

DIRECTORATE OF INTELLIGENCE

# WEEKLY SUMMARY Special Report

Mao Tse-tung and His Associates: Uneasy Alliance

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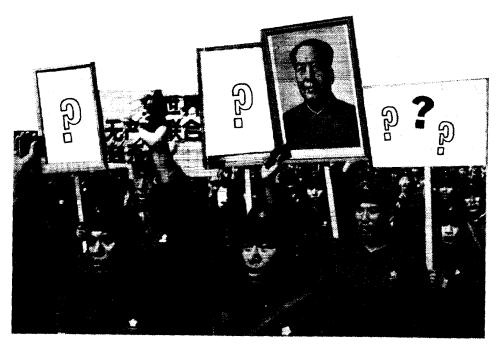
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#### MAO TSE-TUNG AND HIS ASSOCIATES: UNEASY ALLIANCE

For 18 months, the top of China's power structure—an inner circle of seven—has presented a virtually unchanging public face. This outward stability has persisted despite violent social upheaval, bloody factional fighting, several reversals of national policy, and the political destruction of half a dozen men in the second echelon of the leadership. The official voices of the regime have consciously portrayed the seven as a unified team. They have appeared on the same platforms, mouthed many of the same propaganda cliches, and have been careful in public to take consonant actions ever since January 1967.

Appearances, nevertheless, are misleading. The current inner circle is not the loyal phalanx of Mao's lieutenants that was projected to the outside world in the regime's first 16 years. The members of today's power center are a disparate group, not natural or congenial allies.

Given the severe internal strains that have developed in China, it does not appear probable that the top leadership will be able to maintain its facade of unity. A new purge may be near, and this time it might reach into the inner circle.



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Page 1 SPECIAL REPORT 20 Sep 68

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#### Divisive Elements

The present group of leaders developed during the purge of party stalwarts—Liu Shao-chi, Teng Hsiao-ping, Peng Chen, and Tao Chu—which shook the previous politburo standing committee to its foundations in 1966. Besides Mao, the inner circle now includes Defense Minister Lin Piao, the durable Premier Chou En-lai, party theoretician Chen Po-ta, secret police specialist Kang Sheng, economic planner Li Fu-chun, and Mao's wife, Chiang Ching.

Chou and Lin, the only survivors of the pre-1966 standing committee besides Mao, had for

years been part of Mao's inner councils, which had changed but little from 1945 to 1965. Li Fuchun was promoted to the standing committee from the rank of full member of the politburo at the lith plenum in 1966. Chen Po-ta and Kang Sheng had been only alternate politburo members before then. Chiang Ching was brought out of almost total obscurity to take a prominent position at her husband's side.

It has frequently been difficult to judge where each of these individual leaders has stood and to discover the degree to which each has concurred or dissented with regard to the main thrusts

### CURRENT LEADERSHIP ELITE IN CHINA

The first seven form the inner circle, which has not varied since January 1967. The next seven assumed their present status after the last purge in March 1968, and Wen was added in August 1968. These additional officials appear with the inner circle at all important public functions and presumably also carry considerable influence in the inner councils.

MAO TSE-TUNG LIN PIAO Chairman of party and Politburo Standing Committee (PBSC)
Vice Chairman, PBSC; Minister of National Defense; First Vice

Chairman, Military Affairs Committee (MAC)

CHOU EN-LAI CHEN PO-TA Member, PBSC; Premier Member, PBSC; Chairman, Cultural Revolution Group (CRG)

KANG SHENG
LI FU-CHUN

Member, PBSC; Adviser, CRG
Member; Vice Premier

CHIANG CHING

The state of the contract of

CHANG CHUN-CHIAO YAO WEN-YUAN First Vice Chairman, CRG Vice Chairman, CRG Member, CRG

HSIEH FU-CHIH

Member, MAC: Minister of Public Security; Chairman, Peking

Municipal Revolutionary Committee

HUANG YUNG-SHENG WU FA-HSIEN Member, MAC; Chief of Staff; Commander of Air Force Member, MAC; Deputy Chief of Staff; Commander of Air Force Member, the CRG in the People's Liberation Army; wife of Lin Piao

YEH CHUN WANG TUNG-HSING WEN YU-CHENG

Vice Minister of Public Security Deputy Chief of Staff; Commander, Peking garrison

SECRET

Page 2 SPECIAL REPORT 20 Sep 68

#### Approved For Release 2005/01/27: CIA-RDP79-00927A006600080003-1

#### SECRET

of the Cultural Revolution. It is apparent from an abundance of indirect evidence, however, that a fundamental division exists at the ultimate center of power. This seven-headed team is, in fact, a volatile combination of individuals--with conflicting personal ambitions and differing policy stands--which can be expected to disintegrate if the strains of the Cultural Revolution become sufficiently powerful.

