in which the CAWOOLY offices are located. A surreptitious entry of the CAWOOLY office took place in May 1972. The premises were photographed, a location for the concealment of a woodblock transmitter was determined and a sample of wood from the desk in question was obtained. Headquarters suggested an acoustical survey of the office which we postponed until

return from home leave, having decided he would be the best asset to carry out this mission. MKNOVA expressed doubts the acoustical survey would be worthwhile, and upon his return, for the stated that a number of such surveys would probably be required to determine the average noise level in the office. We, therefore, requested permission to proceed without making the acoustical survey but as of end of reporting period, Headquarters permission has not been forthcoming.

d. <u>CATOMIC/49 Building</u>. MKNOVA techs masterkeyed the CATOMIC/49 building which houses four Soviet apartments. The C/49 office shares a common wall with two of these apartments. We recruited C/49 at a time when primary target AEJAY occupied one of the apartments sharing the common wall. AEJAY has since departed PCS, and his apartment appears to be used as transient billets. The occupant of the other apartment sharing a common wall with C/49's office is Identity X, whom CAWHISPER considers to be known AEKICK on the basis of AESMASH information. (Our AESMASH material does not include Identity X's name.) C/49 has an unused back room in his office which he is willing to make available

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to us as an LP. He does not, however, want us to drill into either common wall apartment from his office, because he does not want any flap which could connect him with an audio op. Any audio op mounted in the building, therefore, will have to involve a transmitter plus a receiver located in the C/49 office. Since we are not presently targetting any occupant of the building, we meet with C/49 periodically to assure him of our continued interest in an eventual audio op but have no specific plans to mount one at this time.

- CATOMIC/5. We installed a hidden microphone and е. recorder in C/5's country cottage where he periodically entertains AEGREBE. Unfortunately, C/5 has reservations about the use of this device which we have not been able to overcome. Most of these reservations center around C/5's concern he will be seen activating the switch or that the recorder will fail to turn off automatically and create noises in the attic where it is concealed. We proposed a survey to determine how the recorder and switch could be relocated or otherwise modified to overcome C/5's concerns, but Headquarters feels the added insight into AEGREBE probably does not justify the expense. We will attempt to overcome C/5's reservations without making alterations. If we are unable to do so, we will remove the installation.
- f. <u>CATOMIC/47</u>. C/47 has rented apartments to AERAM, <u>Identity</u> Y, Identity Z, and Identity AA. Identity AA is opening up the first Bonn office of Identity BB and the premises C/47 rented him will serve as living quarters as well as office space for Identity BB. C/47 obtained possession of the key to Identity Y's apartment and made it available to us for copying. He may be able to obtain AERAM's key before AERAM moves into his apartment but says he will not be able to obtain the keys to the apartments into which Identity Z or

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Identity AA move. Audio operations against these individuals will depend upon their being selected as primary targets with whom we have well established agent or staff contact. Thus, the "take" from such an audio operation can be used aggressively in the manipulation of the target.

#### 7. Unilateral Surveillance and Investigative Capabilities.

Surveillance. Surveillance operations are a. carried out by personnel on a rotational basis. All officers and many female personnel are surveillance trained. Vehicles available for surveillance include four sedans plus a van suitable for surreptitious photography or for use as a mobile LP. The four sedans have been converted to a modern 50-watt transceiver system. We have five compatible porta-mobil transceivers, one of which can be installed in the van. The others can be used in rental or other vehicles. Finally, we have two body concealable kelcom transceivers also compatible with our other equipment. The van and two sedans are garaged in the Bonn/Bad Godesberg area, and two sedans are garaged ( ( for more convenient use by Surveillance vehicles are maintained by

#### b. Investigations.

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 <u>Records Checks</u>. Most routine address and vehicle registration checks with various local police and administrative offices are handled by \_\_\_\_\_\_\_ and /\_\_\_\_\_ Two officers in each Operations -Group have been issued alias documentation and have been trained in the investigative techniques.

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- 3) Neighborhood Checks. CAMETRIC continues to be the most experienced and most active , he has a tracking asset. Like/ number of pretexts such as consumer surveys. public opinion polls, etc. for use in these missions. CATOMIC/24, CATOMIC/27, CATOMIC/35, and CATOMIC/37 are documented for similar tracking missions. CATOMIC/57, CATOMIC/58, CATOMIC/60, CATOMIC/62, and CATOMIC/63 are in training for use as trackers. CATOMIC/57 will be of particular use since she is a WODUAL citizen who can pass as a German national. Sensitive checks continued to be performed by case officers who can pass as non-WODUAL nationals.

8. Liaison. ( facets of liaison with CAWHISPER. is responsible for all

#### B. SOVIET BLOC TARGETS

1. Human Targets.

- a. AERAVEN, AEKICK officer, primary Embassy target.
- b. AEGREBE, suspect RIS officer, primary STM target.
- c. AESKIMMER, AEKICK officer, and son of an AEKICK officer, primary media target.
- d. AEDEFENSE, suspect AEGRIP officer, primary Embassy target.
- e. AEWARBLER, primary TORADIUS target.

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- f. AETOWHEE, former primary media target, against whom a recruitment is planned.
- g. AEWILLET, AEKICK officer, former primary Embassy target, dropped during reporting period.

