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ECONOMIC INTELLIGENCE REPORT

PRODUCTION OF LOCOMOTIVES AND ROLLING STOCK IN THE USSR AND THE EUROPEAN SATELLITES



CIA/RR 27 31 December 1953

CENTRAL INTELLIGENCE AGENCY

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CIA/RR 27

(ORR Project 32-51)

CENTRAL INTELLIGENCE AGENCY

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FOREWORD

This report deals mainly with the USSR, which accounts for the greater part of the production of locomotives and rolling stock in the Soviet Bloc. It deals more briefly with the European Satellites, which account for a smaller but nevertheless significant part of this production. Supplemental reports will deal more completely with the European Satellites. Communist China also contributes to this production and will be considered at a later time.

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NOTE ON CLASSIFICATION

The over-all classification of this report is SECRET. Some illustrations, however, are of lower classification and are so designated.

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PRODUCTION OF LOCOMOTIVES AND ROLLING STOCK IN THE USSR AND THE EUROPEAN SATELLITES*

Summary

The production of locomotives and rolling stock in the USSR since World War II, supplemented by imports from the European Satellites, has been sufficient to allow the USSR to meet the current transportation needs of the Soviet economy and to modernize to some extent the Soviet inventory of locomotives and rolling stock. The production of locomotives and rolling stock in the European Satellites apparently has been barely adequate, after meeting requirements for reparations exports to the USSR, to meet their current needs. Their inventories have remained at minimum levels, with no "cushion" to meet emergency demands.

In the USSR, domestic production supplies a large part of current requirements for locomotives and rolling stock. Soviet production of locomotives in 1952 is estimated at approximately 2,250 steam, 280 electric, and 230 diesel units; the production of freight cars at approximately 64,000 4-axle units and 9,000 2-axle units; and the production of passenger cars at approximately 2,800 units.** All these numbers greatly exceed comparable numbers for any prewar years. Soviet input requirements cannot be accurately estimated at present. On the basis of US analogy, raw steel -- the most significant material input -- would have amounted to roughly 2 million metric tons in 1952.

In the European Satellites, planned production in 1952 was to amount to a total of 1,200 locomotives (steam units, except for 65 electric units), 53,000 freight cars, and about 2,000 passenger cars. It is estimated that these planned goals were substantially fulfilled. Satellite production evidently constitutes a significant contribution to the Soviet Bloc economy. Although a large proportion of Satellite production is destined for export to the USSR, as

* This report contains information available as of 1 July 1953. ** For estimates of the Soviet production of locomotives and rolling stock, 1928-60, see V, below.

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reparations or under commercial trade agreements, it has not been possible to determine accurately the amount of these exports for any of the postwar years.

During World War II the Soviet inventory of locomotives and rolling stock declined in quality and numbers as a result of hard use, losses, and lowered production. Following the war the prewar rate of expansion was quickly regained and has been maintained. Estimates show an inventory in the USSR at the end of 1952 of 33,500 steam locomotives, 1,380 electric locomotives, 1,088 diesel locomotives, 450,000 2-axle freight cars and 415,000 4-axle freight cars (a total freight car inventory of 1,280,000 equivalent 2-axle units), and 41,933 passenger cars.* These inventory figures include a considerable number of old and somewhat inferior units, in spite of increasing rates of retirement, which allow for gradual standardization and modernization.

Inventories of locomotives and rolling stock in the European Satellites are much less homogeneous (taken country by country or collectively) than the Soviet inventory. Satellite inventories still include a much greater diversity of types and a greater proportion of obsolete or obsolescent units. As a whole, the Satellite inventories, although improved in quantity and quality since World War II, are less adequate than the Soviet inventory to meet current traffic requirements. The total inventory of the European Satellites at the end of 1952 is estimated at some 20,000 locomotives, 475,000 freight cars, and 33,000 passenger cars, including unserviceable units. In the absence of reliable information it is assumed that about 20 percent of the total inventory is unfit for service at any given time.

In the USSR, 20 plants are known to be engaged in the production of locomotives and/or rolling stock, and there are 36 others that also may be so engaged. In addition, several plants have been identified definitely either as overhaul or repair shops or as parts plants. Steam locomotive production has been established at plants in Bezhitsa, Gor'kiy, Kolomna, Krasnoyarsk, Ulan-Ude, and Voroshilovgrad. Production of electric locomotives occurs at Novocherkassk, and production of diesel locomotives occurs at Khar'kov. Freight cars are produced at Altayskoye, Bezhitsa, Dneprodzerzhinsk, Engel's, Kalinin,

* For estimates of the Soviet inventory of locomotives and rolling stock, 1928-60, see IX, below.

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Kaliningrad, Kiev-Darnitsa, Nizhniy Tagil, and Zhdanov. Production of passenger cars has been identified at Leningrad, Mytishchi, and Riga. These plants are under the authority of the Main Administrations of Locomotive Building and Railroad Car Building, which in turn are subordinate to the Ministry of Transport and Heavy Machine Building.*

The organization of production in the Soviet locomotive and rolling stock industry is different from that in the US, inasmuch as the Soviet industry is state-owned and production control is at a national level. As a consequence, production at a given plant is usually serial production of a single model for a reasonably long period, thus affording more opportunity for mass production economies. In the US, production is usually of a "batch" nature, as each producer manufactures units in smaller numbers in response to orders received from the individual railroads. Both systems have merit, the Soviet one lending itself to assembly-line production with a larger ratio of semiskilled labor to skilled labor and the US system resulting in the production of units specifically designed to meet the needs of each railroad rather than the production of all-purpose equipment which may not be best suited for any particular service.

Of the 7 European Satellites, only 5 contain facilities of significant size for the production of locomotives and rolling stock -- East Germany, Poland, Hungary, Czechoslovakia, and Rumania. The production of locomotives and rolling stock in the various European Satellites is organized in very much the same fashion as in the USSR, although on a smaller scale and on a less standardized basis.

In design and technology the production of locomotives and rolling stock, both in the USSR and in the European Satellites, rests on a solid basis established before World War II. In general, the USSR produces a sufficiently wide range of specialized models, which are similar in design to average US units of the same classifications. Since the war the USSR has been expanding the production of electric and diesel locomotives, initiated on a small scale before World War II. An extensive shift to diesel locomotives has not become evident, although such a trend may develop if it is found that the additional technological difficulties of production and maintenance as well as the problem of fuel supply can be met satisfactorily. Freight cars are modern specialized units of the 4-axle type, and passenger cars are mostly of all-metal construction.

* See II, below.

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The European Satellites produce a wide variety of equipment, including several specialized items such as hospital cars which East Germany and Czechoslovakia have been reported to be building; special heavy-duty flatcars built in large numbers in East Germany; armored cars built in Czechoslovakia and Poland; and diesel train sets built in Hungary in sizable quantities not only for the USSR but also for East Germany, Bulgaria, and Argentina.

Railroad operations in the Soviet Bloc are significantly affected by the difference between the Soviet track gage, which is 5 feet, or 1,524 mm (broad gage), and the European track gage, which is 4 feet 8-1/2 inches, or 1,435 mm (standard gage). This difference does not affect the operation of locomotives, which normally do not operate in any but their home country, nor does it seriously affect passenger train operation, since the transfer of passengers from one car to another is easily made. It therefore affects production of locomotives and passenger cars only by differentiating models produced in the European Satellites for Soviet and Satellite use. It has, however, led to attempts to overcome the need for transloading freight, which entails the expenditure of considerable time and effort. The USSR has instituted three methods to ease or overcome the problem of transloading. The first and most practical of these methods is to change the wheel set or the bogie by raising the car and rolling out the first set and substituting one of the wider or narrower gage. This method involves some changes in brake rigging in some cases, but such changes are not usually difficult. This method also requires a sizable stock at the transfer point of wheel sets and bogies of both gages. The second method, also practiced to some extent, is that of removing the wheel and axle from the car and hydraulically moving the wheel on the axle to the desired gage. This method works well if the gage is to be changed only once or, at the most, a few times. Since the wheels are normally pressed on an axle with 40 tons or more pressure, continual shifting of the wheel over the same area would cause eventual loosening because of deformation of the metal. The third method which can be used is that of a wheel and axle set on which the wheel gage may be adjusted by means of a device such as a pin which can be pulled from a slot in the axle and reinserted in another slot when the gage change has been effected. This third method is subject to rather severe technological difficulties, and actual use of the method is not believed to be common. Production of cars with adjustable gages has not been reported in any Soviet plant.

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Neither the production facilities nor the inventory of locomotives and rolling stock of the Soviet Bloc is significantly vulnerable to any cold-war action by the West, although shortages of raw materials, particularly steel, are more frequently reported in the Satellites than in the USSR and a cut-off of Western exports of steel to them would have a limited effect on their cold-war capabilities to produce locomotives. In the case of a hot war, the producing plants in the USSR would become vulnerable to direct or indirect attack of various types. The possibility of direct attack on or sabotage of electric power supplies, foundries, and labor supply would provide the most important physical vulnerabilities, and any attack which interfered with the flow of raw material into the plant would indirectly affect output. The operating inventory of locomotives and rolling stock also would be vulnerable under hot-war conditions. Destruction of a significant portion of the inventory would make it impossible for the railroads to meet the traffic demands placed on them for the movement of freight and military items, including troops, and would reduce the industrial and military capabilities of the whole economy, including the railroad equipment industry itself. The Satellites would have much the same hot-war vulnerabilities as the USSR with respect to production facilities, but their inventory would be somewhat more vulnerable because of the lack of "cushion."

So far as can be determined, Soviet intentions are to continue the present program of building up the inventories with newer and more modern equipment, at the same time increasing the total capacity of the railroads in terms of ability to move larger amounts of freight. Soviet statements in the Fifth Five Year Plan (1951-55) call for an increase by 1955 in railroad freight transportation of some 35 to 40 percent, and estimates of production and inventory indicate that this goal is not unrealistic. The trend of postwar production indicates an increase of about 40 percent in the freight car inventory during the period, an increase which corresponds favorably with the Plan target of an increase in railroad freight transportation of some 35 to 40 percent. The steam locomotive inventory probably is due to increase by somewhat less, and electric and diesel locomotives inventory by much more, than this percentage. The passenger car inventory also is due to increase, although at a lower rate than that of freight cars.

During the Fifth Five Year Plan period, more powerful steam locomotives probably will be introduced, as will more modern diesel and electric locomotives. Freight cars will continue to be predominantly

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of the modern 4-axle types, and passenger cars should continue to improve in numbers and in accommodations for passengers. No present indication of a trend toward extensive dieselization is evident. Such a program might be expected at a later date, since Soviet imitation of US practice has been common in the past. The additional problems of production, cold-weather operation, and fuel supply, however, are deterrents to any early trend in this direction.

Soviet facilities for the production of locomotives and rolling stock were partially converted to armaments production in World War II, and present Soviet Bloc facilities presumably would be converted again to such a purpose in the event of a hot war. Indications of conversion to armaments production have not been noted to date. Any such indications would represent a significant shift in Soviet economic policy.

The primary determinant of the intentions of the European Satellites is Soviet policy. Should Soviet planners so decide, the Satellites could convert their present capacity to armaments production, or, conversely, they could undertake to increase their production of railroad equipment for the USSR, thus releasing internal Soviet plants for conversion. Present indications point to a maintenance of the status quo at least for the time being, with a large percentage of Satellite production being diverted to the USSR.

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PART I: USSR

I. Introduction.

C

A. General Description of the Industry.

The locomotive and rolling stock industry of the USSR is somewhat different from that of the US. Since this industry, like nearly all Soviet industries, is state-owned, the production plans for the various plants are determined not by orders placed by the various railroad systems but rather by order of the State Planning Commission. The basic result of this system is that individual plants engage in series production of one type of unit over a considerable period of time. In the US, on the other hand, a company -- usually a producer of only locomotives or rolling stock -- receives its orders from various railroads and constructs l or more units for each of these railroads to specifications agreed on by the 2 parties. This practice results in what may be called "batch" production, although production usually takes place on an assembly-line basis if the number of units is significant.

Both systems have merit. The Soviet system of continuous production of one type of unit within a plant better lends itself to assembly-line mass production by semiskilled or unskilled labor, whereas the US system lends itself to production of units specifically designed to meet the traffic and road conditions of the particular railroad which has ordered them.

1. Classification of Products.

The products of the Soviet locomotive and rolling stock industry are classified within this report as follows:

a. Locomotives.

(1) Steam.

A steam locomotive is a power unit which derives its energy from the combustion of coal, oil, or other fuel in a fire-tube boiler. The steam produced in this boiler is piped to cylinders, and the energy developed is converted to reciprocating motion and thence to rotary motion at the driving wheels by means of mechanical linkages.

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(2) Electric.

An electric locomotive is a power unit which derives its energy from overhead or side transmission lines carrying electrical current of the required voltage and density. This energy is converted to rotary motion at the driving wheels by means of electric motors mechanically geared to the driving axles.

(3) Diesel.

A diesel locomotive is a power unit which derives its energy from the combustion of fuel oil in an internal combustion engine of the compression-ignition type (diesel engine). In most mainline units the rotating energy thus produced is converted to electrical energy by means of an electric generator, and this electrical energy is then converted to rotary motion at the driving wheels in the same manner as in the electric locomotive. In some smaller switch and shunt locomotives the diesel motor is connected mechanically with the driving wheels, effecting a direct drive rather than a diesel-electric drive.

(4) Other Types.

Other types of locomotives, primarily of experimental design, have been produced in the USSR. They include steamdiesel locomotives and gas-turbine locomotives.*

b. Rolling Stock.

(1) Freight Cars.

Freight cars are nonpowered units which are designed for the carrying of goods of various types. The principal types of freight cars produced in the USSR are the following: boxcars, flatcars, gondola and hopper cars, tank cars, refrigerator cars, and other special-purpose cars.

* For a description of these types of locomotives, see III, A, l, below.

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(2) Passenger Cars.

Passenger cars are units, usually nonpowered, which are designed for the carrying of persons and for other passenger train operations. They include coach, sleeper, mail, diner, and baggage cars. Some passenger cars such as subway cars and motor rail cars contain a power unit and are thus selfpropelled, but they still are classified as rolling stock.

(3) Other Cars.

Other cars include such units as crane cars, track-laying cars, and other maintenance cars.

2. Relationship of the Industry to the General Planning and Economy of the USSR.

The locomotive and rolling stock industry of the USSR is an integral part of the economy. As industrial capacity increases, so must the number of locomotives and rolling stock units increase to supply the expanded industrial facilities of the country with the goods required. Basically the railroad transportation service is responsible for carrying the expanded traffic, but it, in turn, is dependent on the availability of locomotives and rolling stock to meet the demands placed on it.