Although the official propaganda media have been fairly opaque regarding divergent attitudes among the protagonists, the less-restrained Red Guard press has reprinted speeches and pronouncements that tend to differentiate the individuals and their basic orientations. In some cases, animosities have shown through.

Much more revealing of the antagonisms at the center, however, have been the key policy shifts and the related fortunes of different sets of individuals in the second echelon of the hierarchy. Although not in the same profusion as during the 1966 purges, the Cultural Revolution has continued to spew lesser officials into political oblivion. These new victims have been closely identified with one or another faction in the hierarchy, and their respective fortunes have reflected the inner tensions and provide a measure of the shifting political influence of these factions.

The ups and downs of the contending forces in the Cultural Revolution over the last 18 months throw some light on the semiconcealed struggle at the center. Although most of the action on stage necessarily revolves around secondary figures, it tends to show what the principals were up to behind the scenes.

To Western observers, there have been four clearly demarcated phases in the Cultural Revolution since the Red Guard convulsions of 1966 dismantled the party apparatus: (1) the radical attack on the bureaucratic establishment in the government and army, March to August 1967; (2) the moderate ascendancy, August 1967 to March 1968; (3) the radical resurgence, March to June 1968; and (4) the turn to the right, July 1968 to the present. Each of these was ushered in by a shift in the propaganda line, and each but the most recent involved political attacks on a particular group of secondary officials.

## The Radical Attack, March-August 1967

During the first of these periods, the radicals in the leadership mounted a major campaign against officials in authority, primarily in government and the military, who were obstructing or stalling the momentum of the Cultural Revolution out of a basic interest in economic stability, the status quo, and their own political survival. Ultimately, this radical campaign aborted, but only after it had caused enormous damage to the country's economic, social, and political fabric, and had caused

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Page 3 SPECIAL REPORT 20 Sep 68

#### SECRET

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**MAO TSE-TUNG** 

hairman Mao Tse-tung is the aging, ailing god-figure of Chinese Communism wh

is the key to China's nihilist drama--the Cultural Revolution. The ostensible source of most if not all of the vaguely stated policies which have spurred the turbulent movements of the last two years--both leftward and rightward--Mao has clearly been the dominant figure in the Chinese Communist movement for three decades.

He has not uttered a sentence in public for years, but brief, delphic "instructions" are issued periodically in his name which are often used to their own advantage by opposing political forces. Alone of all important leaders, Mao, despite his declining abilities, has retained his charisma and has remained immune from direct public criticism throughout the Cultural Revolution.

Vice Chairman Lin Piao, who has led China's army since 1959, was catapulted into the role of crown prince to Mao when the previous heir-designate Liu Shao-chi was toppled in disgrace in 1966. Propelled to the seat of authority by his command of the major instrument of political power in China, Lin may have lost the allegiance of many old-line military commanders by espousing the disruptive extremes of Mao's Cultural Revolution. The political disgrace in March 1968 of his protege-acting chief of staff Yang-cannot fail to have tarnished his own image, and there were some tentative indications during the summer that his position might be weakening.



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LIN PIAO

Premier Chou En-lai has been the chief proponent of social order, stability, national security, and a productive economy, striving desperately at times to hold together a large enough coalition of vested pressure groups to challenge or modify the more extreme drives of the Cultural Revolution. This role has come naturally for the man who has been responsible for so many years for administering China's vast governmental bureaucracy. Chou's instinct for self-preservation and political compromise, however, has kept him silent at critical points during the Cultural Revolution.





**CHOU EN-LAI** 

SECRET

Page 4 SPECIAL REPORT

20 Sep 68

#### Approved For Release 2005/01/27: CIA-RDP79-00927A006600080003-1

#### **SECRET**



KANG SHENG

Kang Sheng, the sinister, xenophobic former chief of the secret police, has been "adviser" to the Cultural Revolution group from its inception. This title and his extreme public and private statements paint Kang as one of the prime movers behind the destructive radical impulses of the Cultural Revolution.

The shadowy Chen Po-ta, longtime ghostwriter, propagandist, and ideologue for Mao, has been chief of the Cultural Revolution Group since its formation in the fall of 1966. Deriving his political stature solely from close association with Mao, Chen has generally been found on the radical side of controversies at the center.



**CHEN PO-TA** 

The sixth member of the Politburo Standing Committee, Li Fu-chun, has played only a limited role in the Cultural Revolution. He has appeared to be mainly symbolic of the bureacratic administrators whose pragmatic views have been given voice by Chou En-lai.



LI FU-CHUN



**CHIANG CHING** 

Last but not least, Mao's wife Chiang Ching, though not a formal member of the Politburo Standing Committee (and not even a central committee member), has exercised the influence of that rank as a leader of the CRG and the most vocal spokesman for the militants.