## 2. Operational Development of Soviet Bloc Targets

This 34-year old, young AEKICK officer, AERAVEN. a. continues to be our most challenging primary target. He drinks and had a serious accident while drunk, but probably does not have a drinking problem, as such. He is gregarious, or at least attempts to be, and engages in activities which bring him into contact with numerous West Germans and other Westerners. He came to/ attention by virtue of his regular attendance at a local Junior Diplomats' Club, a social and cultural grouping of lower ranking members of the Bonn diplomatic corps. CATOMIC/19 has a particularly close social relationship with AERAVEN, and they meet frequently at a sauna bath near Cologne from which they often go out for a night on the town. CATOMIC/20 meets AERAVEN at all Junior Diplomats' Club functions which include wives. L. and his wife and CATOMIC/32 and his wife met AERAVEN at functions of this club. has met him there, Much of reporting period was devoted to [ attempts to film CATOMIC/19 and AERAVEN in a drinking and sex party at CATOMIC/19's "bachelor's pad" in Cologne. We were nearly frustrated by CATOMIC/19's and AERAVEN's summer vacations, and by CAWHISPER surveillance of AERAVEN and his colleague, AEWAXWING. Finally, in mid-August, we obtained coverage of an evening at the "bachelor pad" involving CATOMIC/19, AERAVEN, and two girls. Almost immediately thereafter, CATOMIC/19. returned to his homeland at the request of his service. Upon arriving, he was requested to report in detail about his contacts with AERAVEN and AEWAXWING. He is still at home. The task for

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the upcoming reporting period will be to manipulate AERAVEN into a recruitment.

b.

AEGREBE. This 35-year old, first-tour, suspect RIS officer was chosen primary STM target on 24 January 1972. He travels widely throughout the Federal Republic spending approximately three days each week on the road. A majority of the time he is chauffeured by CATOMIC/5, a German driver made available to STM by a consortium of firms doing business with AEGREBE's state trading corporation. We obtain reporting in depth on AEGREBE from CATOMIC/5. Meantime, we recruited CATOMIC/52 during reporting period. He is the business manager of one of the five firms in the consortium and thus has close contact with AEGREBE. His reporting furnishes an interesting new dimension to our composite picture of AEGREBE. In the most promising operational development, AEGREBE and CATOMIC/34 met late in reporting period after AEGREBE was named a member of the STM Mestkom, with which CATOMIC/34 does business on a regular basis. CATOMIC/34 was, of course, unaware AEGREBE was a primary target when he reported on him, adding he found the man particularly sympathetic. Independently, CATOMIC/5 reported AEGREBE found CATOMIC/34 a sympathetic It appears there is a chemistry between person. the two which we may be able to exploit. Finally, we have obtained an OP opposite the residential highrise building where AEGREBE lives and have mounted surveillance exercises from there.

c. <u>AESKIMMER</u>. This 31-year old, first-tour AEKICK officer is, according to his traces, the son of an AEKICK officer. He is the junior member of the Bonn office of Identity CC. We named him our primary media target replacing AETOWHEE in February 1972. AESKIMMER appears to have overt or covert responsibilities for Arab matters. In any event, his interest in Arab affairs brought him together with CATOMIC/33, and the two meet regularly. CATOMIC/6 meets with AESKIMMER from time to time and has given her usual, insightful assessment of him. She was the first person to

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suggest that wine, not women, was AESKIMMER's weakness, and other information appears to confirm this observation. During one telephone conversation with Mrs. AERAM, Mrs. AESKIMMER mentioned her husband had been put on the wagon by the Embassy. We got our best look at AESKIMMER in June. Over a long weekend, he first took part in a panel discussion in Osnabrück on problems of the Middle East along with [\_\_\_\_\_ Aware of the arrangements. we were able to borrow CACLOCK/15a from lin time to have her participate in the social arrangements before and after the discussion, including dinner and a long night on the town. We dispatched CATOMIC/50 to Osnabruck and she. too, managed to wangle an invitation to join the and CATOMIC/31 were in group. L the audience to observe AESKIMMER "on display". They later observed AESKIMMER at dinner from across the room. The following day, AESKIMMER drove CATOMIC/50 back to Bonn and immediately caught a train for Hannover where he took part in an Identity DD field trip. (nd CATOMIC/33 were on that trip, and CATOMIC/33, in particular, spent many hours with AESKIMMER. CAMETRIC continued to cultivate two residents of the AESKIMMER building in hopes of recruiting a common-wall neighbor for purposes of mounting an audio operation against the AESKIMMER apartment, but it now appears he is moving. Planning for an audio operation against AESKIMMER's office is in the advanced stages. With respect to this latter operation, Headquarters is concerned that the ambient noise level in the office will seriously affect the quality of audio "take". We understand and respect but do not share Headquarters concern. We hope to make a decision during reporting period on whether to attempt a recruitment of AESKIMMER. Otherwise we will drop him as a primary target and move on.

d. <u>AEDEFENSE</u>. <u>AEDEFENSE</u> is a 52-year old, suspect <u>AEGRIP officer who has spent many years in Germany</u>.