B. Importance of the Industry.

1. Key Position in the Economy.

The modern economy of the USSR requires an ability to concentrate its resources for production and to disperse the products to the consumer for end use or for purposes of further production. In 1947 the railroad transportation service of the USSR accounted for approximately 85 percent of the total transportation services. The railroad transportation service depends on the locomotive and rolling stock industry to supply the units required to render its services. In an expanding economy, new locomotives and rolling stock are required not only to replace retired units but also to meet the increasing demands placed on the railroad transportation service.

Indicative of the importance of locomotive and rolling stock production in the USSR is the emphasis placed on it in all

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the past Five Year Plans, together with indications that such emphasis is continuing at present. Cessation of production of locomotives and rolling stock would not have an immediate effect on the economy of the USSR, because the present inventory could be overutilized for perhaps 2 or 3 years and the required services still could be rendered by the railroad transportation service. After such a period of time, however, such a large percentage of the inventory would be out of service for major repairs or mandatory retirement that without new units as replacements the rail transportation service of the country would suffer greatly and eventually collapse.

2. Ease of Conversion to War Production.

The locomotive and rolling stock industry of any country is ideally suited to war production. During World War II, both the US and the USSR converted many of their locomotive and rolling stock establishments to armaments production. The American Locomotive Company and the Baldwin Locomotive Works* in the US produced tanks during the war and are engaged in some production of this nature during the present cold-war situation.

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In the USSR those plants which were neither destroyed nor evacuated during World War II were converted to armaments production. A prime example is the railroad car plant at Nizhniy Tagil in the Urals. This plant reportedly turned out over 50,000 tanks during the war and at present is building both railroad cars and tanks on parallel assembly lines. 1/**

The raw materials, tools, equipment, and labor required for the manufacture of heavy armaments are strikingly similar to those used in railroad equipment plants. With the proper preparation beforehand, conversion from production of railroad equipment to production of military armaments can be made easily. It may be assumed that the Russians are well aware of this fact and have made the necessary preparations to carry out such a conversion should it appear appropriate.

* The Baldwin Locomotive Works was merged with the Lima-Hamilton Corporation on 30 November 1950, the new combine becoming the Baldwin-Lima-Hamilton Corporation.

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3. As an Indicator of Capabilities for Heavy Industrial Production.

Because the production of locomotives and rolling stock normally keeps pace with the general peacetime industrial development, the rate of production of these units provides a rough index of a country's general level of industrial production. In addition, since the large-scale production of locomotives and rolling stock requires a large amount of heavy industrial equipment and considerable engineering and management skill, the ability of the USSR to produce these items to varied specifications in extremely large numbers is a good indication of Soviet general ability to produce heavy capital goods.

C. <u>History of the Industry</u>.

1. Pre-World War II.

a. General.

The manufacture of railroad equipment, particularly locomotives, reached a comparatively high level in Tsarist Russia, and the USSR inherited a relatively well-developed industry, particularly as contrasted with the machine tool and metallurgical industries, which are primarily Soviet developments. 2/ The industry then included the following plants: the Alexandrovsk and Nevskiy plants at Leningrad and plants at Kolomna, Votkinsk, and Voroshilovgrad (then Lugansk), all of which built locomotives; a plant at Bezhitsa (Ordzhonikidzegrad), which built locomotives and railroad cars; and plants at Kalinin (then Tver') and Kryukov, which built railroad cars.

During the Russian Revolution and civil war and thereafter until 1926 the locomotive and rolling stock industry of the USSR was almost dormant as far as expansion of production was concerned. But with the initiation of the First Five Year Plan, begun in 1928, the locomotive industry of the USSR underwent tremendous expansion. During the 1930's the USSR became virtually independent of foreign imports. The few US- and UKbuilt locomotives supplied to the USSR in 1931-33 probably were acquired largely for their value in indicating the latest developments in foreign locomotive design. The principal locomotive plants developed under the prewar Five Year Plans were those at

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Kolomna, some 60 miles east of Moscow, and at Voroshilovgrad, in the Eastern Ukraine. The second of these concentrated chiefly on the production of FD-class engines.* Other locomotive plants were situated at Khar'kov, Bryansk, Gor'kiy, Orsk, Ulan-Ude, and Novocherkassk, and electric locomotives were built at the Moscow Order of Lenin and Order of Labor Red Banner Dynamo Works imeni Kirov. 3/

The principal rolling stock plants developed before World War II, in addition to those built in pre-Soviet years, were those at Dneprodzerzhinsk and Nizhniy Tagil. 4/ During the First and Second Five Year Plans (1928-37), rolling stock plants also were set up in Kryukov and Dnepropetrovsk, and, during the Third Five Year Plan (1938-42), car foundries were established in Ust'-Katav and Sverdlovsk. 5/

In 1938, when there was a serious deficiency of rolling stock, a number of other plants undertook to supplement the production of railroad cars: namely, Balashov machinery factory, Kazan shipyard, and Chkalov repair plant. Other supplementary production was planned but was interrupted by World War II. 6/

b. Steam Locomotives.

Before the Revolution, Russia was using largely freight locomotives of classes 0, E, Shch, and F and passenger locomotives of classes N, K, and S.* In 1913, Russian locomotive production totaled 418 units. The O class, with an O-8-0 wheel arrangement** and weighing only some 52 tons, predominated; 11,000 out of Russia's total stock of 20,500 locomotives in 1917 were of that type. Considerable numbers of these old locomotives are still used for switching and shunting. 7/

After the Revolution the steam locomotive inventory of the USSR was in extremely poor condition. In 1921 the Soviet inventory of steam locomotives amounted to 18,500 to 19,000 units,

* For specifications of these and other types of Soviet locomotives and rolling stock, see Appendix A. Class designations of types of Soviet locomotives throughout this report are transliterated from the Russian. ** The wheel arrangement of a steam locomotive is commonly expressed by means of three figures denoting the number of wheels on the leading truck, the driving gear, and the trailing truck, respectively. Thus an 0-8-0 wheel arrangement indicates a locomotive with no leading truck, 8 driving wheels, and no trailing truck.

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but some 60 to 66 percent were not serviceable. Furthermore, only 3 to 4 percent of them were heavy-duty locomotives, and 25 percent were from 20 to 60 years old. In spite of the fact that, during the period from 1922 to 1927, repair of nonserviceable locomotives was stressed, the inventory declined by 1928 to 17,000 units, most of which were old. New freight locomotives produced during this period were largely of the E class, and the bulk of the locomotives produced for passenger service were of the newly designed Su class.

In 1921-22, after the Revolution and civil war, there appeared an altered version of the E-class locomotive (classified as Eg and Es) produced by German and Swedish manufacturers. During the first decade of the Soviet regime, in fact, all the steam locomotives built or imported by the USSR were based on prerevolutionary types: thus, for example, the Su-class passenger locomotive, of which between 500 and 1,000 were built after 1925, was a development of the Tsarist S class. 8/

In 1927, the last year before the inauguration of the Five Year Plans, production of steam locomotives amounted to only 458 units. 9/

During the First Five Year Plan (1928-32), 3,412* new steam locomotives were built, <u>10</u>/ principally E-class freight and Suclass passenger units. <u>11</u>/ This production resulted in an increase of 43 percent in the total tractive effort of the locomotive inventory. <u>12</u>/ In 1932, output reached 827 steam locomotives per year.** 13/

During the Second Five Year Plan (1933-37), 5,957*** new steam locomotives were built, 14/ including the new FD- and SO-class freight and JS-class passenger locomotives, 15/ as well as the older E- and Su-class units. The highest level of prewar production was reached in 1935, when a total of 1,556**** steam locomotives was produced. 16/

In reviewing the steam locomotive inventory of the USSR, mention must be made of the huge AA (Andrei Andreyev) class, which, with its 4-14-4 wheel arrangement, is the largest type of steam locomotive ever built in Europe. The original prototype of

* This figure is lower than the evaluated average of 3,442 as given in Figure 15, following p. 54, below.

** For estimates of the Soviet production of locomotives and rolling stock, 1928-60, see V, below.

*** This figure is higher than the evaluated average of 5,918 as given in Figure 15, following p. 54, below. **** This figure is higher than the evaluated average of 1,518 as given in Figure 15, following p. 54, below.

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this class was produced by the Voroshilovgrad Locomotive Works in 1934, the main object being the comparison of the efficiency and power of such a locomotive with that of the Beyer-Garrett or Mallet types of locomotives. The distribution of adhesion weight of the AA-class locomotive over as many as 7 driving axles enables the axle load to be kept down to about 20 tons only.* It would appear that only a very small number of these locomotives were built and that they were intended for use on the Donbas coal traffic lines. Also worthy of mention is the Beyer-Garrett articulated locomotive, with a 4-8-2 + 2-8-4 wheel arrangement, which was supplied to the Soviet railroads by Beyer, Peacock, and Company of Manchester, England, in 1932. At the time of its construction this Beyer-Garrett locomotive, weighing 255 tons, was the heaviest and most powerful steam locomotive ever built in Europe. It had a maximum axle load of 19 tons and was given the classification of Ya. It is reported, however, that the maintenance requirements of this locomotive did not accord with Soviet operating conditions and that it consequently was dismantled in 1937. 17/

During the Third Five Year Plan (interrupted in 1941 by World War II), production of steam locomotives in terms of physical units declined steadily, probably because of the building of prototypes and the placing in serial production of new units. This decline was largely offset by the fact that the average tractive effort of the steam locomotives built in these years steadily increased, with the larger portion of the production being of SO-, FD-, and JS-class power.

Shortly before World War II the Soviet railroads also had brought into use on the Moscow-Leningrad "Red Arrow" express service a new type of passenger steam locomotive with a 4-6-4 wheel arrangement, produced by the Kolomna Locomotive Works, claimed to be capable of a maximum speed of 112 miles per hour. A similar passenger locomotive also was produced by the Voroshilovgrad Steam Locomotive Plant imeni October Revolution, with an even higher claimed maximum speed. In addition, various experimental steam locomotives (such as high-pressure and steam-electric) were being tested in the USSR before the war. Then, in February 1941, only a few months before the extension of the war to the USSR, the Kolomna Locomotive Works produced the initial steam locomotive of another

* Tonnages throughout this report are given in metric tons.

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class, the LK (Lazar Kaganovich), with a 2-8-2 wheel arrangement and an axle of 23 tons. The usefulness of this locomotive is restricted by its comparatively high axle loading. 18/

The changes which occurred during the first three Five Year Plans in the production of steam locomotives are shown in Table 1.

Table 1 19/

Estimated Steam Locomotive Production in the USSR by Classes Selected Years, 1928, 1934, 1937, and 1940

<u></u>	·			Percent
Class	1928	<u>1934</u>	1937	1940
Freight •				
FD SO E (All Types) Others	0 0 25.5 74.5	1.2 0 41.9 56.9	9.5 3.5 40.2 46.8	14.5 8.9 47.3 29.3
Total	100.0	100.0	100.0	100.0
Passenger				
JS Su Others	0 16.8 83.2	0.1 29.9 70.0	2.7 42.4 54.9	12.0 48.5 39.5
Total	100.0	100.0	100.0	100.0

The average drawing power (tractive effort) of freight steam locomotives in the inventory increased from 21,200 pounds in 1913 to 31,600 pounds in 1940, and of passenger steam locomotives from 17,200 pounds in 1913 to 21,500 pounds in 1940. 20/

Between 1938 and the outbreak of the war in 1941 the improvements in the Soviet steam locomotive inventory resulted in an inventory of steam locomotives over half of which were less than 12 years old, the youngest inventory in the world. 21/

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c. Electric Locomotives.

The first Soviet electric locomotive was assembled in 1932. Within 4 years, production had risen to 44 units annually. In the years following 1936, however, production declined steadily, probably because enough units were available for the trackage electrified. This type of locomotive is particularly useful for regions with difficult grades, such as the Caucasus and the Urals. It also is more efficient than the steam locomotive in the colder regions and for hauling heavy loads. In addition, low-grade fuels or water power may be used to supply the necessary electricity. These advantages are somewhat offset by the higher initial cost of the production of these units over the cost of steam locomotives and in addition by the cost of electrification of the trackage where these units are to operate. 22/

Prewar models of electric locomotives produced in the USSR were the SS, VL-19, VL-22, and PB classes. The first two models of the SS class were built in the US and the remainder in the USSR. The SS class is a freight locomotive designed for use in the heavily graded 39-mile section of the Suram Pass in the Caucasus. The VL classes predominated in prewar years, 100 of the stock of 140 electric locomotives in 1937 being of that class. The VL classes are passenger-freight models, and the PB class is a passenger locomotive. In addition to these three principal classes, electric locomotives of Italian construction with the classification of SK were introduced before the war in connection with the Kizel-Sverdlovsk electrification. 23/

d. Diesel Locomotives.

The first diesel locomotives in the USSR were produced singly, probably as experimental prototypes. The earliest model, the Shch-EL-1, was built in 1924 in Leningrad. Following the production of this unit the Russians developed plans for the O-EL-6 and O-EL-7, and for the E-EL-2, -3, -5, -8, and -9. Of these, the O-EL-6 and O-EL-7 as well as the E-EL-5 and E-EL-8 were actually produced in Germany for the USSR in 1931 and 1932. The E-EL-2 was built in 1924 and redesigned in 1928 by the Russians. They also constructed 1 E-EL-5 unit in 1931 and 1 unit designated the VM-20 in 1934. It is not known just when the E-EL-9 was built, but it was followed in 1932 or 1933 by the E-EL-12, which became the first series-produced diesel locomotive

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in the USSR. Both of these units were built at Kolomna. This E-EL-12 class was the standard diesel locomotive in the USSR before World War II. $2\frac{4}{2}$

Soviet acquisitions of diesel locomotives (domestic production plus German-built models) before World War II amounted to some 27 units. During the Second Five Year Plan, some 248 diesel locomotives were to have been produced, 110 of them in 1937. <u>25</u>/ Technological problems probably were the reason why only the 27 units were actually placed in service during this period.

e. Freight Cars.

The level of freight car production in Tsarist Russia was comparatively lower than that of locomotive production, and the task of the USSR under the Five Year Plans was consequently more difficult. The vast majority of freight cars were small 2-axle units with link couplers and hand brakes. By 1927-28, actual physical production was 7,871 freight cars, of which 5,130 were 2-axle units* and the remaining 2,741, 4-axle units. Freight car production increased slightly from 1927-28 to 1934, with both 2-axle and 4-axle units being produced, in a ratio of about two 2-axle units to one 4-axle unit. In 1935, however, the production of freight cars was deemed too low, and L.M. Kaganovich was appointed Commissar of Railroads, evidently in the expectation that he would achieve almost immediate results. Production in fact jumped to 85,675 2-axle units in 1935. This increase was accomplished by the following two means: (1) passenger car production, which had been increasing during the preceding years, was cut

* Almost all Soviet statistics on freight car production and inventory are given in 2-axle units. The 2-axle unit is the early type of freight car produced by the Russians, with a tare weight of 7 tons and a capacity of 16.5 tons. This type of car is standard on most European railroads today. In the USSR, however, production of larger freight cars with 4 axles has been increasingly predominant, until at present almost all production is of the 4-axle type. For the purposes of counting, however, a 4-axle unit is considered to be equivalent to two 2-axle units. In this report, all estimates will be in terms of equivalent 2-axle units, unless otherwise stated.