#### SECRET

Page 5

SPECIAL REPORT

20 Sep 68

Mao to doubt the reliability of the army.

The overt evidence of this campaign is found largely in the posters and demonstrations of militant Red Guards, who in January 1967 turned their ire on two groups of leaders, mostly at the secondary level, both associated with the moderate end of the spectrum. One of these groups consisted of the minister of petroleum and five vice premiers more or less consistently identified with the pragmatic policies championed by Chou En-lai: Li Fu-chun, Foreign Minister Chen Yi, Finance Minister Li Hsiennien, agricultural specialist Tan Chen-lin, and national police chief Hsieh Fu-chih. The second group was primarily military: Hsu Hsiang-chien and Yeh Chien-ying--both old army heroes and members of the Military Affairs Committee -- and the head of the state's scientific and technical program, Nieh Jung-chen, who also is a member of the Military Affairs Committee and a vice premier. Fragmentary evidence suggests

that these attacks were orchestrated and at least partially supervised by the radical leaders in the inner circle--Chiang Ching, Kang Sheng, and Chen Po-ta.

Chou responded to both initiatives with a strong public defense of the men involved, probably risking his own political standing to some degree in the process. As a result, Li Fu-chun and Hsieh Fu-chih escaped from the ordeal unscathed, and attacks on the others abated. In May, they began again, those

against Foreign Minister Chen Yi and agricultural specialist Tan Chen-lin becoming particularly vir-This thrust by the Red Guards ended abruptly early in September 1967. Chou was apparently not in a strong enough position in the spring and early summer to be as forthright in their defense, and the Red Guards' targets gradually became less active in public, appearing mostly for ceremonial occasions. Some were removed or suspended from their posts. Tan Chen-lin fell in disgrace during the summer. This appeared to be a major blow to Chou, who was clearly on the defensive at this time.

Propaganda of last fall and winter blamed the attacks of early 1967 against government and army officials on "ultraleftist" extremists—the May 16 Corps. Specifically, these leftists, led by members of the powerful Cultural Revolution Group, were accused of pressing a long-range plan to strike at Premier Chou's subordinates and allies and, ultimately, to bring down Chou himself.

Later on, at the time of the disgrace of acting chief of staff Yang Cheng-wu in March 1968, propagandists in league with the Cultural Revolution Group identified the period of February-March 1967 as the "third wave" of the Cultural Revolution. They indicted Tan Chen-lin--the only target of the period they were able to bring down--as the mastermind behind the so-called "February adverse current of reversing current decision," i.e., a purported attempt to get earlier purge victims reinstated. Tan,

SECRET

Page 6 SPECIAL REPORT 20 Sep 68

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however, was the politburo's only total casualty from the period, and the leftist treatment of his political demise suggests that the radicals considered the abortive campaigna major piece of unfinished business.

# The Turn to Moderation, September 1967

The most distinct switch in regime policies and in the fortunes of secondary officials of

the Cultural Revolution occurred during the moderate ascendancy last fall. Directives against revolutionary violence and in support of orderly economic activity—which had been publicly promoted for many months to no avail by Chou En-lai and members of his entourage such as national police chief Hsieh Fu-chih—were suddenly reiterated and enforced. But most striking of all, three young second-line leaders of the Cultural Revolution Group—Wang

## PEKING'S OFFICIAL VERSION OF THE PHASES OF THE CULTURAL REVOLUTION

In speeches on 24 and 27 March 1968, Lin Pizo, Chen Po-ta, and others defined the "five waves"\* of the Cultural Revolution and their key purgees, as listed below. These "waves" differ from the phases identified by US observers, but the list shows that Chinese spokesmen understand the political nature of these phases in terms not greatly different from ours. (Information in italics

- (1) November 1965 June 1966
  Attacks on allegedly anti-Mao elements in the party
- (2) August-December 1966
  Attack on party machine as a whole
- (3) February-March 1967
  Purge of "rightists"
- (4) August 1967 January 1968

  Purge of "ultraleftists"; a

  counterattack by moderates
- (5) March 1968

  New purge of "rightists";
  radical reaction to 4th wave

Peng Chen politburo member Lo Jui-ching army chief of staff Lu Ting-i propaganda chief Yang Shang-kun Mao's aide

Liu Shao-chi heir apparent Teng Hsiao-ping party secretary Tao Chu South China party boss

Tan Chen-lin politburo member, leader of "February adverse current"; not actually eliminated until August 1967

Wang Li member, Cultural Revolution Group Kuan Feng member, Cultural Revolution Group Chi Pen-yu member, Cultural Revolution Group

Yang Cheng-wu acting chief of staff
Yu Li-chin air force commissar
Fu Chung-pi Peking garrison commander

\*A sixth wave was visible beginning in July 1968, with a new swing to the right and the stringent suppression of radical Red Guards. No major victims had come to light as of early September.