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He has been consistently affiliated with Identity EE, but the office is also considered to be Identity FF, and AEDEFENSE recently received a jump promotion from "employee" to "first secretary". AEDEFENSE was chosen as a primary target in July 1972 replacing AEWILLET. Among other reasons, our choice was based on a good relationship which had developed between our assets in CAFLICKER and AEDEFENSE. (The historical development of this relationship was as follows: CATOMIC/14 first took up business contact with AEDEFENSE as a pretext for eventual contact with AEWARBLER. CATOMIC/14 had, in the meantime, recommended his CAFLICKER employer, CATOMIC/56, as an excellent agent prospect, and we had dispatched CATOMIC/22 to meet and assess him. She married him. We then were forced to recruit CATOMIC/56 before we could again use CATOMIC/22. This took place in June 1972.) All three assets traveled to Moscow on TDY in July 1972 to negotiate with Identity GG and, in fact, signed a contract to produce a series of thirteen films on the Soviet Union for sale to West German television networks. AEDEFENSE was in Moscow at the time and joined in the negotiations. He also took the group out on the town and for a day of sightseeing, swimming, and picknicking in the country outside Moscow. We are presently planning three types of manipulation of AEDEFENSE: He is an avid sailor, and we want CATOMIC/14 to get him alone at one of the nearby lakes where sailboats can be rented and where he can intensify his personal relationship and possibly introduce AEDEFENSE to other assets or to a case officer. AEDEFENSE is married to a physically unattractive woman and using Identity HH, we hope to see whether we can get him to respond to an attractive young German "swinger". (We had Identity HH under operational development, but did not recruit her after learning she had a number of debts and a complicated romance. We now intend to use her on an unwitting basis. She is a close friend of CATOMIC/22, and CATOMIC/22 will ask her to try and charm AEDEFENSE ostensibly on

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behalf of CAFLICKER. She will be totally unwitting of any AIS involvement.) Finally, we hope to try and hook AEDEFENSE on bribes or kickbacks. This is a business technique CATOMIC/56 knows best. It is almost a necessity for success in those West German media and commercial circles in which CATOMIC/56 does business. We feel if there is any way to entrap AEDEFENSE with bribery or kickbacks, CATOMIC/56 will be able to devise the. One shadow on the horizon is a possibility way. AEDEFENSE may not get a tour extension. In a series of bitter telephone conversations. both AEDEFENSE and his wife alluded to the possibility his slot might be taken from him and given to a favorite of the chief of Identity GG. As of end of reporting period, this threat appears not to be materializing.

AEWARBLER. This 51-year old correspondent for Identity EE is quite possibly the second most knowledgeable and influential Soviet national in the Federal Republic. He is a long-time personal friend and associate of Soviet Ambassador Valentin FALIN, and was apparently brought here by him as a member of his "team". Because his government had used him as a quasi-official emisary whose role traditionally had been to soften his government's fairly severe foreign policy pronunciamentos, we chose him our primary TORADIUS target soon after his arrival in February 1971. Our continuing assessment of AEWARBLER confirms that he is acting as a channel of information in both directions between the West German and Soviet governments and is apparently acting as a political action agent directly on behalf of the Central Committee of the CPSU. His first assignment was political action supporting the ratification of the socalled Eastern treaties. He is currently concentrating on European security matters although he appears also to be working on the international monetary crisis. In between times, he grinds out a steady flow of heavyhanded articles on the "neo-Nazi threat". His contacts run the gamut from

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the far-left DKP to the CSU on the right. CASAGE, CALIAS, and CAOPINION are some of the senior governmental officials with whom he meets and talks. Indicative of his unique status, he recently traveled to Switzerland to interview Swiss bankers and to do a story on the Euro-dollar. In early October, he and his wife plan a vacation in Karlovy Vari. His professional trip to Switzerland and his personal trip to Czechoslovakia make him the only Soviet based here enjoying the privilege of traveling other than back and forth to Moscow. Jin contact with AEWARBLER include

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who meets him regularly at political functions and press conferences, and CAEXCEL, whom AEWARBLER frequently seeks out, usually to pass on some interpretation of current Soviet policy. CATOMIC/14 meets AEWARBLER from time to time in connection with a film we hope AEWARBLER will script, and CABAFFLE comes into contact with AEWARBLER through mutual participation in activities of the German Society for the Promotion of Relations with the Soviet Union. The transmitter in the desk in AEWARBLER's study became operational during reporting period with the recruitment of CATOMIC/54, in whose apartment we have established our LP. We also recruited CATOMIC/61, AEWARBLER's common-wall neighbor, in whose home we hope to establish our LP for a unilateral telephone tap and possibly a mike and wire operation through the common wall. Regarding the unilateral telephone tap, as the reporting period drew to a close, we were able to confirm the Bundespost cable distribution box master key obtained some years ago by [\_\_\_\_ also opens local boxes. MKNOVA made a functional copy of the key, and we now have a similar capability in this area.

f. <u>AETOWHEE</u>. AETOWHEE is a 41-year old Identity CC correspondent. Soon after arriving in Bonn in the fall of 1970, he met CATOMIC/6 and the two have had a warm personal relationship since that time. AETOWHEE is married with a teenage son,