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back sharply; and (2) production of 2-axle flatcars, which are easier to produce than any 4-axle car and any other type of 2-axle car, increased sharply. Two-axle flatcars, which had accounted for only 21 percent of the total freight car output in 1927-28, constituted 73 percent of the total in 1935. $\underline{26}/$ In the years after 1935, production declined, primarily because of the trend toward larger and more complex units, which are harder to build. In 1939, production reached a low of 37,600 equivalent units. In 1940 it began an upswing, which continued in 1941 until the outbreak of World War II. $\underline{27}/$

The rise in the number of 4-axle freight cars in the total inventory has been consistent. Relative to the total inventory, they amounted to only 1.5 percent in 1913 and increased to 5.5 percent by 1928, to 8.5 percent by 1932, and to 19 percent by the end of 1937. By 1940 the number of 4-axle freight cars had risen to approximately 25 percent of the total inventory. 28/ In line with the increase in the percentage of 4-axle cars, the number of freight cars equipped with automatic couplers and air brakes has also increased greatly. In 1913, only 15 percent of the cars were equipped with brakes, and then with hand brakes only. By 1 January 1940, 68.4 percent of the units had air brakes. 29/ The increase in number of freight cars equipped with automatic couplers has been more recent. In 1935, only 3.4 percent of the freight cars had automatic couplers, the remainder being of the link-screw type, whereas by 1 January 1940, 31.2 percent were so equipped. 30/

f. Passenger Cars.

Under the Five Year Plans before World War II, Soviet passenger car production advanced considerably. Between 1927-28 and 1934, it almost quadrupled. Thereafter, until the outbreak of the war, production declined unevenly. Under the First Five Year Plan (1928-32), 4,054* passenger cars were produced, and under the Second Five Year Plan (1933-37), 5,291** were produced. Under the Third Five Year Plan (1938-42), before the outbreak of war, some 3,300 units were produced. <u>31</u>/ In 1941 the passenger car inventory was 80 percent higher than in 1920. 32/

The passenger cars produced before the war were mainly 4-axle units of the wooden type, equipped with compressed air brakes. Two main classes of passenger cars were produced by the Russians, "hard" and "soft" classes, providing a minimum of accommodations for the passengers. One concession made to the

* This figure is higher than the evaluated average of 4,038 as given in Figure 17, following p. 58, below. ** This figure is lower than the evaluated average of 5,561 as given in Figure 17, following p. 58, below.

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Soviet climate was the installation of individual heating units in each car rather than reliance on steam heat supplied from the locomotives. Just before the outbreak of the war, all-metal passenger cars were put into production, but only small numbers were produced at that time. 33/

2. World War II.

During World War II, plants which were previously engaged in the production of locomotives and rolling stock and had not been destroyed or evacuated because of the German invasion were converted to armaments production. Production of locomotives and rolling stock virtually ceased, and the USSR became dependent on Lend-Lease shipments and captured "war prizes" for additions to its inventory.* The Voroshilovgrad, Bezhitsa, and Khar'kov locomotive plants were destroyed by enemy action or Soviet demolition. Much of the equipment, however, was evacuated to the East. The Kolomna locomotive plant was evacuated during the war but was not destroyed. 34/

The locomotive plant at Krasnoyarsk was equipped during the war with equipment evacuated from the plants in the Ukraine, but it did not start production of locomotives until after the end of the war. The Kalinin and Dneprodzerzhinsk railroad car building plants were destroyed during the war, restored after the war, and went back into production in 1946. $\underline{35}$ / At Nizhniy Tagil, the site of a huge railroad car building combine, tank production was introduced on a mass production basis. Reportedly, over 50,000 tanks were produced at this plant, an excellent demonstration of the effectiveness with which the industry may be converted to armaments production.

3. Postwar.

With the end of hostilities the locomotive and rolling stock industry of the USSR began a tremendous program of reorganization, restoration, and new construction. The Moscow Dynamo Plant imeni Kirov, which produced electric and diesel locomotives before World War II, turned to the production of parts and subassemblies for the production of these units at other plants. The locomotive plant at Khar'kov was devoted to the production of main-line diesel

* For estimates of Lend-Lease and war-prize acquisitions by the USSR, see VII, C, below.

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locomotives. Novocherkassk began the production of main-line electric locomotives. Voroshilovgrad, Bezhitsa, and Kolomna were reconstructed and began production of steam locomotives. Nizhniy Tagil was reconverted to the production of freight cars. Kalinin and Dneprodzherzhinsk were rebuilt and once more began production of freight cars. Numerous other plants also were involved in this postwar program.*

The goals of the Fourth Five Year Plan (1946-50) were high of necessity. The locomotives and rolling stock of the USSR suffered greatly during the war, both from enemy action and from overutilization and lack of maintenance. Getting the railroads back into shape was essential if the planned industrial expansion of the economy was to be attained. The Fourth Five Year Plan included the following production goals for the 5-year period: 6,160 steam locomotives, 555 electric locomotives, 865 dieselelectric locomotives, 472,500 freight cars, and 6,000 passenger cars. 36/ Principal types of steam locomotives to be built included the new L (Pobeda) class, as well as the prewar FD, SO, JS, and Su classes. New types were also to be developed. Diesel locomotives were to be of the TE-1, TE-2, and TE-5 classes, and electric locomotives were to be of the VL-22m class. Postwar passenger cars were to be largely all-metal types. Freight cars scheduled to be produced were to be almost exclusively 4-axle units, with substantial production of specialized types.**

Reports on development of the industry after the end of the Fourth Five Year Plan are scattered and poor. Plans for the future have not been announced, and speculation as to the progress of the industry to date and in the future is dependent to a large extent on projections of earlier estimates.***

** Actual accomplishments under the Plan are discussed in detail in Y and X, below, and specifications of the types of units will be found in Appendix A.

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^{*} For a more complete list of plants engaged in postwar production of locomotives and rolling stock see IV, below.

^{***} These speculations are discussed in detail in X, below. See also Appendix C, which provides comparative statistics on the US and the USSR for interpreting Soviet capabilities.

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II. Administrative Organization of the Industry. 37/

Before 1939 the locomotive and rolling stock industry of the USSR was lumped together, for administrative purposes, with the other machine building industries.

The ukase of the Supreme Soviet of the USSR of 5 February 1939 ordered the partition of the People's Commissariat of Machine Building, which had emerged from the People's Commissariat of Heavy Industry in August 1937, into three independent commissariats: the People's Commissariat of Heavy Machine Building, the People's Commissariat of Medium Machine Building, and the People's Commissariat of General Machine Building.

The People's Commissariat of Heavy Machine Building controlled a Main Administration of Transport Machine Building (comprising the Krasnyy Profintern Railroad Locomotive Plant at Bezhitsa and the Voroshilovgrad Steam Locomotive Plant imeni October Revolution), and the People's Commissariat of Medium Machine Building controlled another Main Administration of Transport Machine Building (comprising all railroad car building plants).

On 15 October 1945 the People's Commissariat of Transport Machine Building was created from the People's Commissariat of the Tank Industry, which in 1942 had emerged from the People's Commissariat of Medium Machine Building. In March 1946 the People's Commissariat of Transport Machine Building was changed to the Ministry of Transport Machine Building. As late as March 1953, Yuriy Yevgen'yevich Maksarev was Minister of Transport Machine Building, and the following have been identified as Deputy Ministers: Sergey A. Stepanov, A.I. Mosin, Ya.A. Nazarov, P.M. Zernov, N.V. Zherekov, I.A. Lebedev, M.N. Popov, and D.E. Kochetkov. The following main administrations of the Ministry of Transport Machine Building also have been identified:

> Main Administration of Locomotive Building (with Shcherbakov as chief).

Main Administration of Railroad Car Building (with Shevyakov as chief).

Main Administration of Supply.

Main Administration of River Shipbuilding.

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Main Administration of Workers' Supply.

Main Administration of Diesel Production.

The death of Stalin and the rise to power of Malenkov in March 1953 resulted in several changes in the higher echelons of administrative authority. The effect on the Ministry of Transport Machine Building was to combine it with the Ministries of the Shipbuilding Industry, Heavy Machine Building, and Construction and Road Machine Building to form the new Ministry of Transport and Heavy Machine Building. The former Minister of the Shipbuilding Industry, Vyacheslav A. Malyshev, became Minister of the new ministry. In June 1953, Malyshev became Minister of Medium Machine Building and was succeeded in his former post by Ivan I. Nosenko.

III. Current Design and Technology.

A. Equipment.

The USSR produces railroad equipment more or less standard throughout the world, although it is adapted to a degree to Soviet conditions and is in general somewhat behind the most advanced Western standards.

1. Locomotives.

a. In Use.

(1) Steam.

A large variety of types of steam locomotives is in use in the USSR. Since steam locomotives in the USSR are used as long as 45 years before they are scrapped, there are still a great many old units in service. <u>38</u>/ Freight steam locomotives now in use on the Soviet lines include the SO, FD, L, E, and Shch classes as well as numerous US Lend-Lease units of the Ye class (Decapods).* The principal types of passenger steam locomotives in service, in the order of their importance, are units of the

* A locomotive with a 2-10-0 wheel arrangement. For specifications of all types of Soviet locomotives and rolling stock, see Appendix A.

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JS, Su, and N classes. Switch steam locomotives are mainly of the O class, which has been produced for many years with slight changes each year over the preceding model.

(2) Electric.

Electric locomotives in use in the USSR are the combination freight-passenger VL classes (VL-19, VL-22, and VL-22m) and the SS class, which is a mountain-service freight engine.

(3) Diesel.

Diesel locomotives in use in the USSR include various models of the E-EL class, the VM-20, the US-built DA (produced by the American Locomotive Company), the US-built DB (produced by the Baldwin Locomotive Works), and the postwar TE-1, TE-2, and TE-5 classes.

b. In Production.

(1) Steam.

Steam locomotives in production in the USSR are units of the L, SO, FD, JS, and Su classes. The L class is the predominant unit in production.

(2) Electric.

The latest information indicates that the VL-22m class is the principal model of electric locomotive now in production at the Novocherkassk Electric Locomotive Plant imeni Budennyy, although a later model of either the same or a new class may now be in production.

(3) Diesel.

The Khar'kov Transportation Machine Building Plant (KhZTM) is continuing its production of the TE-2 and TE-5 classes of diesel locomotives. No other units are known to be in production currently.

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c. Planned.

(1) Steam.

Several Soviet experimental types of steam locomotives have been reported in postwar years. Most of them have been prototypes which are undergoing extensive tests before being accepted for mass production. One of the most important is a freight locomotive with a 2-10-4 wheel arrangement, which reportedly passed tests in 1951. <u>39</u>/ It has an axle load of 22.5 tons, which predicates its use on main lines with heavy rails, but it is reported as having a higher speed than the SO class, thus increasing the carrying capacity of single-track lines. Another important type is a passenger locomotive with a 4-8-4 wheel arrangement and an 18.5ton axle load. It has a tractive effort of 120 to 125 percent of the now standard Su class and reportedly will be used extensively on both main and secondary lines when put into operation. 40/

(2) Electric.

No reports of development of new types of electric locomotives in the USSR have been received, but progress in this field is undoubtedly continuing, since the mileage of electrified lines is increasing and presumably creating demands for new types of equipment.

(3) Diesel.

Development of diesel locomotives in the USSR beyond the TE-2 and TE-5 classes has not been reported. In view of the probable extensive dieselization of Soviet railroads (following US practice), it is likely that development of new types of units is under way.*

(4) Other Types.

Two other types of locomotives should be mentioned here. The first of these is the so-called steam-diesel locomotive. This unit is designed to take advantage of the superior starting effort of a steam locomotive and the higher fuel efficiency of a diesel locomotive. Briefly, 2 opposed piston cylinders are mounted on the

* See X, below.

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locomotive, 1 on each side. They are situated between the driving axles of the locomotive, so that the wheel arrangement becomes a 2-4-4-2. When starting the locomotive, steam is admitted and exhausted from one side of the pistons. On reaching a certain minimum speed, the steam supply is cut off, fuel is injected into the other side of the pistons, and the engine operates as a compression-ignition engine. One locomotive of this type is known to have been built in the USSR, and it is believed that a second was built.* This is the first such unit built anywhere in the world, and it is of interest in indicating the capabilities of the USSR in technological development.

The second type of locomotive to be considered is the gas-turbine locomotive. The Russians claim to have built a 220-hp unit in 1933 and, on the basis of the success of this unit, to have built a 4,500-hp unit (date unknown). <u>41</u>/ The Soviet claim cannot be validated, but it indicates that the Russians are seriously interested in this type of unit and may be well along in research on it. Development of gas-turbine locomotives was originally begun by the Brown-Boveri Company of Switzerland, and the first unit was put into operation in that country in about 1941. Since then interest in this type has been high. The British have purchased several Swiss units and have built some themselves. In the US, several units are undergoing road tests, and others are unuer construction for the purpose of testing.

d. Trends.

There are no indications of any unusual trends in the development of locomotives in the USSR. Steam locomotives are increasing in weight and power as roadbeds permit and are being equipped with modern features commonly found on US units, such as superheaters, automatic stokers, drifting valves, rollerbearing journal boxes, air-operated sanders, and similar modern appurtenances. Electric locomotive production is fairly well developed in the USSR and can be expected to continue to improve in types and numbers. Diesel locomotive production, which was started late, is gradually increasing, and the types of units being built conform fairly closely to US standards. All locomotive production is following earlier US trends and can be expected to continue to do so for many years to come. The

* For full specifications of this locomotive, see Appendix A, 2.

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locomotive production programs in the USSR are comparable to a high degree with US programs of 15 to 20 years ago. The gap is closing, however, and in time the Soviet units probably will be on a par with their US contemporaries.

2. Rolling Stock.

a. In Use.

(1) Freight Cars.