#### SECRET

Page 7 SPECIAL REPORT 20 Sep 68

Li, Kuan Feng, and Mu Hsin--were disgraced in early September. All were certified radicals, and all were closely associated with Chen Po-ta, Kang Sheng, and Chiang Ching.

Charges against the outlawed anti-Chou group of extreme
leftists, the May 16 Corps, became increasingly detailed and
explicit over the next six months,
naming all three as kingpins in
the attempt to eradicate Chou's
government bureaucrats and hinting darkly that a more important
protector lurked untouched somewhere in the hierarchy. When a
fourth Cultural Revolution Group
member, Chi Pen-yu, was purged
in January, he was immediately
charged with the same crimes.

At this time, when the radical faction was suffering its first important casualties of the Cultural Revolution, the moderate targets of the preceding half-year were gradually being reinstated. This process culminated in the apparently full rehabilitation of Foreign Minister Chen Yi in November 1967 and the elevation of the relatively unimportant Petroleum Minister Yu Chiu-li to the second rank of leaders in public appearances-no doubt as a symbol of the changed balance of forces among the top leaders of the regime.

The removal of the four young radicals has since been of-ficially termed the "fourth wave" in the Cultural Revolution. This period appears to have been one when the radical members of the inner circle were under some re-

straint and Chou En-lai, his subordinates, and allied military leaders were in the ascendancy. Like other periods of retreat, this one was given Mao's full official endorsement, and was said to be part of his "great strategic plan" for rebuilding the party. This retreat was shortlived, however, indicating that Mao viewed it with suspicion.

#### Radical Resurgence, March 1968

The "fifth wave" was precipitated by behind-the-scenes struggles in Peking at some point between 8 and 24 March, when it was announced that acting Chief of Staff Yang Cheng-wu, the air force political commissar, and the Peking garrison commander had been removed.

Although the ouster of Yang and associates must have been one of the major turning points in the Cultural Revolution, at

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Page 8 SPECIAL REPORT 20 Sep 68

Approved For Release 2005/01/27: CIA-RDP79-00927A006600080003-1

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#### Approved For Release 2005/01/27: CIA-RDP79-00927A006600080003-1

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which the fortunes of the main players took a sharp turn--this much is acknowledged by radical spokesmen--it is unclear who the culprits were working for and against. All were Lin Piao appointees, but Lin was seemingly unhurt by their sudden fall from grace. Immediately after their political demise, however, leading members of Chou's State Council again came under brief poster attack and remained out of sight for several weeks.

Nevertheless, the two men brought in to replace the fallen military leaders, Huang Yung-sheng and Wen Yu-cheng, have even better credentials as "conservatives" than their predecessors. Chou En-lai had backed Huang, then commander of the Canton Military Region, where Wen was his subordinate, against charges in 1967 by radical Red Guards there that he was guilty of suppressing them.

It would appear, therefore, that while the Cultural Revolution took a sudden lurch to the left again in March, the resulting situation was a stalemate between the two main leadership factions. Propaganda became notably more leftist and inflammatory, and Red Guard violence in the provinces picked up once again. Some of the lines of moderate policy were blocked, while others were sidetracked. At the same time, however, renewed attacks on moderate figures--except for those on Nieh Jung-chen, the head of the state's scientific and technical program-quickly died out without followthrough, and such moderate drives as the formation of provincial

revolutionary committees headed by old-line military and party figures moved ahead at a good pace 25X1 through the end of May.

#### SECRET

Page 9 SPECIAL REPORT 20 Sep 68

In major ideological pronouncements, "workers" were authorized to take the lead in
"everything." In Communist terminology, "working class" is a
euphemism for whoever is exercising authority, and in this
case meant the "conservative"
provincial leadership that had
fitfully been formed in late 1967

and 1968, evidently with the backing of the more moderate elements of the central leadership. Apparently, these pronouncements thus tolled the death knell for the Red Guard movement, an important power base for leaders of the Cultural Revolution Group in Peking. Another conservative military figure, the new deputy chief of staff and Peking garrison commander Wen Yu-cheng, began appearing with the elite list of 15 leaders on 11 August.

In the face of this concerted swing back to law and order, the inner circle continued to appear together as if nothing had changed. After two years of the turmoil, however, there can be little doubt that this superficial unity masks a ferocious fight for dominance. Strains of that fight have nearly split the inner circle asunder at least twice in the past year, and many key lieutenants of both factions have fallen in the fray. There can be no security at the pinnacle of power in China today, save perhaps for Mao himself. new, ruthless purge would seem to be near and this time it might reach into the inner circle.

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SECRET

Page 10 SPECIAL REPORT 20 Sep 68

Secret