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but the marriage is not a good one. By his own admission, AETOWHEE fights continuously with his wife and has a young mistress, a secretary with the home office of his media outlet. The mistress is the illegitimate daughter of a well-known Soviet poet, according to AETOWHEE, and a poetess in her own right. Because her poetry is too subjective. she cannot publish it in the Soviet Union except in Samizdat format. AETOWHEE has given CATOMIC/6 thirteen of the poems for possible publication in the West. AETOHWEE's intentions are partially to please his girlfriend but also to earn hard currency. As other means of supplementing his income, AETOWHEE works as a free-lance journalist for a Bulgarian newspaper and for another Soviet newspaper. In April 1972, we decided AETOWHEE showed sufficient susceptibility to a recruitment attempt to warrant introduction of a case officer. playing the role We chose of a sympathetic WODUAL businessman of European extraction. Unbeknownst to had just departed on home leave and did not return until early July 1972. Before we could introduce him to CATOMIC/6, who would introduce him to AETOWHEE, she left for a pleasure/ business trip to Yugoslavia. Upon her return in late July, we introduced her to L and worked out details for the introduction to AETOWHEE. The introduction was to take place in mid-August. As it turned out, **[**] departed Germany on an unexpected operational TDY the week the introduction was to take place. By coincidence, TDY ended the day before the opening of the 1977 Olympic Games, to which CATOMIC/6 was sent by Identity II. AETOWHEE had hoped to cover at least a portion of the games but told/ he had been ordered to man the Bonn office while his colleagues traveled to Munich. He was further told he could depart for his delayed annual leave in Moscow as soon as the Olympics ended and his colleagues returned. This was precisely what he did, according to AESKIMMER. As a result, the introduction of to AETOWHEE has not

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taken place. Nevertheless, CATOMIC/6 talked with AETOWHEE on the telephone and told him of meeting an interesting travel consultant presently writing a new tour guide on Europe. She told AETOWHEE the gentleman needed someone to write the chapter on the Soviet Union and asked if AETOWHEE might be interested. He was. This bodes well for the next step of the operation. Meantime, CATOMIC/50 has agreed to allow us to mount a mike and wire audio operation from her common-wall apartment so we can monitor AETOWHEE's reaction, if any, to our recruitment attempt. We hope to install the audio device during the first week of October and move to introduce to AETOWHEE as soon as he returns to Bonn.

This 31-year old, first-tour AEKICK AEWILLET. officer was dropped as a primary target in June 1972. A C \_\_case officer established what appeared to be a warm and genuine personal friendship with AEWILLET; other assets provided additional reporting and an audio operation against the AEWILLET apartment gave other insights. Our conclusions, based upon all this information, was that AEWILLET was not susceptible to a recruitment attempt at this time. AEWILLET was seriously ill for much of the time several months in early 1972. This led to doubts he would return for a new tour after he departed for early home leave in May. We originally planned to await AEWILLET's return or failure to return before deciding whether to continue to target him but Headquarters encouraged us, we believe rightly, to drop him without waiting and move on.

h. <u>AEBUNTING.</u> This 32-year old, suspect RIS officer is on his first tour of duty in the West. He is one of two STM officers we have chosen as secondary targets. In so doing, we have tasked to commence preliminary investigative and developmental work. Thus, we hope always to have one or two targets in the pipeline to replace those primary targets we recruit or drop. AEBUNTING has been assigned

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specifically to [for the "workup". [] has already reduced the large list of AEBUNTING contacts to a workable group and has established pretext contact with three of these individuals.

i. <u>AEFORT</u>. This 39-year old known <u>AEGRIP</u> officer is the secondary target given to \_\_\_\_\_\_ for "workup".

Targets of Opportunity. / [continues to carry out 3. a general search/tracking program in addition to operations against the primary targets. The program has resulted in contact with and preliminary assessment of a number of Soviet and Soviet Bloc officers. Our primary concern in carrying out the program is to insure it is blended in carefully with the attack on the primary targets. It takes advantage of the natural access our officers and agents have to Soviet and Soviet Bloc personalities. It is inevitable that efforts against our primary targets sometimes deflect and result in contacts with colleagues of primary targets or in contacts with Soviet Bloc personalities. We allow these contacts to continue under their own momentum and often find they become precisely the relationships which lead to later selection of a new primary target. Some of the more interesting targets of opportunity with whom we are presently in contact are:

- <u>AECRANE</u>. This 35-year old, first-tour officer works closely together with primary STM target AEGREBE. He replaced a known AEKICK officer. He shares the services of CATOMIC/5, and we thus collect operational and assessment data on AECRANE in some volume. Since both also do business with CATOMIC/52, he reports to us on AECRANE as well as AEGREBE. We will continue to collect information on this promising potential target as a by-product of our efforts against AEGREBE.
- b. <u>AERAM</u>. This 35-year old suspect AEKICK officer is the Identity JJ correspondent in Frankfurt and presently primary Soviet target. He is AESKIMMER's best friend locally and the godfather (sic) of AESKIMMER's child. CATOMIC/6, and CATOMIC/33 have come into contact with AERAM largely as a result

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of their efforts to meet AESKIMMER. The two often appear together at press conferences, meetings of Identity DD, etc. AERAM is moving his office to Bonn in October 1972 and rented an apartment in Bad Godesberg from CATOMIC/47. AERAM is a much livelief, Tikable man than AESKIMMER and could well replace AESKIMMER as a/ primary target.

c. <u>AECAPTURE</u>. This 43-year old known AEKICK officer is on his first tour in Bonn after a long previous tour in East Berlin. His "beat" is the Christian Democratic Union, and he has numerous high-level contacts within that party. Some seven officers and assets have reported on AECAPTURE's activities. He strikes them as an exceptionally able interlocutor who can be either disarmingly lowkey or doggedly persistent. None of those in contact with him have uncovered susceptibilities or lines of operational attack.