The types of freight cars in use in the USSR are similar to US types in that the USSR is using flatcars, boxcars, gondola cars, refrigerator cars, tank cars, and other standard types. In a second respect, however, there is a vast difference. About one-third of the carrying capacity, or numerically about onehalf of the present inventory of Soviet freight cars, consists of 2axle low-capacity units, which means more cars and hence longer trains per given train load. In addition, a great many cars are not equipped with air brakes or automatic couplers. The lack of air brakes requires that, in mixed trains,* cars not equipped with air brakes be equipped with pipes enabling those cars equipped with air brakes to be piped to the locomotive. The lack of air brakes also decreases braking power on hills and in emergencies. The lack of automatic couplers results in a considerable time loss in making up trains and in cutting out cars at classification yards. Since rolling stock is in short supply, a great many cars in use are overage and in bad repair.

(2) Passenger Cars.

Little information is available on the types of passenger cars in use in the USSR. They seem to be an aggregate of various types of wooden and all-metal cars, largely 4-axle types, predominantly of older and inferior construction.

* Mixed trains are trains made up of a mixture of cars equipped with air brakes and cars not equipped with air brakes.

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b. In Production.

(1) Freight Cars.

During the Fourth Five Year Plan (1946-50) the production of freight cars was largely of 4-axle units of modern construction, equipped with automatic brakes, automatic couplers, and other up-to-date features. The Plan called for the production of the various types of cars in the following percentages: boxcars, 38 percent; flatcars, 30 percent; tank cars, 10 percent; gondola and hopper cars, 20 percent; and refrigerator cars, 2 percent. 42/ Presumably this proportion was produced and is still the yardstick for production. A small number of 2-axle cars are being produced in an effort to maintain the inventory of this type of car at a level of about 450,000 units.

(2) Passenger Cars.

Information available indicates that current production of passenger cars in the USSR is largely of the allmetal type, with little or no emphasis on the production of wooden passenger cars.

c. Planned.

(1) Freight Cars.

The USSR will continue to stress the production of specialized freight cars in an attempt to rid the inventory of its heavy overload of flatcars and boxcars. Four-axle cars with modern features will continue to dominate the production schedules, although some production of 2-axle cars will continue for a number of years, since this type of car is well suited to use on lines with light rails and to short hauls of small loads.

(2) Passenger Cars.

For propaganda purposes, the production of the relatively more expensive and more complicated all-metal passenger car in the USSR probably will continue. Equipment for the transportation of more passengers is likely to continue to take a lower priority than the production of <u>de luxe</u> cars, which can be cited as examples of the "glorious" accomplishments of the Soviet economy.

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d. Trends.

(1) Freight Cars.

The trend of the past half-century will continue until such time as the Soviet inventory of freight cars is sufficient to meet the demands placed on it. No indications of stress on the production of cars peculiarly adaptable to military use have been received, the trend being instead an attempt to bring the freight car inventory up to a par with the more modern motive-power inventory.

(2) Passenger Cars.

The present trend in passenger car production in the USSR probably will continue until the freight car inventory no longer requires the top priority. Those cars that are built will be of modern design, but no attempt is evident to provide the Soviet people with an adequate number of passenger cars to meet its needs.

3. Other Units.

a. In Use.

Electric trains used in suburban service in electrified regions of the USSR were first produced in 1928 and have continued to increase in number since that time. They consist of motor rail cars which are equipped with traction motors and overhead current collectors and of trailer cars which are, in effect, ordinary passenger cars similar in appearance to the motor rail cars.

Several diesel train sets imported from Hungary also are in use and consist of motor rail cars and trailer cars.*

b. In Production.

Electric train sets are presently being produced in the USSR, and diesel train sets are being imported from Hungary.

* For references to these train sets, see Part II, Section II, C, below.

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c. Plans and Trends.

No significant information is available on future Soviet plans for the production of the special units mentioned above, and no trends, other than the continued production and importation of these trains, are obvious or significant.

B. Gage Standards and Problems of Gage Conversion.

The gage of Soviet locomotives and rolling stock is 5 feet, or 1,524 mm (broad gage), although that of almost all of the other European countries is 4 feet 8-1/2 inches or 1,435 mm (standard gage). The through movement of trains in or out of the USSR, therefore, is restricted, and considerable time is lost in transloading goods or passengers or in regaging the wheel sets to permit transfer to a different gage. This problem has become more and more important as the USSR has developed its trade with the European Satellites and other European countries. Various schemes have been devised and tried by the Russians to solve this problem, but it is still of major concern.

1. Adjustable Gages.

a. Locomotives.

Since electrified lines do not cross the border of the USSR and since diesel locomotives are not widely used in either the USSR or other European countries, there is no need for gage conversion on these types. Steam locomotives are therefore the only types to be considered for gage conversion at present. Since in normal operation locomotives are assigned to certain districts, however, the necessity of gage conversion in peacetime does not exist, except for permanent transfer of a unit from one country to another.

Steam locomotives produced by the European Satellites for the USSR are usually equipped with wheel sets of standard European gage without main and side rods and are towed to a transfer point with the wheel sets of the Soviet broad gage and the main and side rods on a flatcar behind the locomotive. On reaching the transfer point, the necessary conversion is made, and the locomotive can then proceed under its own power.

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Steam locomotives captured by the Russians were converted to broad gage by blocking out the cylinders from the cylinder pads with steel inserts in order to move the center line of the piston out to the wider gage of the wheels. This operation can be performed relatively easily on a locomotive whose frame is of the rail type on which the cylinders are bolted. On a locomotive with a cast frame on which the cylinders are an integral part of the locomotive bed, such a change is not possible, and it is not believed that gage conversions on any such locomotives have been made.

In peacetime, when both the standard- and broad-gage lines have a sufficient supply of motive power, it is likely that no gage conversion of locomotives other than for importation purposes takes place. In case of war, when one gage would conceivably have enough of its units destroyed by enemy action to warrant using locomotives of the other gage, such conversions could be effected with a minimum of effort on locomotives of rail-type bed construction. (Most steam locomotives in service in Europe today are of the rail-type bed construction.)

b. Freight Cars.

Several devices for adjusting the gage of freight cars have been tried by the USSR.* There are three basic methods of changing the gage of a car, as follows:

(1) The first method of gage conversion involves the removal of one wheel set or bogie and the substitution of another. In the case of 4-axle cars, this substitution is relatively simple, since the entire bogie is changed and the brake rigging and journal boxes are integral with the bogie frame. In the case of 2-axle cars (or any car where the brake rigging is hung from the car frame), the substitution becomes somewhat more difficult, since clearances for the wider or narrower wheel and axle set must be allowed for. In most cases, this means cutting the journal-box supports away in some areas and arranging the brake rigging for adjustment to either gage. After these adjustments have been made the first time, future changes are made with ease.

* Since passenger traffic is not heavy in and out of the USSR, the gage difference does not create a problem in passenger transfer.

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To change the wheel set or the bogie, the cars are run one at a time onto a special track which has 1 rail on 1 side and 2 parallel rails on the other side, set to the 2 gages. One end of the car is jacked up, and the wheel set or the bogie is changed by running it out from under the car. The wheel set or the bogie of the new gage is then run under the car, and the jacks are lowered. This operation is then repeated at the other end of the car. It is a relatively quick and easy method of gage conversion, except that a sizable stock of wheel sets and bogies of both gages must be maintained.

(2) The second method of gage conversion reported is that of jacking up the car at one end as before, running out the present wheel set (in this case only the wheels and axles are removed from bogies), and placing the wheel set on a hydraulic press which forces the wheel in or out along the axle as required to adjust the gage to the width desired. This method works well if the gage is to be changed only once (or, at the most, a few times). The wheels are normally pressed on the axles with a pressure of about 40 tons. Moving the wheel back and forth over the same area under this pressure will cause deformation of the metal and eventual loosening of the wheel. This method was used on most of the freight cars captured by the Soviet army during World War II and sufficed as long as these cars remained on Soviet-gage trackage. Many cars have been returned to the European Satellites (particularly East Germany), but information is not available as to whether it was possible to regage the wheel sets hydraulically or whether new wheel sets were necessary.

(3) The third method of gage conversion involves the installation on the wheel and axle of some special device which will permit the widening or narrowing of the gage by means of pulling a pin out of the axle and reinserting it in the axle in a different hole when the gage conversion is made. These devices have been reported at various times and vary somewhat in detail. The technological difficulties of such a scheme are such that it does not appear likely that the idea has gone much beyond the experimental stage. Substituting a small pin for the holding force of a wheel pressed on an axle with a pressure of some 40 tons is neither safe nor likely, and this method of gage conversion must be regarded with caution until further information is available on the technical details.

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2. Transloading of Goods.

The only alternative to changing the gage of freight cars so that goods may be transported from standard to broad gage, or vice versa, is to transfer the lading from one freight car to another. This is still the practice in many cases. It involves considerable labor, which, however, in the European Satellites does not seem to be a problem, because the labor required can in most cases be of an unskilled, forced, or convict nature.

C. Influence of and Similarity to Foreign Designs.

The development of Soviet locomotives and rolling stock has been influenced considerably by foreign designs, since the Russians are prone to take advantage of improvements developed and proved by other countries.

Development of steam locomotives in the USSR was for many years dependent on copying imported locomotives, particularly those of US and UK make. Steam locomotives produced by the American Locomotive Company and the Baldwin Locomotive Works were shipped to the USSR both before and during World War II. Some of the items that are now standard equipment on Soviet steam locomotives which are a result of foreign influences are the automatic coupler, welded boilers, air-brake equipment (a close copy of Westinghouse equipment and interchangeable with it in many instances), automatic stokers, superheaters, and roller-bearing journal boxes.

Electric locomotive production in the USSR seems to have been predicated to some extent on the units imported from Italy and the US. The first units used in the USSR were imported, and the specifications of later units indicate considerable copying.

In diesel locomotive production the same situation seems to exist. The first diesel locomotives in operation in the USSR were German-built. Later units, built by the Russians, are so closely copied that they even bear the same class designation, although the model number is changed. Postwar models built by the locomotive works at Khar'kov bear striking similarity to US road-transfer and road-freight locomotives.

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In more recent years the Russians seem to have taken the initiative to some extent and have been trying to develop units which are basically of their own design: for example, the steamdiesel locomotive discussed earlier. Just how successful these units have been or will be remains to be seen.

In freight car production the Russians consistently have been trying to emulate the US. Four-axle freight cars are the rule now, and they are equipped, much as are their US counterparts, with air brakes, automatic couplers, and other modern devices. It will be a long time, however, before the present inventory of 2-axle lowcapacity freight cars is out of service.

In passenger car production the Russians seem to be taking a different point of view. The all-metal passenger car program seems to be intended to impress on the Soviet people the abilities of the Russians to provide <u>de luxe</u> accommodations. As the inventory of such cars is, however, inadequate, such an impression may be short-lived.

IV. Production by Plants.

Locomotive and rolling stock plants are numerous in the USSR. About 120 plants have been reported as producing complete locomotives and/or rolling stock. Plants which may only repair or maintain equipment, however, are often reported as producing plants. All the plants reported since World War II as producing plants have been studied, therefore, to determine whether or not they were in fact producing plants during the postwar period and, if they were, to determine what their production rate was. Twenty plants were positively identified as producing plants, and 36 others were tentatively so identified.* The rest of the plants were identified either as overhaul or repair shops (41 such installations were identified) or as installations with various special functions such as the production of parts, the production of narrow-gage equipment, and the production of maintenance equipment.**

The 20 plants positively identified as producing plants have been studied carefully for information bearing on the type of

* For maps showing the locations of locomotive and rolling stock plants in the USSR, see Figures 1 and 2, following p. 34. The Konus Railroad Equipment Plant at Saratov (see p. 48, below), which50X1-HUM is producing locomotive tenders, is not included in this computation and is not shown on the maps.

** For a list classifying all these plants, see Appendix B.

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equipment produced and the rate of production. Estimates have been made, where possible, by recording and plotting in chronological order all reported actual and planned rates of production, which are given on different bases ranging from daily to yearly bases. These plotted rates have been examined, obviously inaccurate ones have been eliminated, and yearly production rates have been estimated. Since all the plants for which production information has been found were in the process of reconstruction, reactivation, or new construction at one time or another during the postwar period, rates of production given for these plants may be considered as representing the maximum capacity of the plants for the years in question.

Production estimates for the 20 plants positively identified as producing plants account for a very large part of estimated Soviet production of locomotives and rolling stock. Eight plants account for all but a small part of the total estimated production of locomotives in the USSR. Nine plants account for the greater part of the total estimated production of freight cars. The information available on passenger car production is insufficient to indicate whether or not a significant number of passenger cars may be produced by plants other than the three plants positively identified as producing passenger cars.

A. Locomotives.

Production information is available for all the postwar years on 7 of the 8 Soviet plants positively identified as producing locomotives. The estimates made for these plants on the basis of this information indicate that they account for all but a small fraction of the estimated total Soviet production of locomotives. The close relation, indicated in Table 2,* between the total for these plants and the total estimated production of locomotives in the USSR,** reflects the fact that locomotive production is a heavy industrial operation that can be undertaken by only a limited number of plants, about which there is considerable information.

Information about the plants listed in Table 2 is summarized below, together with production estimates.

** Estimated total production of locomotives is presented and explained in V, below.

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^{*} Table 2 follows on p. 35.



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Table 2

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Estimated Locomotive Production in the USSR by Plants Positively Identified as Producing,Locomotives Compared with Total Estimated Production <u>a</u>/ 1946-52

							Units
	<u>1946</u>	1947	1948	1949	1950	1951	1952
Type and Plant							
Steam							
Bezhitsa Gor'kiy Kolomna Krasnoyarsk Ulan-Ude Voroshilovgrad	0 N.A. 50 144 80 20	12 25 180 144 165 220	88 200 270 120 220 360	180 250 360 110 260 480	300 250 390 120 295 600	360 250 400 130 320 650	360 250 400 140 340 675
Total Plant-by-Plant	<u>294</u>	<u>746</u>	1,258	1,640	1,955	<u>2,110</u>	<u>2,165</u>
(Total Estimated Production)	(330)	(900)	(1,360)	(1,700)	(2,040)	(2,170)	(2,250)
Electric							
Novocherkassk	N.A.	25	55	100	165	220	265
(Total Estimated Production)	(2)	(25)	(60)	(110)	(170)	(225)	(280)

a. Figures on total estimated production, which are rounded, are taken from Figure 15, following p. 54, below.