Identity KK. As a specialist for the Social Democratic Party, Identity KK is almost as active and possibly almost as able as AECAPTURE. He is a 38-year old, probable AEKICK officer who has, <u>be</u>en in <u>B</u>onn since August 1969.L was in infrequent contact with Identity KK journalist under operational development by , knows Identity KK well and has discussed him at length with Perhaps our most interesting insight into his activities came from CAGRATIS who once found him sitting in an office in SPD Party Headquarters only to learn later he had been smuggled into the building by one of the employees without submitting to the usual sign-in process. CAGRATIS has ordered an investigation to determine how often this has happened. Identity KK comes regularly to the American Embassy Club, where he joins a group of correspondents in a weekly volleyball game. He is high on our list of primary target candidates.

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e. <u>Identity MM.</u> This 33-year old, first-tour officer is the youngest member of the Identity NN. His wife alleges to be a concert pianist and both appear highly cultured and typical of the refined, well-educated young Soviet generation being found in increasing numbers abroad today. Unlike some of this new generation, Identity MM exhibited no unorthodox behavior and expressed no unorthodox ideas which would indicate recruitment susceptibilities. Identity MM, nevertheless, remains high on our list of potential primary targets.

f. This 40-year old Identity PP Identity 00. correspondent is a probable AEKICK officer who was in Bonn from 1964 through 1969 and who has been on his second tour here since August 1971. Because of the similarity in their journalistic field of specialization, Identity 00 and have had a friendly relationship since Identity 00's previous tour here. Our other media assets meet Identity OO at press conferences, Identity DD functions, etc., and CATOMIC/47 rented him his present apartment. CATOMIC/47 has stopped back to see Identity 00 to check on possible deficiencies in the apartment and has provided an assessment based on these contacts. He is one of several Soviets who will be looked over carefully when a new media primary target is chosen.

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- g. <u>Identity QQ</u>. This 43-year old Polish correspondent is courting CATOMIC/6. He approached her on the shuttle bus for journalists and athletes at the Olympic Games and told her he had long been a secret admirer. They last met on 28 September at a lecture given by Soviet Ambassador Valentin FALIN. During the lecture, Identity QQ passed C/6 sophomoric love notes and later drove her home. His intentions appear strictly dishonorable.
- h. Other. Including the primary targets and those targets of opportunity listed above, officers and agents have been in social, commercial, or

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casual contact with some sixty-seven Soviet officials and journalists assigned PCS to West Germany. In addition, there has been contact with some twenty-one Satellite officials or journalists assigned here PCS. 

## 4. Installation Targets

Soviet Embassy. CAWHISPER continues to furnish us information they obtain from their OP located next to the Soviet Embassy. This includes candid photographs of Embassy officers; lists of vehicles driven by various Embassy officers; monthly charts of the comings and goings of these Embassy officers broken down by town, vehicle, and companions; and, miscellaneous notations of interesting or unusual happenings.

Soviet Embassy Highrise. CATOMIC/46 continues to monitor the highrise from our OP located opposite it. The video and photograph equipment is now functioning properly. CATOMIC/46 augments the equipment by visual observation and is becoming increasingly familiar with the residents of the building. She maintains a log of her observations, which is melded into our analytical work on that target.

Soviet Trade Mission. We continue to receive production from the CAWHISPER OP located opposite the Soviet Trade Mission. We also continue to receive excellent photographic coverage of STM staffers from the CATOMIC/17-/18 apartment.

Soviet Trade Mission Residential Highrise. We now have a functional OP opposite the highrise. Observation missions commenced in late September. We have requested MKNOVA survey the OP preparatory to equipping it with photographic gear.

#### 5. Other Operational Activity

a. <u>Direct Contact</u>. We view direct staff officer contact as one of the important weapons we use in our attack on the Soviet Bloc target. We believe a point is reached in every targetting operation at which it is imperative to introduce a case officer to bring the operation to a

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conclusion. We also believe case officers, under suitable cover and pretexts, can perform excellent assessments of those members of the Soviet Bloc colony who may at some future time become primary targets the spearhead of our general search/direct contact operations. Each was in touch with several Soviet Bloc personalities. Seven other case officers have been in touch with Soviet Bloc target personalities, but none is involved in intense cultivation.

Diplomatic Contacts. Our experience continues to show that the local diplomatic circuit is a relatively poor place to meet Soviet Bloc target personalities. The Junior Diplomats' Club is one exception. AERAVEN and AEWAXWING are regular attendees at its functions. Identity KK and other\_Soviet Bloc diplomats attend from time to time. 🕻 is represented by CATOMIC/19, CATOMIC/20. CATOMIC/32, CATOMIC/43, CATOMIC/55, Juntil his departure PCS, and, on two occasions ] At meetings met those of Identity RR, Soviets with that particular specialty. meets Soviet Bloc personalities at Soviet Bloc National Day receptions, as does CATOMIC/34. On the other hand, it is the rare Soviet found at diplomatic functions given by Western embassies or diplomats.

#### C. COORDINATION WITH

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In probably the most unusual assist we dispatched CATOMIC/41 to Beirut where he delivered some gold bars to primary target AENAZE. More routine support to encompassed a variety of operational activity. We mounted a surreptitious photo operation to provide photographs of a Central American diplomat being impersonated by AEDUDE, the AEKICK resident in Copenhagen. Ind CATOMIC/6 both met and provided assessments of primary target AERAM, and

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was in contact with and assessed senior officials of the Morodny Bank, newly opened in Frankfurt. We regularly CAFISSION tips on Soviet progress in cabled/ obtaining real estate for their Consulate there. Apropos of CAFISSION, each Friday the (pouches complete sets of all our tof CAFISSION to enable those 7 to cull for leads in their \_\_\_\_a list of Austrian areas. We forwarded/ firms doing business with Soviets and provided, details on an IEC Conference to take place in that city. In support of another governmental agency, we contacted and debriefed an LNERGO FARULE source who was in Germany on a short TDY from her homeland where she is presently For our part, we received excellent continuing support from from/ MKNOVA plus the loan of preparatory to a recruitment attempt against AETOWHEE. MANPOWER AND FUNDS COMMITTED TO SB PROGRAMS D. Manpower. All twenty-five operations officers .1. during reporting

2. Funds for Soviet Bloc Programs. The following represent expenditures for Soviet Bloc programs during the period 1 February through 31 July 1972. (Due to accounting and posting delays, this is the latest six-month period for which complete figures are available.) For that period, were expended against the CATOMIC Project and \$5,334.57 were expended against Soviet/OOA. This is a total for Soviet Bloc programs of

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# E. INTELLIGENCE PRODUCTION

Soviet Bloc operational activities are designed to produce operational information on the Soviet Bloc presence in the Jarea and to provide the instrumentalities needed to manipulate and recruit our primary targets. The Soviet Bloc program is not designed to produce positive intelligence. The program, nevertheless, produced eleven intelligence reports during reporting period. This production figures does not, however, count a large number of reports from CAEXCEL and from Junch are basically reports on West German political developments, but which are also directly responsible to TORADIUS requirements.

#### F. SPECIFIC TASKS ACCOMPLISHED

The Unit undertook to accomplish thirty-seven specific tasks during reporting period compared with thirty-one specific tasks undertaken in the previous reporting period. Our ratio of success in the accomplishment of these specific tasks was strikingly better. Of the thirty-one tasks assigned in the previous reporting period, the Unit accomplished eight, partially accomplished ten, attempted but was unsuccessful in accomplishing one, and did not attempt twelve specific In contrast, during current reporting period, the tasks. Unit accomplished twenty-three of the thirty-seven tasks, partially accomplished four additional tasks, attempted but was unsuccessful in accomplishing three tasks, and did not attempt seven tasks. As in all reporting periods, there were accomplishments not previously assigned as specific For example, during this reporting period, CATOMIC/14, tasks. CATOMIC/22, and CATOMIC/56 accompanied AEDEFENSE on a business trip to Moscow, giving them an excellent chance to observe AEDEFENSE at work and at play in the safety of his homeland. Another success was the triangulation operation against AESKIMMER during the long weekend when , CATOMIC/31, CATOMIC/33, CATOMIC/50, and CACLOCK/15a, working independently, monopolized many hours of AESKIMMER's time over a trip to Osnabrueck, and later, Hannover. CATOMIC/34's new relationship with AEGREBE was another windfall not predicted. There were other breakthroughs, most of which have been reflected throughout the report.

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# Specific Tasks

- a. Soviet Embassy.
  - 1) Task Accomplished. Besides preparing a report based on CATOMIC/46's observations, we have trained her to keep the log of what she observes from the Soviet Embassy highrise.
  - 2) Task Accomplished. Based on our survey, the prognosis for CAWHISPER or the Unit establishing an OP near the site of the new Soviet Embassy is poor.

#### b. AERAVEN.

- 3) Task Accomplished. We were able to stage a "swinging" evening for AERAVEN at the CATOMIC/19 bachelor pad.
- 4) Task Accomplished. We were able to obtain an audio and video record of the "swinging evening" at the bachelor pad.
- 5) Task Partially Accomplished. We had some success separating AERAVEN from the everpresent AEWAXWING. The evening at the bachelor pad was one such occasion. Making contact with AERAVEN independent of AEWAXWING nevertheless remains one of our more difficult tasks.
- 6) Task Accomplished. CATOMIC/32 now has quite friendly relations with AERAVEN.
- 7) Task Partially Accomplished. We established contact with five of AERAVEN's current contacts, including Identity P, Identity SS, Identity TT, Identity UU, and Identity VV. All were assessed, but none has yet been recruited.

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- 8) Task Attempted Unsuccessfully. and CATOMIC/32 each hosted a dinner party to which we hoped AERAVEN would bring his wife, but he did not.
- 9) Task Accomplished. In several lengthy dialogues, CATOMIC/20 developed considerable information on AERAVEN's childhood experiences and his relationship to his father.
- c. <u>AEWILLET</u> (primary target dropped during reporting period)
  - 10) Task Accomplished. remained in direct contact with AEWILLET until departure PCS. Considerable information on AEWILLET's physical condition was developed by
  - 11) Task Partially Accomplished. CATOMIC/6, CATOMIC/27, and CATOMIC/62 each made contact with and assessed Identity P, but the pass to a case officer has not vet taken place.
  - 12) Task Accomplished. We not only made contact with CATOMIC/53, we recruited him. However, after furnishing us considerable interesting information, he requested to withdraw from the relationship at the insistence of his wife.
  - 13) Task Attempted Without Success. On two different occasions, we set the scene for a social encounter between AEWILLET and CATOMIC/40 and /41. but the contact did not take place.
  - 14) Task Not Accomplished. After AEWILLET was dropped as a primary target, further efforts to establish contact between him and CATOMIC/33 were suspended.

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- d. AESKIMMER
  - 15) Task Not Accomplished. CATOMIC/6 made contact with Identity WW but no pass to a case officer was affected.
  - 16) Task Largely Accomplished. CAMETRIC made several contacts with two different neighbors of AESKIMMER, including a common-wall neighbor. The effort was suspended after we learned AESKIMMER is moving to a new apartment.