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Table 2

Estimated Locomotive Production in the USSR by Plants Positively Identified as Producing Locomotives Compared with Total Estimated Production 1946-52 (Continued)

					·		Units
· · ·	1946	1947_	1948	1949	1950	1951	1952
Type and Plant							
Diesel							
Khar'kov	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(Total Estimated Production)	(1)	(40)	(75)	(140)	(185)	(205)	(230)
All Types							
Total Plant-by-Plant	294	<u>771</u>	1,313	1,740	2,120	2,330	2,430
(Total Estimated Production)	(333)	(965)	(1,495)	(1,950)	(2,395)	(2,600)	(2,760)

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1. Bezhitsa *

Since World War II the Krasnyy Profintern Railroad Locomotive Building Plant at Bezhitsa, a suburb of Bryansk, has been engaged in the construction of the L (Pobeda)-class steam locomotive for freight service (see Fig. 3**). Production began in 1947 with 12 units. In 1948, production increased to 88 units. In 1949, 1950, and 1951 there were further increases, to 180, 300, and 360 units, respectively. Estimates for 1952 indicate that the plant may have reached its planned capacity of 360 units, since the 1951 production of 360 units is the estimate of planned capacity arrived at by CIA Industrial Register studies.

2. Gor'kiy.

Postwar production figures for the Krasnoye Sormovo Plant imeni Zhdanov at Gor'kiy are scattered and varied. The type of unit is not clearly specified, but reports indicate that the production is largely of JS-class passenger steam locomotives (see Fig. 4**). The best estimate of postwar production of units that is possible from the information available is as follows:

1947 :	25
1948:	200
1949:	250
1 9 50:	250
1951:	250
1952 :	250

There is an indication in the reports that the plant may have started production of some type of freight car in 1948. No positive information, however, is available.

3. Kolomna.

The Kuybyshev Railroad Locomotive Plant at Kolomna is the second largest locomotive plant in the USSR. Many estimates are available _______ and the best compilation of these various estimates shows that the type of unit produced has been predominantly the L-class freight locomotive. In 1946, production began again with the output of 50 units. From 1947 to 1951,

* Plants discussed throughout this section are numbered consecutively to correspond to the numbering of plants in Appendix B. ** Following p. 38.

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production increased rapidly, with 180 units in 1947, 270 in 1948, 360 in 1949, 390 in 1950, and 400 in 1951. In 1952 the estimate of production is the same as in 1951. In 1947-48, 1 experimental Mallet-type locomotive with a wheel alignment of 2-6-6-2 and 3,000 hp was built by this plant. Further production of this new model, known as the P-34-001, has not been reported, although, if it proved successful in trials, it is probable that some additional units have been or will be produced.

4. Krasnoyarsk.

The Sibirskiy Heavy Machine Plant (also known as the Stalin Locomotive and Crane Plant) at Krasnoyarsk (see Fig. 5*) was enlarged and set up for the production of locomotives with equipment evacuated from the war areas of the USSR during World War II. Production in quantity was begun in 1946, and, with a sizable force of Japanese prisoners of war, production amounted to 144 units. This production rate was maintained in 1947, but in 1948, with the release of large numbers of prisoners of war, production dropped to 120 units. In 1949 there was a further drop to 110 units. In the succeeding 3 years, production is estimated to have increased by 10 units a year, until, in 1952, approximately 140 units were produced. The type of locomotive produced is the SO-class freight steam locomotive (see Figs. 6 and 7*).

5. Ulan-Ude.

The railroad locomotive plant at Ulan-Ude (see Fig. 8*) was enlarged from a repair plant during World War II and is at present the largest production plant for railroad locomotives in Asiatic USSR. As in the case of the Krasnoyarsk plant, production is of the SO-class freight steam locomotive. The eventual capacity of the plant has been repeatedly reported as one unit per day. this goal has not

yet been met, although this rate was to be almost reached in 1952. Estimates of production of units from 1946 on are as follows:

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FIGURE 3. FIRST L (POBEDA)-CLASS FREIGHT STEAM LOCOMOTIVE PRODUCED AFTER WORLD WAR II AT THE KRASNYY PROFINTERN RAILROAD LOCOMOTIVE BUILDING PLANT AT BEZHITSA, A SUBURB OF BRYANSK. The inscription on the front of the locomotive, *pervenets Bryanskikh parovozostroiteley*, means "First-born of Bryansk steam locomotive builders."

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FIGURE 4. WORKING MODEL OF THE JS-CLASS PASSENGER STEAM LOCOMOTIVE, THE MOST MODERN SOVIET PASSENGER STEAM LOCOMOTIVE CURRENTLY IN SERIAL PRODUCTION. The inscription on the front of the locomotive is *I Stalin*. The Krasnoye Sormovo Plant imeni Zhdanov at Gor'kiy is known to be producing the JS-class passenger steam locomotive at the present time.

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FIGURE 6. LOCOMOTIVE ASSEMBLY LINE AT THE SIBIRSKIY HEAVY MACHINE PLANT AT KRASNOYARSK. Note the pneumatic wrenches in use on the bolted, rolled steel frame. The boiler saddle and frame brackets appear to be of cast steel. The tender in the background is largely of welded construction.

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FIGURE 7. INTERIOR OF THE LOCOMOTIVE ASSEMBLY SHOP AT THE SIBIRSKIY HEAVY MACHINE PLANT AT KRASNOYARSK, 1948. Note that the boiler and the firebox are riveted together. The remainder of the boiler and the smokebox are apparently of welded construction.



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FIGURE 8. INTERIOR OF THE LOCOMOTIVE ASSEMBLY SHOP AT THE RAILROAD LOCOMOTIVE PLANT AT ULAN-UDE, 1948. Note the riveted construction of the boiler.

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1946:	80
1947:	165
1948:	220
1949:	260
1950:	295
1951:	320
1952:	340

6. Voroshilovgrad.

The Voroshilovgrad Steam Locomotive Plant imeni October Revolution (see Fig. 9*) is the largest producer of steam locomotives in the USSR. Since World War II, it has produced freight steam locomotives of the SO class and is reported as having produced some locomotives of the JS class. In addition, this plant was responsible for the production of the first steam-diesel locomotive, known as the "Teploparavos." (See Appendix A.) Estimated production of units at this plant from 1945 to 1952 is as follows:

1945 :	12
1946:	20
1947 :	220
1948:	360
1949:	480
1950:	600
1951:	650
1952:	675

7. Novocherkassk.

The Novocherkassk Electric Locomotive Plant imeni Budennyy is the only plant in the USSR that has been definitely identified as producing electric main-line locomotives in postwar years. The pilot models of the locomotive that Novocherkassk has produced have been developed and built at the Moscow Dynamo Plant imeni Kirov (see Fig. 10*), but the serial production of these units has been carried out at Novocherkassk. From 1947 to some time in 1950 the plant was engaged in the production of the VL-22m-class electric locomotive (see Fig. 11*). Some time in 1950, production may have been

Following p. 40.

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started on a later model. It has been possible, however, to estimate production of electric locomotive units since the plant began producing in 1947. Estimated production of units at this plant from 1947 to 1952 is as follows:

25
55
100
165
· 220
265

There is no evidence that the plant reached its maximum capacity in 1952. Production will probably continue to increase in the future.

8. Khar'kov.

After the termination of World War II the Khar'kov Transportation Machine Building Plant (KhZTM) was to be rebuilt and assigned the task of producing diesel locomotives. The Kolomna plant had been the only producer of diesel locomotives in the USSR before the war. Very little statistical information on the production of diesel locomotives in the USSR is available.

the first postwar diesel locomotive was completed at Khar'kov in September 1946 and was of the TE-l class (1,000 hp) and that the first TE-2 class (2,000-hp) diesel-electric locomotive (see Fig. 12*) was completed in November 1948. No other production figures are available.

B. Freight Cars.

The estimated production during the postwar period of the nine plants positively identified as producing freight cars constitutes a large part of the estimated total Soviet production of freight cars. As indicated in Table 3,** however, a significant fraction of production remains, from which it follows that at least some of the plants tentatively identified as producing freight cars probably do produce them in considerable numbers.

* Following p. 40. ** Table 3 follows on p. 41.

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FIGURE 9. PREWAR CONSTRUCTION OF BOILERS AT THE VOROSHILOVGRAD STEAM LOCOMOTIVE PLANT IMENI OCTOBER REVOLUTION, 1934. Note the all-riveted construction and the use of jigs for positioning the boiler in order to facilitate work.

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FIGURE 10. Soviet VL-19-CLASS ELECTRIC LOCOMOTIVE, PRODUCED ABOUT 1933. Before World War II, this class of locomotive was produced at the Moscow Dynamo Plant imeni Kirov.

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FIGURE 11. Soviet VL-22M-CLASS ELECTRIC LOCOMOTIVE, 1952. The photograph is of a model of the locomotive as produced by the Novocherkassk Electric Locomotive Plant imeni Budennyy. Basically this model seems to be a slightly more modern version of the VL-19-class electric locomotive as produced before World War II at the Moscow Dynamo Plant imeni Kirov.

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FIGURE 12. CUTAWAY VIEW OF THE TE-2-CLASS DIESEL-ELECTRIC LOCOMOTIVE CURRENTLY IN PRO-DUCTION AT THE KHAR'KOV TRANSPORTATION MACHINE BUILDING PLANT. The locomotive consists of 2 units, each of 1,000 horsepower.

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Table 3

Estimated Freight Car Production in the USSR by Plants Positively Identified as Producing Freight Cars Compared with Total Estimated Production <u>a</u>/* 1946-52

			· · · · · · · · · · · · · · · · · · ·				Units
	1946	1947	1948	1949	_1950	1951	1952
Type and Plant							
2 -A xle							
Altayskoye Engel's Zhdanov	150 600 3,000	450 600 2,500	800 1,200 2,000	1,300	1,000	1,700	1,700
Total Plant-by-Plant	3,750	3,550	4,000	1,300	1,000	1,700	1,700
(Total Estimated Production)	(5,200)	(6,200)	(7,000)	(8,000)	(9,000)	(9,000)	(9,000)
4-Axle							
Dneprodzerzhinsk	1,300	2,200	3,100	3,700	3,900	4,100	4,300
Engel's Kalinin Kaliningrad	420 300	600 520 750	1,200 620 1,100	3,000 720 1,300	3,300 720 1,400	3,450 720 1,500	3,600 720 1,600

* Footnotes for Table 3 follow on p. 42.

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Table 3

Estimated Freight Car Production in the USSR by Plants Positively Identified as Producing Freight Cars Compared with Total Estimated Production <u>a</u>/ 1946-52 (Continued)

							Units
	1946	1947	1948	1949	1950	1951	1952
Type and Plant (4-Axle Continued)							,
Kiev-Darnitsa Nizhniy Tagil Zhdanov Bezhitsa <u>b</u> /	200 9,900	700 15,000 2,500	1,400 18,000 4,000	2,300 19,800 6,500 70 to 100	3,100 21,000 6,500	3,700 21,500 6,500	4,000 22,000 6,500
Total Plant-by-Plant	12,120	22,270	29,420	37,320	39,920	41,470	42,720
(Total Estimated Production)	(11,400)	(24,000)	(35,000)	(47,500)	(57,000)	(61,000)	(64 ,0 00)
All Types			,				
Total Plant-by-Plant	15,870	25,820	33,420	38,620	40,920	43,170	44,420
(Total Estimated Production)	(16,600)	(30,200)	(42,000)	(55,500)	(66,000)	(70,000)	(73,000)

a. Figures on total estimated production, which are rounded, are taken from Figure 16, p. 56, below. b. See B, 10, p. 43, below.

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9. Altayskoye.*

The Pravda Railroad Car Plant at Altayskoye is a small freight car plant engaged in the production of 2-axle flatcars. It is the only plant which has been reported as producing 2-axle units as late as 1952, although it is believed that production of such units is being carried out at other unidentified small plants. Estimates of the production of units for this plant from 1946 are as follows:

1946 :	150
1947:	450
1948 :	9 8 00
1949:	1,300
1950 :	1,600
1951 :	1,700
1952:	1.700

Estimates for the later years are not considered firm but are the best available.

10. Bezhitsa.

In 1949, __________between 70 and 100 refrigerator cars were built at the Krasnyy Profintern Railroad Locomotive Building Plant at Bezhitsa. No later information concerning the production of freight cars of any type by this plant is available, but it is probable that freight car production continues on at least a small scale.

11. Dneprodzerzhinsk.

Since 1945 the Railroad Car Construction Plant imeni Gazety Pravda at Dneprodzerzhinsk has been engaged in the production of 4-axle gondola cars with capacities of from 40 to 60 tons. In 1951, some cars of 100-ton capacity were produced, but this was a special order rather than a switch to serial production for this new type of car. The standard car in the later years has been the car of 60-ton capacity. Production in 4-axle units has been estimated as follows:

* Plants discussed throughout this section are numbered consecutively to correspond to the numbering of plants in Appendix B.

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1945:	400
1946:	1,300
1947 :	2,200
1948:	3,100
1949:	3,700
1950 :	3,900
1951:	4,100
1952:	4,300

12. Engel's.

The Railroad Car Building Plant imeni Uritskiy at Engel's (also known as Sarapov Engel's) started production again in 1945, at which time it produced 2-axle flatcars of 18- to 20-ton capacity and repaired war-damaged cars. During 1947, production was switched to a combination manufacture of 2-axle flatcars of 20-ton capacity and 4-axle flatcars with low wooden sides of 60-ton capacity for ore and the like. Less emphasis was placed on repair. The 1947 product mix seems to have been continued in 1948. Since 1949, production has been entirely of 4-axle cars, with little or no repair work. In 1951, however, the type of car produced was switched to pneumatic dump cars, and _________ such production would continue through 1952. Production for these years has been estimated as follows:

1945:	300 2-axle flatcars of 18- to 20-ton capacity.
1946:	600 2-axle flatcars of 18- to 20-ton capacity.
1947:	600 2-axle flatcars of 20-ton capacity.
	600 4-axle flatcars of 60-ton capacity with low
	wooden sides for ore and the like.
1948:	
-	1,200 4-axle flatcars of 60-ton capacity with low
	wooden sides for ore and the like.
1949:	3,000 4-axle flatcars of 60-ton capacity with low
	wooden sides for ore and the like.
1950 :	3,300 4-axle flatcars of 60-ton capacity with low
I	wooden sides for ore and the like.
1951 :	3,450 4-axle pneumatic dump cars of 60-ton capacity.
1952 :	3,600 4-axle pneumatic dump cars of 60-ton capacity.

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13. Kalinin.

The main efforts of the Railroad Car Building Plant imeni Kalinin are devoted to the construction of freight cars of approximately 50-ton capacity. These are flatcars with wooden sides for ore and the like. Some boxcars may also be produced, and a secondary function of the plant seems to be the production of passenger cars. The plant is a small one,

The best estimates of production show a production rate of 420 4-axle cars in 1946, increasing by 100 cars per year until 1949, when production is estimated at 720 units. This production rate has been estimated to continue through 1952.

14. Kaliningrad.

The Kaliningrad Railroad Car Plant is engaged in the production of 4-axle all-metal pneumatic gondola dump cars. The cars are of advanced design, being of all-welded construction with 14 unloading hatches. Operation of the dump mechanism may be controlled from the locomotive cab. Production began in 1946 with some 300 units. In 1947, production jumped to 750 units, and in 1948 to 1,100 units. In 1949, 1,300 units were turned out, and production increased after that by about 100 units per year. In 1952 it is estimated that 1,600 freight cars were produced.