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- 17) Task Accomplished. MKNOVA fabricated a master key for the entire office building where AESKIMMER's office is located. A subsequent surreptitious entry into the AESKIMMER office provided all the necessary information preparatory to mounting an audio operation. L.
- 18) Task Partially Accomplished. Identity V, an Egyptian journalist, is under operational development for use against AESKIMMER.
- 19) Task Not Accomplished. Although preliminary planning has gone forward, the actual attempt to put CATOMIC/27 into contact with AESKIMMER's wife has not materialized.
- 20) Task Not Accomplished. CATOMIC/43 has not been brought into social contact with AESKIMMER
- 21) Task Accomplished. In addition to forwarding considerable assessment data on AESKIMMER to Headquarters. we were fortunate to have MKCRUSH officer review the file and observe a dinner meeting between AESKIMMER and CATOMIC/33.

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#### e. AEWARBLER

- 22) Task Accomplished. We had a two-fold accomplishment of this task. Simultaneously, we were able to recruit AEWARBLER neighbors, CATOMIC/54, and CATOMIC/61 to function as LP keepers. At present, we are using the CATOMIC/54 apartment but may shift to the CATOMIC/61 home, which shares a common wall with AEWARBLER.
- 23) Task Accomplished. A case officer established an excellent relationship with Identity XX and elicited valuable information. This developmental operation may be ended by the unexpected PCS of the case officer. L
- 24) Task Accomplished. The documentary film project remained a viable means for contact with AEWARBLER by CATOMIC/14 during reporting period.
- 26) Task Accomplished. Not only has CAEXCEL continued to report on initiatives taken by AEWARBLER, but several quite interesting intelligence reports have resulted.
- 27) Task Attempted Without Success. CATOMIC/6 attended several functions where we expected to find AEWARBLER, but he did not appear. She still has not made contact with him.
- 28) Task Accomplished. CATOMIC/31 has made the necessary contact with a Federal Press Office staffer who has spoken freely with her about AEWARBLER. He also offered to broker an introduction, but he has thus far not made good on the promise.

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## f. Soviet Trade Mission

- 30) Task Partially Accomplished. Thanks to CAFISSION for the most part, we now know where all but nine of the some sixty-eight staff officers assigned to the Soviet Trade Mission live.
- 31) Task Accomplished. MKNOVA technicians were able to masterkey the building in which CATOMIC/49 shares a common wall with two Soviet apartments.

## AEGREBE

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- 32) Task Not Accomplished. Although he had several opportunities to do so, CATOMIC/5 failed to actuate the concealed tape recorder in his home. He has vague fears of detection, which we have not yet been able to overcome.
- 33) Task Partially Accomplished. We have developed considerable information from CATOMIC/5 on AEGREBE's alleged impotence with his wife. We have not been able to test this with other women, however.
- 34) Task Not Accomplished. CATOMIC/27 has commenced frequenting the Kegel Club where CATOMIC/5 sometimes takes AEGREBE. She has not yet been there on an evening when the two men were present. and thus has not yet met AEGREBE.

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35) Task Accomplished. CATOMIC/59 has been recruited and is reporting on AEGREBE, AECRANE, and other STM officers with whom he has contact.

# h. Secondary Targets

- 36) AEFORT. Task Not Accomplished. ( has not yet been able to make meaningful progress against AEFORT.
- 37) AEBUNTING. Task Accomplished. []identified thirty-five firms doing business with the Soviet Trade Mission which would have representatives at the Hannover Spring Fair. Pretext calls to the booths of these firms at the Fair identified nine AEBUNTING contacts as being present. [] made contact with two of the nine, and [] contacted a third. CATOMIC/17 and CATOMIC/37 were unsuccessful in their attempts to make contacts. The assessments of these three men continue. (OG/R)

### G. SPECIFIC TASKS FOR NEXT REPORTING PERIOD

1. General Tasks. The general tasks for the next reporting period will be: to mount the recruitment attempts against as many primary targets as warranted by the operational situation; to drop those primary targets against whom recruitment attempts do not appear warranted; to improve the quality of our agent cadre, increasing the training and commitment of those assets responsive to our direction and effective against the target while terminating those marginal assets who have proven ineffective or unresponsive; to continue direct staff officer contact with selected target personalities in balance with our other efforts; and, to focus our general search activities toward the location and preliminary development of new primary targets.

2. Specific Tasks

a. Soviet Embassy

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- 1) Continue the search for an OP closer to the Soviet Embassy residential highrise and move CATOMIC/46 into it.
- 2) Continue to monitor Soviet progress toward moving into the new Embassy complex in the Viktorshoehe area.

#### b. AETOWHEE

3) Establish direct staff officer contact with AETOWHEE under suitable pretext and attempt to recruit him.