15. Kiev-Darnitsa.

2

The railroad car plant at Kiev-Darnitsa is engaged in the capital repair of freight cars as well as the production of new units. Separating the two functions

	is difficult because a
car which has undergone capital re	epair is often reported as a new
car.	new production, all of 4-axle
units, has been screened out and e	estimated as follows:

1946 :	200
1947:	700
1948:	1,400
1949:	2,300
19 50:	3,100
1951 :	3,700
1952:	4,000

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The planned production of this plant is, according to statements by the Soviet press, to be 12,000 2-axle freight cars and 2,500 passenger cars per year. No reports of passenger cars being produced at this plant were found, and estimates of freight car production in 1952 amount to only two-thirds of the stated Plan.

16. Nizhniy Tagil.

The Ural Railroad Car Plant imeni L.M. Kaganovich at Nizhniy Tagil is the largest freight car plant in the USSR. During World War II it was converted entirely to the production of tanks for the Soviet Army. One of the 3 production lines is reported still to be engaged in the production of tanks, although the other 2 have been reconverted to freight car production. In 1946 it is estimated that 9,900 4-axle flatcars with wooden sides were turned out. In 1947 this figure was raised to 15,000 flatcars. In 1948 the production rate increased to 18,000 units, but 20 percent of the production was boxcars. In 1949 the ratio of products remained the same, and 19,800 cars were produced. In 1950, 1951, and 1952, production was gradually raised to 21,000, 21,500, and 22,000 cars, respectively. Of these, 20 percent were boxcars, but the remaining 80 percent were reported to be all-metal gondola cars rather than flatcars, as previously reported.

17. Zhdanov.

The Mariupol' Steel Plant imeni Il'ich at Zhdanov is the largest, and perhaps the only, producer of tank cars in the USSR. In 1945 and 1946 the type of car produced was a 2-axle unit with a capacity of 25 cubic meters (see Fig. 13*). In 1947 and 1948, production seems to have been split between 2-axle cars and 4-axle cars with a capacity of 50 cubic meters. From 1949 on, it is estimated that only the larger 4-axle car with a capacity of 50 cubic meters has been produced. Production of units at this plant and their capacities have been estimated as follows:

1945 :	750	2-axle,	25-cubic-meter
1946:			25-cubic-meter
1947:			25-cubic-meter
2 1			50-cubic-meter

* Following p. 46.

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FIGURE 13. TANK CAR ASSEMBLY LINE AT THE MARIUPOL' STEEL PLANT IMENI IL'ICH AT ZHDANOV, 1946. The photograph shows assembly-line production of 25-cubic-meter tank cars. Note the all-welded construction of the tanks.



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1948: 2,000 2-axle, 25-cubic-meter 4,000 4-axle, 50-cubic-meter 1949: 6,500 4-axle, 50-cubic-meter 1950: 6,500 4-axle, 50-cubic-meter 1951: 6,500 4-axle, 50-cubic-meter 1952: 6,500 4-axle, 50-cubic-meter

C. Passenger Cars.

18. Leningrad.*

The Railroad Car Building Plant imeni Yegorov at Leningrad is engaged in the production of passenger and mail cars. Information on rates of production is very sketchy, and no estimate of production could be made. It is the plant which produces the much-publicized all-metal passenger cars for service on express trains in the USSR (see Fig. 14**).

19. Mytishchi.

The Mytishchi Railroad Car Building Plant builds passenger cars for the Moscow "Metro" (subway). Postwar production of V- and G-class passenger cars has been reported, and there are indications that production of a new type of car, the M-5 class, was started in 1951. No estimates of production rates were possible The Fourth Five Year Plan (1946-50) calls for the production of 500 cars for the subways, but no cars were produced until the middle of 1948. It is doubtful that the Plan figures were realized.

20. Riga.

The Riga Railroad Car Building Plant "Vayrogs" produces electric train sets for use on **suburban** electric lines. An electric train set consists of three cars, the middle car of which is the power car. It contains a 200- to 300-hp electric motor which draws its current from overhead electric wires by means of a pantograph. Production of train sets at the plant has been estimated as follows:

* Plants discussed throughout this section are numbered consecutively to correspond to the numbering of plants in Appendix B. ** Following p. 48.

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1946 :	3
1947:	36
1948:	60
1949 :	80
1950 :	93
1951:	103
1952:	110

Since 1950, some trancars (streetcars) have been produced. Since 1951, some of the train sets produced have been of the new "low-platform" type," which permits the loading and unloading of passengers from track level rather than from a raised platform.

D. Locomotive Tenders.

21. Saratov.*

The Konus Railroad Equipment Plant produced tanks during World War II and continued to produce them until the summer of 1947, when the production of 4-axle tenders for steam locomotives was begun. Reconversion to tank production probably could be made on short notice. Tender production from 1947 on has been estimated as follows:

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1947:	120
1948:	300
1949 :	360
1950:	390
1951:	410
1952:	410

* Plants discussed throughout this section are numbered consecutively to correspond to the numbering of plants in Appendix B.

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FIGURE 14. ALL-METAL PASSENGER CAR, PRODUCED BY THE RAILROAD CAR BUILDING PLANT IMENI YEGOROV AT LENINGRAD, 1947. The inscription on the side of the car at the top, goluboy ekspress, means "Blue Express." On the lower part of the car the inscription zhestkiy M. Kur. 4001 means "hard (seats), Moscow-Kursk (Railroad) 4001."

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V. Production Estimates, 1928-60.

Production estimates of Soviet locomotives and rolling stock are given in Tables 4, 5, 6, 7, and 8* and are presented in graphic form in Figures 15, 16, and 17.** In the commentary following each of these tables a detailed discussion of the method used in the development of the appropriate graph is given. The following general comments apply, however, to all three graphs.

The production figures shown for the period 1928-June 1941 are probably accurate within a very small margin of error, as they are largely Soviet figures which are considered reliable. In some cases, differing estimates were averaged, and in others the more highly evaluated estimate was chosen.

The production figures developed for 1945-50 are based on

	estimates	made from	n figures	obtained	d from plant	studies,
		·			They are	subject
to a grea	ter margin	of error	than the	prewar e	estimates.	

The projected production figures for 1951-60 are based largely on estimates of productive capacities. The capacities and their rate of expansion are based on the general rate of increase of the production of the unit concerned during 1928-40. These estimates do not purport to forecast the industrial plans of the USSR. Instead they show the estimated possible production rates with respect to particular commodities, taking into account previous average rates of capacity increase.

A. Locomotives.

1. Steam.

Estimates of steam locomotive production in the USSR are given in Table 4*** (see also Fig. 15****).

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50X1

50X1 50X1 50X1

* Pp. 50, 52, 53, 55, and 57, respectively, below.
** Following pp. 54, 56, and 58, respectively, below.
*** Table 4 follows on p. 50.
**** Following p. 54.

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Table 4

Estimated Steam Locomotive Production in the USSR

				1927 -	-52					
<u> </u>	<u></u>								·	Units
Year	<u>43</u> /	44/	111 <u>45</u> /	IV 46/	<u>47</u> /	VI 48/	VII <u>49</u> /	VIII <u>50</u> 7	1 X 51/	x <u>52</u> /
1927-28 1928-29 1929-30 1931 1932 1933 1934 1935 1936 1937 1938 1939 1940 1941 1942		915	827 930 1,211 1,529			l,500	479 575 625 810 827 930 1,165 1,518 999 1,171 1,144 1,000 917 739		479 827 930 1,165 1,518 1,194 1,214	479 575 625 810 827 930 1,165 1,518 999 1,171 1,200 1,030 920 650
1943 1944 1945 1946 1947 1948 1949 1950 1951 195 2	13 390 1,080 1,650 1,880 2,200	13 390 1,020 1,500 1,800 2,000		2,164	1,200 1,380	300 830	10 300 865 1,200 1,600 2,200	12 334 746 1,258 1,630 1,955 2,110 2,165		9 280 770 1,180 1,600 2,200

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The estimates in Table 4 were plotted (see Fig. 15*), and the best estimate of steam locomotive production was made from these plotted

* Following p. 54.

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points. Consideration was given to the evaluation attached to each of the reported estimates, and the best estimate arrived at in this report will not therefore necessarily be an arithmetic average of the several estimates for each year. The projections for the years beyond 1952 were made by estimating the average increase in the production rate from 1928 to 1940 and using this as a guide to probable increases in production rate during future years. The curve was rounded off to meet the predicted rate evenly. Precluding a change in production because of a shift to armaments production, the production rate as projected is given a range of error of plus or minus 20 percent, and the estimates before 1952 are given a range of error of plus or minus 10 percent.

2. Electric.

Estimates of electric locomotive production in the USSR are given in Table 5* (see also Fig. 15**).

Estimates in Table 5 for the years before 1938 agree to a reasonable extent. They are plotted (see Fig. 15**) with preference being given to the higher estimate, since no evidence has been found to substantiate the sudden drop in production indicated in Table 5, Column VI. In the years following World War II, estimates of production vary greatly.

the electric locomotive plant in Novocherkassk, it seems to be the only plant producing main-line electric locomotives at present. These figures are regarded as the most reliable presently available. Projections beyond 1952 are made on the basis of possible expansion of capacity through increased efficiency and additional plant facilities. Requirements of the railroads through increased electrification of the system have not been considered by themselves, but, considering the advantages of electrification in mountainous and cold regions, it is felt that a continued expansion of the electrified network of the Soviet railroads will occur. For this reason, the production as projected is on a continually increasing basis at a rate equivalent to the expansion of the production of electric locomotives in the immediate postwar years. This expansion conforms to a degree with the estimates of required production of electric locomotives as shown in

* Table 5 follows on p. 52. ** Following p. 54.

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$\underline{S}-\underline{E}-\underline{C}-\underline{R}-\underline{E}-\underline{T}$

Table 5

Estimated Electric · Locomotive Production in the USSR

1932-54

							Units
Year	<u>1 53/</u>	<u>11 54/</u>	<u>111 55/</u>	<u> 1v 56/</u>	<u>v 57/</u>	<u>vi 58/</u>	<u>vii 59/</u>
1932 1933 1934 1935 1936 1937 1938 1939 1940 1941 1942 1943 1944	10			1 17 34 44 39 30 25 20 10	-	1 17 19 34 44 39 15 10 5 3	
1945 1946 1947 1948 1949 1950 1951 1952 1953 1954	20 50 110 140	20 50 110 140	88 190	2 30 50 80 125	25 55 100 165 220	2 3	256 306 356 406 456

Table 5, Column VII. Combining this conformity with the relative paucity of information on Soviet plans, a range of error of plus or minus 25 percent has been assigned to the estimate for the years 1952-60, and plus or minus 10 percent for the preceding years, for which reports are much more complete.

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3. Diesel.

Estimates of diesel locomotive production in the USSR are given in Table 6 (see also Fig. 15*).

Estimated Diesel Locomotive Production in the USSR from Various Sources 1931-50

Table 6

				Units
Year	<u>.1 60/</u>	<u>11 61/</u>	<u>111 62/</u>	<u>tv 63</u> /
1931 1932 1933 1934 1935 1936 1937 1938 1939		1 1 8 4 1 1 1	2 1 1 8 4 1	1 1 8 4 13
1940 1941 1942 1943 1944 1945 1946 1947 1948 1949 1950	5 1 60 150 180 200	45 85 125 175		

As can be seen from Table 6, diesel locomotive production before World War II was extremely small. Postwar estimates are practically nonexistent, and those available differ widely.

Khar'kov plant, which is now the sole producer of main-line diesel locomotives for the USSR.

* Following p. 54.

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US shipments of diesel locomotives to the USSR as a part of Lend Lease together with imported German technical skills have increased the production ability of the Russians considerably. Diesel engines of 1,000 hp have been and are being built for tanks, and these same engines or similar engines are adaptable for locomotive use. Because of the higher efficiency of the diesel locomotive over the steam locomotive, it is believed that the USSR will push the production of this type of unit to the limit. The projection of the estimate to 1960 is made with this belief (see Fig. 15*). Dieselization of the Soviet railroads in the future may well follow the same pattern as in the US, in which case the production of diesel locomotives will be increased at a rate even greater than indicated.

Prewar estimates are believed to be accurate within plus or minus 10 percent, and postwar estimates are given a range of error of plus or minus 25 percent because of the scarcity of information available.

B. Rolling Stock.

1. Freight Cars.

Estimates of freight car production in the USSR are given in Table 7** (see also Fig. 16***).

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The various estimates given in Table 7 were plotted (see Fig. 16***), and the best estimate of freight car production in terms of 2-axle units was made from these plotted points. Prewar estimates agree fairly well, and little difficulty is encountered in making an estimate for these years. In the postwar years, however, estimates vary widely, and it is difficult to arrive at a best estimate. Primary consideration was given to those estimates which were more highly evaluated. In addition, the estimates for the years 1945-48

were a valuable guide in estimating production during the immediate postwar years.

* Following p. 54.
** Table 7 follows on p. 55.
*** Following p. 56.

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Table 7

Estimated Freight Car Production in the USSR

1928-50

				· ·			
	•	<u> </u>	· · · · · · · · · · · · · · · · · · ·				Units
Year	<u>1 64/</u>	II	<u>III 65/</u>	<u>iv 66/</u>	<u>v 67</u> /	<u>vi <u>68</u>/</u>	<u>vii 69/</u>
1928 1929 1930 1931 1932 1933 1934 1935 1936 1937 1938 1939 1940 1941 1942 1943 1944	55,000	85,000 <u>70</u> / 59,000 <u>71</u> / 47,000 <u>72</u> /	10,612 15,190 19,427 21,175 20,152 18,126 28,957 85,675 67,200 59,000 43,400 40,000 50,000 55,000	10,800 23,100 21,600 33,500 90,800 67,100 66,100	10,612 15,190 19,427 21,175 20,152 18,126 28,957 85,675 67,100 59,000 49,100 33,900 47,000 60,000	· · ·	· · · · · · · · · · · · · · · · · · ·
1944 1945 1946 1947 1948 1949 1950	1,000 30,000 47,000 68,000 100,000 117,000	60,000 <u>73/</u> 80,000 <u>74</u> / 117,600 <u>75</u> / 126,900 <u>76</u> /	800 23,200 45,000 65,000 92,000 125,000	31,500	1,050 26,190 63,500 105,500 127,000 146,000	1,850 30,500 47,340 61,840 76,040	60,000 80,000 110,000 135,000

.50X1

Projections for the years beyond 1950 are largely based on two lines of reasoning. First, since the Soviet freight car inventory is overutilized by Western standards, the production of freight cars will continue to increase in an attempt to bring the inventory up to a higher standard. Second, the annual increase in production after 1950 is assumed to equal the average numerical

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increase during the prewar years, when the industry was engaged in what might be called normal, but intensive, development.