#### c. AERAVEN

- 4) Establish direct staff officer contact with AERAVEN for the purpose of recruiting him. I To accomplish the recruitment, undertake the following other specific tasks to include:
- 5) In addition to intensifying the emotional and personal relationship between CATOMIC/19 and AERAVEN, also attempt to involve the two in a common joint economic or financial enterprise which might be exploitable.
- 6) Intensify the relationship between CATOMIC/20 and AERAVEN in event CATOMIC/19 does not return from his homeland.
- 7) Arrange the re-contact of AERAVEN by one of the case officers who have met him, for the purpose of establishing a social relationship which can be sustained.
- 8) Maneuver AERAVEN into contact with a case officer in alias who might be able to develop a relationship which could be directly exploited in a recruitment effort against AERAVEN. /

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- 9) Plan final recruitment phase of operation if evidence confirms AERAVEN is vulnerable to a well-planned approach.
- 10) Continue efforts to separate CAWHISPER target AEWAXWING from the AERAVEN-CATOMIC/19 relationship.
- 11) Establish alternate access to AERAVEN through intensifying the relationship (or establishing new relationships) of other CATOMIC agents.
- 12) Establish exploitable contact with and, if possible, recruit one or more of AERAVEN's current contacts as identified by the Task Force.

## d. AESKIMMER

- 13) Move substantially closer to a solid assessment permitting consideration of a recruitment of AESKIMMER or drop him as a primary target. To this end undertake the following other specific tasks:
- 14) Using the baby photographer ploy, introduce an agent and a case officer, in alias, to AESKIMMER to maneuver him toward recruitment.
- 15) Continue CATOMIC/6 contact with and assessment of AESKIMMER.
- 16) Intensify CATOMIC/33's relationship with AESKIMMER and probe for susceptibilities or vulnerabilities.
- 17) Continue/ officer's contact with and friendly cultivation of AESKIMMER./

e. AEWARBLER

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- 18) Mount a unilateral tap of the telephone in AEWARBLER's home. /
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19) Continue CATOMIC/14 contact and assessment of AEWARBLER using CATOMIC/14's CDU political contacts as pretexts. 民国は時間は国民地震に、国内には地域にあるよう

- 20) Attempt to establish contact between CATOMIC/6 and AEWARBLER.
- 21) Continue officer's contact with and friendly cultivation of AEWARBLER. /
- 22) Renew contact with and attempt to recruit Identity L, who has established contact with AEWARBLER.

# f. AEDEFENSE

- 23) Mount a recruitment operation against AEDEFENSE if it appears a reasonable chance of success exists or, failing that, drop AEDEFENSE as a primary target and select a replacement.
  To this end attempt following specific tasks:
- 24) Continue CATOMIC/14's contact with AEDEFENSE, possibly using as a pretext AEDEFENSE's interest in sailing.
- 25) Intensify CATOMIC/56's business and personal relationships with AEDEFENSE and probe for vulnerabilities or susceptibilities.
- 26) If CATOMIC/22 and CATOMIC/56 can stage a suitable social event, attempt to use Identity HH on an unwitting basis to test AEDEFENSE's willingness to enter into an affair.

#### g. Soviet Trade Mission

27) Mount a prolonged stakeout of the STM residential highrise apartment building from the new OP to determine patterns of activity and to discover non-Soviets with access to that building (tradesmen, local contacts, third world nationals, etc.) (Various 70fficers)

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28) After identifying the residents of the STM residential highrise building (by visual observation and the collation of CAFISSION material and access agent reporting), identify and locate members of the STM not residing in the highrise. (OG/K)

# h. AEGREBE

- 29) Obtain complete debriefings and assessments of AEGREBE from those access agents in contact with him. (CATOMIC/5, /34, and /52)
- 30) On the basis of a review of vulnerability and susceptibility information on AEGREBE, submit either an operational plan or a recommendation that AEGREBE be dropped as a primary target.

#### i. AEBUNTING

31) Continue investigative and tracking activities against this secondary target preparatory to his being chosen a primary target.

#### j. AEFORT

- 32) Continue investigative and tracking activities against this secondary target preparatory to his being selected a primary target.
- k. AERAM
  - .33) Continue CATOMIC/6, /33, /47, and fofficer contact with and friendly cultivation of this target, possibly as a prelude to naming him a primary media target.
  - 34) Obtain an LP in the AERAM apartment building and mount an operation to obtain a building master key preparatory to a possible audio against AERAM.

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- 1. AEBATTLE
  - 35) Attempt to re-establish contact between AEBATTLE and CADRILL. Failing that, use CADRILL's natural access to the STM to meet and assess as many of AEBATTLE's colleagues as possible.

# m. Identity Z

- 36) Continue CATOMIC/47's friendly cultivation of this potential target personality.
- 37) Attempt to move a []asset into Identity Z's apartment building to establish a friendly neighborhood relationship; and, should Identity Z be chosen a primary target, to serve as LP keeper for an audio operation if if one is mounted.
- Identity YY
  - 38) Compile all available information on this Hungarian correspondent and consider his selection as a primary Satellite target. Attempt to bring one or more assets into contact with Identity YY, possibly including a case officer under suitable pretext.
- o. Identity QQ
  - 39) Continue CATOMIC/6's cultivation and assessment of this Polish correspondent, possibly as a prelude to choosing him as a primary Satellite target.
- p. Identity ZZ
  - 40) Using Identity F to broker the introduction, establish direct staff officer contact with this Yugoslav diplomat and potential Satellite target personality.

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- q. Identity AAA
  - 41) Renew [ ]officer personal relationship with this newly-arrived Soviet and perform preliminary tracking for the event he is chosen as a primary target. [ ]

# r. SOVEKSPORTFILM

- 42) Compile basic operational information on this newly opened installation.
- 43) Continue CATOMIC/47's friendly cultivation of Identity AA.
- 44) Attempt to put one or more assets into official contact with SOVEKSPORTFILM.