Estimates up to 1952 are given a range of error of plus or minus 10 percent. Without considering the possible shift to armaments production* in some of the freight car plants, production rates from 1952 on are given a range of error of plus or minus 20 percent.

With the exception of a few specialized units such as depressed-center cars and the like, production of freight cars in the USSR has consisted of 2-axle and 4-axle units. To show the trends in the type of units produced, estimates have been made of the production of each of these two types of units as well as of the total number of physical units. The method used in making these estimates was the same as the method used in estimating production of equivalent 2-axle units, with the exception of the production estimate of total physical units, which is merely the summation of the 2 estimates of 2-axle and 4-axle units. Where required, the various estimates were modified so that they agreed when totaled.

2. Passenger Cars.

Estimates of passenger car production in the USSR are given in Table 8** (see also Fig. 17***).

The various estimates given in Table 8 were plotted (see Fig. 17***), and the best estimate of passenger car production was made from these plotted points. As can be seen, there is not much conflict among the three estimates in most years. Information on passenger car production is not voluminous, but the estimates for the years 1928-50 are considered to be accurate within 10 percent.

Projections for the years beyond 1950 are based on two lines of reasoning. First, the Russians do not consider passenger car production a high-priority item. Such units as are presently produced seem to be produced in an attempt to picture

* The results of a shift to armaments production are discussed in X, below.
** Table 8 follows on p. 57.
*** Following p. 58.

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Table 8

Estimated Passenger Car Production in the USSR

1928	-50
	~~

			Units
Year	<u> </u>	<u>II 78/</u>	<u>111 79</u> /
1928 1929 1930 1931 1932 1933 1934 1935 1936 1937 1938	387 414 817 $1,295$ $1,141$ $1,274$ $1,495$ $887 a/$ $723 a/$ $912 a/$ $1,000$	387 321 828 1,299 1,157 1,338 1,616 1,080	
1939 1940 1941 1942 1943 1944	1,200 800 300		1,000
1945 1946 1947 1948 1949 1950	100 500 1,100 1,700 2,600		100 200 1,200 1,800 2,500

a. The drop in production in these years was due to the stress on and the shift to freight car production.

the USSR as having modern, well-equipped passenger car facilities. No attempts seem to be made to supply the railroads with sufficient numbers of passenger cars to meet traffic demands. Second, it is further reasoned that production in the years after 1950 has

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continued and will continue to follow the average pattern of the prewar years: that is, production will be increased, but at a rate roughly equivalent to the average increases in production in the years 1928-40. Without considering a shift to armaments production,* the projected production rates are given a range of error of plus or minus 20 percent.

VI. Input Requirements.

The determination of inputs of materials, power, labor, services, and capital required for the production of locomotives and rolling stock in the USSR is a problem that can be approached by several methods. The ideal method would be to obtain complete bills of material for all types of units produced, complete lists of all machine tools required for the production of a specified number of these units, and figures on the number of men and on the power required for this production. A lengthy study of Russian-language documents and texts available in the Library of Congress may show that such information is available in sufficient detail to permit such estimates. This method, although undoubtedly the most accurate, would be the longest process.

analogy with US practice, for which there are figures rather readily obtainable from the US Census of Manufactures and the US Bureau of Labor Statistics. Because of the pressure of time, this method of approach has been applied fully in this report.

Estimates of inputs to the locomotive and rolling stock industry in the USSR were made on the basis of figures obtained from the US Census of Manufactures, 1947, and from tabulations of purchases made by the locomotive and parts industry and the railroad and streetcar industry in 1947. 80/ The tabulations list the dollar values of inputs of materials, fuel, electricity, and contract work to these two industries. By reference to the 1947 Census and these tabulations, it was possible to determine an index of amount of input required per unit of output.

* The results of a shift to armaments production are discussed in X, below.

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For purposes of estimating inputs, the Soviet units of steam, diesel, and electric locomotives currently produced have been considered as being comparable to units produced in the US in 1947. A comparison of the gross weight of individual locomotives currently produced in the USSR and the average gross weight of units produced in the US in 1947 substantiates this assumption.

In the case of freight cars, the average US freight car is a 4-axle unit, and the inputs derived for these 4-axle units have been halved and listed as inputs per equivalent 2-axle unit.

In the case of passenger cars, lack of time in which to make a similar estimate by reference to the 1947 <u>Census</u> made it necessary to estimate inputs to passenger cars on the basis of freight car inputs, using a suitable correction factor. The tare weights of passenger and 4-axle freight cars are in the ratio of approximately 2.5 to 1. Since the largest part of such cars is iron and steel in various forms, this ratio has been applied to all input items for freight cars to derive inputs to passenger cars. It is realized that this approximation is not accurate, but since the number of passenger cars involved as compared with the number of freight cars is small, it is felt that the error introduced by using this approximation will not alter materially the inherent error involved in making input estimates by the analogy method.

It should be noted here that the so-called analogous method of making input estimates is subject to many errors and requires many assumptions. It is merely a first approximation, which must be followed by estimates made by other methods or combinations of methods. It is, however, a start toward the solution of problems of input estimation. Further discussion of methodology and an evaluation of the analogous type of estimation will be found in Appendix C. The figures which follow in Table 9* are estimates of inputs per unit required for the production of representative or "average" types of steam, diesel, and electric locomotives and freight and passenger cars currently produced. Table 10** gives an estimate of the total input requirements for the production of the total number of locomotive and rolling stock units to be built in the years 1950, 1952, 1955, and 1960, as estimated in V, above.

* Table 9 follows on p. 60. ** Table 10 follows on p. 61.

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Table 9

Estimated Input Requirements per Unit of Production of Locomotives and Rolling Stock in the USSR <u>a</u>/

Item of Input	Units	Steam Locomotives	Electric Locomotives	Diesel Locomotives	Freight Cars (2-Axle)	Passenger Cars
Labor	man-years	13.65	19.50	14.50	0.25	1.25
Agricultural Products	mt	0.00164	0.00243	0.00174	0	0
Raw Steel	mt	164.39 -	218.10	192.51	9.527	47.635
Aluminum	mt	0.59	0.87	0.62	0.009	0.045
Copper	mt	2.12	3.19	2.27	0.009	0.045
Zinc	mt	. 0	.0	0	0.012	0.060
Bituminous Coal	mt	48.23	71.36	50.94	1.272	6.360
Electric Power	kwh	51,300	75,000	54,400	860	4,300
Fuel Oil	mt	7.63	10.9	8.07	0.205	1,025
Rubber	mt	0.21	0.315	0.225	0.0018	0.0090
Paper and Paperboard	mt	0.0214	0.0314	0.0227	0	0
Plastics	mt	0.0334	0.0495	0.0353	0	0
Compressed and Lique-						
fied Gases	thousand cu ft	4.28	6.3	4.53	0	0
Flat Glass	sq ft	738	1,100	780	0	N.A.
Mineral Wool	mt	1.82	2.73	2.06	0.031	0.155
Asbestos	mt	0.30	0.44	0.32	0.001	0.005
Lumber	thousand bd ft	4.17	6.17	4.42	0.440	2.200
Plywood	sq ft	0	0	0	1,530	7,650
Unallocated	percent of value	14.65	14.65	14.65	16.10	16.10
Trucks	2-ton units	0.0246	0.0365	0.0261	N.A.	N.A.
Shunt Locomotives	number	0.024	0.036	0.026	N.A.	N.A.
Rolling Stock	2-axle units	0.057	0.085	0.061	N.A.	N.A.
Motors and Generators	kw	110	1,800	1,410	õ	0
Antifriction Bearings	number	537	793	565	6.3	31.5
Capital Equipment (Not Elsewhere Counted)	mt of raw steel	4.02	5.03	4.26	0.049	0.245

a. Estimated for representative or "average" types currently produced.

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50X1-HUM

 Table 10	

•	Estimated Total Input Requirements						
for	the Locomotive and Rolling Stock Industry						
in the USSR							
	1950, 1952, 1955, and 1960						

Item of Input and Year	Units	Steam Locomotives	Electric Locomotives	Diesel Locomotives	Freight Cars	Passenger Cars	Total
Labor <u>a</u> /*	thousand man-years						
1950 1952 1955 1960		· 27.8 30.7 32.6 35.4	3.3 5.5 8.8 12.1	2.7 3.3 4.4 5.9	30.3 34.3 36.8 40.8	3.1 3.5 3.7 3.9	67.2 77.3 86.3 98.1
Agricultural Products	mt		•				
1950 1952 1955 1960		3.3 3.7 3.9 4.2	0.4 0.7 1.1 1.5	0.3 0.4 0.5 0.7	0.0 0.0 0.0	0.0 0.0 0.0	4.0 4.8 5.5 6.4
Raw Steel	thousand mt					•	
1950 1952 1955 1960		335.0 370.0 385.0 426.0	37.0 61.0 98.0 135.0	36.0 44.0 58.0 79.0	1,153.0 1,305.0 1,400.0 1,559.0	119.0 133.0 139.0 149.0	1,680.0 1,9 13. 0 2,080.0 2,348.0
Aluminum	mt						
1950 1952 1955 1960		1,200.0 1,330.0 1,380.0 1,530.0	150.0 240.0 260.0 360.0	110.0 140.0 190.0 250.0	1,090.0 1,230.0 1,320.0 1,470.0	110.0 130.0 130.0 140.0	2,660.0 3,070.0 3,280.0 3,750.0

* Footnotes for Table 10 follow on p. 67.

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Table 10

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Estimated Total Input Requirements for the Locomotive and Rolling Stock Industry. in the USSR 1950, 1952, 1955, and 1960 (Continued)

Item of Input and Year	Units	Steam Locomotives	Electric Locomotives	Diesel Locomotives	Freight Cars	Passenger Cars	Total
Copper	mt						
1950 1952 1955 1960		4,320.0 4,770.0 4,960.0 5,490.0	540.0 890.0 1,440.0 1,980.0	420.0 520.0 680.0 930.0	1,090.0 1,230.0 1,320.0 1,470.0	110.0 130.0 130.0 140.0	6,480.0 7,540.0 8,530.0 10,010.0
Zinc	mt						
1950 1952 1955 1960		0.0 0.0 0.0 0.0	0.0 0.0 0.0 0.0	0.0 0.0 0.0 0.0	1,450.0 1,640.0 1,760.0 1,960.0	150.0 170.0 180.0 190.0	1,600.0 1,810.0 1,940.0 2,150.0
Bituminous Coal b/	thousand mt		•				
1950 1952 1955 1960		98.4 108.5 112.9 124.9	12.1 16.4 32.1 44.2	9.4 11.7 15.3 20.9	153.9 174.3 187.0 208.1	15.9 17.8 18.6 19.8	289.7 328.7 365.9 417.9
Electric Power b/	million kwh						
1950 1952 1955 1960		104.7 115.4 120.0 132.9	12.8 21.0 33.8 46.5	10.1 12.5 16.3 22.3	104.1 117.8 126.4 140.7	10.8 12.0 12.6 13.4	242.5 278.7 309.1 355.8

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Table 10

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Estimated Total Input Requirements for the Locomotive and Rolling Stock Industry in the USSR 1950, 1952, 1955, and 1960 (Continued)

_Item of Input	and Year	Units	Steam Locomotives	Electric Locomotives	Diesel Locomotives	Freight Cars	Passenger Cars	Total
Fuel Oil b/		thousand mt						
1950 1952 1955 1960			15.6 17.2 17.9 19.8	1.9 3.1 4.9 6.8	1.5 1.9 2.4 3.3	24.8 28.1 30.1 33.5	2.6 2.9 3.0 3.2	46.4 53.2 58.3 66.6
Rubber		mt						
1950 1952 1955 1960			430.0 470.0 490.0 540.0	50.0 90.0 140.0 200.0	40.0 50.0 70.0 90.0	220.0 250.0 260.0 290.0	20.0 30.0 30.0 30.0	760.0 8 90.0 990.0 1,150.0
Paper and Paper	board	mt						
1950 1952 1955 1960			44.0 48.0 50.0 55.0	5.0 9.0 14.0 19.0	4.0 5.0 7.0 9.0	0.0 0.0 0.0	0.0 0.0 0.0 0.0	53.0 62.0 71.0 83.0
Plastics		mt						
1950 1952 1955 1960			68.0 75.0 78.0 87.0	8.0 14.0 22.0 31.0	7.0 8.0 11.0 14.0	0.0 0.0 0.0	0.0 0.0 0.0 0.0	83.0 97.0 111.0 132.0

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Table 10

50X1-HUM

Estimated Total Input Requirements for the Locomotive and Rolling Stock Industry in the USSR 1950, 1952, 1955, and 1960 (Continued)

Item of Input and Year	Units	Steam Locomotives	Electric Locomotives	Diesel Locomotives	Freight Cars	Passenger Cars	Total
Compressed and Lique- fied Gases	thousand cu ft						
1950 1952 1955 1960		8,730.0 9,630.0 10,020.0 11,090.0	1,070.0 1,760.0 2,840.0 3,910.0	840.0 1,040.0 1,360.0 1,860.0	0.0 0.0 0.0	0.0 0.0 0.0	10,640.0 12,430.0 14,220.0 16,860.0
Flat Glass	thousand sq ft						
1950 1952 1955 1960		1,500.0 1,660.0 1,730.0 1,910.0	190.0 310.0 500.0 680.0	140.0 180.0 230.0 3 20.0	0.0 0.0 0.0	N.A. N.A. N.A. N.A.	1,830.0 c/ 2,150.0 c/ 2,460.0 c/ 2,910.0 c/
Mineral Wool	mt						
1950 1952 1955 1960		3,710.0 4,100.0 4,260.0 4,710.0	460.0 760.0 1,230.0 1,690.0	380.0 470.0 620.0 840.0	3,750.0 4,250.0 4,560.0 5,070.0	390.0 430.0 450.0 480.0	8,690.0 10,010.0 11,120.0 12,790.0
Asbestos	mt						
1950 1952 1955 1960		610.0 680.0 700.0 780.0	70. 0 120.0 200.0 270.0	60.0 70.0 100.0 130.0	120.0 140.0 150.0 160.0	10.0 10.0 20.0 20.0	870.0 1,020.0 1,170.0 1,360.0

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Table 10

Estimated Total Input Requirements for the Locomotive and Rolling Stock Industry in the USSR 1950, 1952, 1955, and 1960 (Continued)

(Co	nt	ım	ied)

Item of Input and Year	Units	Steam Locomotives	Electric Locomotives	Diesel Locomotives	Freight Cars	Passenger Cars	Total
Lumber	million bd ft						、
1950 1952 1955 1960	• •	8.5 9.4 9.8 10.8	1.1 1.7 2.8 3.8	0.8 1.0 1.3 1.8	53.2 60.3 64.7 72.0	5.5 6.2 6.4 6.9	69.1 78.6 85.0 95.3
Plywood	million sq ft						
1950 1952 1955 1960	,	0.0 0.0 0.0 0.0	0.0 0.0 0.0 0.0	0.0 0.0 0.0 0.0	185.1 209.6 224.9 250.3	19.1 21.4 22.3 23.9	204.2 231.0 247.2 274.2
Trucks	2-ton units		•				
1950 1952 1955 1960		50.0 55.0 58.0 64.0	6.0 10.0 16.0 23.0	5.0 6.0 8.0 11.0	N.A. N.A. N.A. N.A.	N.A. N.A. N.A. N.A.	61.0 <u>d/</u> 71.0 <u>d/</u> 82.0 <u>d/</u> 98.0 <u>d</u> /
Shunt Locomotives	number						
1950 1952 1955 1960		49.0 54.0 56.0 62.0	6.0 10.0 16.0 22.0	5.0 6.0 8.0 11.0	N.A. N.A. N.A. N.A.	N.A. N.A. N.A. N.A.	60.0 <u>a/</u> 70.0 <u>a/</u> 80.0 <u>a/</u> 95.0 <u>a</u> /
		- 65	_				·

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Table 10

Estimated Total Input Requirements for the Locomotive and Rolling Stock Industry in the USSR 1950, 1952, 1955, and 1960 (Continued)

Item of Input and Year	Units	Steam Locomotives	Electric Locomotives	Diesel Locomotives	Freight Cars	Passenger Cars	Total
Rolling Stock	2-axle units						
1950 1952 1955 1960		116.0 128.0 133.0 148.0	14.0 24.0 38.0 53.0	11.0 14.0 18.0 25.0	N.A. N.A. N.A. N.A.	N.A. N.A. N.A. N. A .	141.0 d/ 166.0 d/ 189.0 d/ 226.0 d/
Motors and Generators	thousand kw						
1950 1952 1955 1960		220.0 250.0 260.0 280.0	310.0 500.0 810.0 1,120.0	260.0 320.0 420.0 580.0	0.0 0.0 0.0 0.0	0.0 0.0 0.0 0.0	790.0 1,070.0 1,490.0 1,980.0
Antifriction Bearings <u>e</u> /	thousand standard pieces						
1950 1952 1955 1960	;	1,095.0 1,208.0 1,257.0 1,391.0	135.0 222.0 357.0 492.0	105.0 130.0 170.0 232.0	762.0 863.0 926.0 1,031.0	79.0 88.0 92.0 98.0	2,176.0 2,511.0 2,802.0 3,244.0
Capital Equipment (Not Elsewhere Counted)	mt of raw steel						
1950 1952 1955 1960		8,200.0 9,050.0 9,410.0 10,410.0	860.0 1,410.0 2,260.0 3,120.0	790.0 980.0 1,280.0 1,750.0	5,930.0 6,710.0 7,200.0 8,020.0	610.0 690.0 720.0 760.0	16,390.0 18,840.0 20,870.0 24,060.0
Unallocated f/ (Percent Each Year of Total Value)		14.65	14.65	14.65	16.10	16 .1 0	,

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Table 10

Estimated Total Input Requirements for the Locomotive and Rolling Stock Industry in the USSR 1950, 1952, 1955, and 1960 (Continued)

a. At present, Soviet labor is considered to be approximately two-thirds as efficient as US labor. (See note a, Appendix D, Table 39.) It is probable that the labor efficiency of the Russians will increase in the coming years. For this reason, the estimates of the labor force required in the years 1955 and 1960 may be too high, since no allowance has been made for this increased efficiency. It is conceivable that production may increase at the rates estimated while the size of the labor force remains constant or nearly constant. The figures in Table 10, therefore, represent the labor force required for the years 1950, 1952, 1955, and 1960 at the present estimated ef-ficiency level of the labor force.

b. The settimates for bituminous coal, electric power, and fuel oil presented here are representative of US power practice. It should be remembered that the Russians may not, on the average, follow this practice and that their fuel requirements may therefore differ from US requirements. These figures, then, are presented as a sample of what the fuel requirements may be. More detailed studies of the fuel requirements of the USSR for individual plants will have to be made before more reliable estimates can be made.

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a. The total as shown does not include any estimate of flat glass for passenger car production.
b. The totals as shown do not include any of these items for either passenger car or freight car production.
c. The antifriction bearings as shown here represent average-size bearings. Since the bearings used in railroad equipment are usually considerably larger than this average size, the figures shown here do not represent actual numbers of bearings. A typical US 2-10-4 freight locomotive requires the following antifriction bearings:

		Bore	
	Туре	(Inches)	Number Required
Driver-Journal Boxes	Roller	12	20
Guiding Truck	Roller	7	4
Side and Main Rods	Roller	7 to 9	14
Eccentric Rods	Roller	312	2
Stoker	Roller or Ball	2 to 21	8
Valve Gear	Needle	1 <u></u> to 4	24
Valve Pilot	Ball	3/8 to 1늘	12
Throttle	Ball	3/4	1
Réverse Gear	Ball	1-3/8	1
Generator	Ball	1-3/8	2
Feed Water Pump	Roller or Ball	2	2
Tender-Journal Boxes	Roller	6늘 to 7	24
Train Control	Roller or Ball	112	4
Total			118

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Table 10

Estimated Total Input Requirements for the Locomotive and Rolling Stock Industry in the USSR 1950, 1952, 1955, and 1960 (Continued)

It should also be noted that Soviet freight cars are not reported as generally being equipped with antifrictionbearing journal boxes and that the figures for freight cars shown in Table 10 will not apply unless evidence is found to indicate that such bearings are in fact being used on Soviet cars. f. This item represents the percentage of the total inputs to the industry which cannot be allocated to any of the previously listed industries. It must not, however, be construed as anything other than a raw material, fuel, power, labor, or contract input.

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VII. Imports.

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A. From the European Satellites.

A complete statistical breakdown of postwar imports of locomotives and rolling stock from the European Satellites by the USSR is not possible. Also, there is insufficient information on any of the Satellites to permit an accurate estimate of exports to the USSR. Such information as could be compiled is presented in Table 11.*

It is well known that the Russians are exploiting the European Satellites to the extent that the inventories of these countries are suffering from shortages of both locomotives and rolling stock.** The point at which this shortage begins to affect the delivery of other items of reparations which the USSR has demanded from these countries and to impair the Soviet program of Satellite industrialization is difficult to estimate. Thus it is also difficult to determine when the Russians will decide that retention of a larger portion of production within the Satellite countries will produce more benefits to the USSR than additions to its own operating inventory of locomotives and rolling stock.

The information as presented in Table 11 represents a compilation of available estimates. It is not intended as a firm estimate of Soviet Bloc contributions to the USSR but as an index of probable trends in Soviet demands on the European Satellites. A range of error of plus or minus 50 percent should be applied to these estimates.

B. From Non-Soviet BLoc Countries.

Importation of locomotives and rolling stock from non-Soviet Bloc countries by the USSR is not extensive. The largest known commitment was that of Sweden for the delivery of 300 YE-class (Decapod)*** steam locomotives to the USSR between 1947 and the end of 1952. 81/ Delivery of these units has not as yet been reported.

* Table 11 follows on p. 70.

** For a more detailed breakdown of the various types of units being imported from the European Satellites by the USSR, see Part II, below.

*** A locomotive with an 2-10-0 wheel arrangement. For specifications of all types of Soviet locomotives and rolling stock, see Appendix A.

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Estimated Soviet Imports of Locomotives and Freight Cars from the European Satellites 1946-52							
				· · · · · · · · · · · · · · · · · · ·			Units
Item by Country of Origin	1946	1947	1948	1949	1950	1951	1952
Steam Locomotives							
East Germany Poland Czechoslov akia Rumania Hungary	0 N.A. N.A. 60 <u>92</u> / 20 to 42 <u>99</u> /	0 N.A. 20 <u>88/</u> 65 <u>93</u> / 130 <u>100</u> /	0 20 <u>83/</u> 20 <u>89/</u> 65 <u>94/</u> 120 <u>101</u> /	0 50 to 83 84/ 45 907 60 95/ 120 102/	0 50 to 120 <u>85/</u> 45 <u>91/</u> 60 <u>96</u> / 120 <u>103</u> /	0 150 <u>86</u> / N.A. 70 <u>97</u> / 130 <u>104</u> /	32 82/ 150 87/ N.A. 70 98/ 140 <u>105</u> /
Total	80 to N.A.	215 to N.A.	225	275 to 308	275 to 345	350 to N.A.	392 to N.A.
Freight Cars					,		
East Germany Poland Czechoslovakia Rumania Hungary	1,700 106/ 2,500 113/ N.A. N.A. 354 125/	1,800 to 2,700 <u>10'/</u> 2,750 <u>114/</u> 500 <u>118/</u> 1,500 <u>122/</u> 1,336 <u>126</u> /	1,800 to 2,700. <u>108/</u> 3,000 <u>115/</u> 5,400 <u>119/</u> 5,400 <u>123/</u> 2,275 to 3,000 <u>127</u> /	1,800 to 2,700 <u>109/</u> 3,000 <u>116/</u> 7,700 <u>120/</u> 7,200 <u>124/</u> 3,000 to 5,440 <u>128</u> /	4,700 to 5,200 <u>110</u> / 3,000 <u>117</u> / 7,700 <u>121</u> / N.A. 3,000 <u>129</u> /	5,000 to 5,700 <u>111</u> / 'N.A. N.A. N.A. N.A. N.A.	6,700 to 7,800 <u>112</u> / N.A. N.A. N.A. N.A.

22,700 to 26,040

17,875 to 19,500

7,886 to 8,786

4,554 to N.A.

Total

18,400 to N.A.

N.A.

Table 11 Ratimated Soviet Imports of Locomotives and Freight Cars from the European Satellite

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<u>N.A.</u>



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Finland, under terms of its reparations agreement with the USSR, is to ship to the USSR a total of 500 narrow-gage locomotives and 5,500 4-axle narrow-gage freight cars. 130/ These units probably are for mining or lumbering purposes in the USSR and as such do not enter into the main-line locomotive and rolling stock inventory.

Japan shipped 15 passenger cars, 27 locomotives, and 270 freight cars to the USSR during 1949. <u>131</u>/ Shipments probably • have continued during the succeeding years, but the amount is small because of Japan's own shortage of railroad equipment. <u>132</u>/

Postwar shipments for COCOM-participating countries are nil with respect to main-line locomotives and rolling stock. Shipments from nonparticipating countries probably will continue to be small because of pressure from the US and other Western powers against such exports. The USSR is not in such straits with respect to its railroad equipment inventory as to be alarmed over such controls, although it would undoubtedly accept any offers of locomotives and rolling stock, particularly diesel locomotives and specialized types of freight cars. It is not felt that present or future shipments of this type of goods will be of much consequence in Soviet attempts to procure strategic material from the West. Parts for the maintenance of foreign-built units probably are of much more importance to the Russians, and it can be expected that attempts to procure some of the more important of these parts are being made and will continue to be made. Such parts would specifically include equipment for the 82 US Lend-Lease diesel locomotives and perhaps for US-built steam locomotives, which were also Lend-Lease equipment. (For types of Lend-Lease locomotives and rolling stock shipped to the USSR, see Figs. 18, 19, 20, 21, and 22.*)

Although no evidence has been received as yet, it is more than likely that an attempt to procure roller bearings for journal boxes for Soviet cars will be made if the USSR intends to carry out its proposal in the Fifth Five Year Plan to equip all rolling stock with roller bearings during the period 1951-55.**

* Following p. 72.
** For discussion of this stated intention, see X, C, l, below.

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C. Acquisitions as a Result of World War II.

1. Lend Lease.

Table 12 gives the number of locomotives and items of rolling stock shipped to the USSR by the US from 22 June 1941 to 20 September 1945 as Lend-Lease aid and supplemental shipments during 1946 and 1947 under the so-called "pipeline" agreements.* 133/

Table 12

US Shipments of Locomotives and Rolling Stock to the USSR 1941-47

		Units
Item	Lend Lease (1941-45)	Pipeline <u>(1946-47)</u>
Steam Locomotives Diesel Locomotives <u>b</u> / Electric Locomotives d/	1,911 a/ 70 c/ 8	290 16
Flatcars Dump Cars <u>f</u> / Tank Cars <u>g</u> / Heavy Machinery Cars <u>h</u> /	10,000 <u>e</u> / 1,000 120 35	20

a. Including 11 steam locomotives lost en route to the USSR and the following: eight 50-ton 0-4-0; fifteen 70ton 0-6-0; 200 80-ton 2-8-0; and 1,685 105-ton 2-10-0. b. Units built by the American Locomotive Company and the Baldwin Locomotive Works (Russian DA and DB classes). c. Including 4 diesel locomotives lost en route to the USSR.

d. 20-ton units, probably for mining.

e. Including 8 flatcars lost en route to the USSR and fifty 12-ton cars for mining. The remainder consist of 50-ton 4-axle main-line cars.

f. 40-ton 4-axle cars.

g. 10,000-gallon 4-axle cars.

h. Including various 125- to 200-ton heavy-duty well-type cars with 6 or 8 axles.

* Agreements for the shipments of equipment produced for Lend Lease but not shipped before the termination of Lend Lease in September 1945.

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FIGURE 18. DA-CLASS DIESEL-ELECTRIC LOCOMOTIVE (1,000 BRAKE HORSEPOWER), PRODUCED BY THE AMERICAN LOCOMOTIVE COMPANY AND SHIPPED ON LEND LEASE TO THE USSR, 1945.



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FIGURE 19. DB-CLASS DIESEL-ELECTRIC LOCOMOTIVE (1,000 BRAKE HORSEPOWER), PRODUCED BY THE BALDWIN LOCOMOTIVE Works and Shipped on Lend Lease to the USSR, 1945.





FIGURE 20. EA-CLASS STEAM LOCOMOTIVE OF THE 2-10-0 TYPE, PRODUCED BY THE BALDWIN LOCOMOTIVE WORKS AND SHIPPED ON LEND LEASE TO THE USSR.

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FIGURE 21. Sha-Class Steam Locomotive of the 2-8-0 Type, Produced by the Baldwin Locomotive Works and Shipped on Lend Lease to the USSR.



FIGURE 22. 4-AXLE FLATCAR OF 50-TON CAPACITY, PRODUCED BY THE PULLMAN-STANDARD CAR MANUFACTURING COMPANY AND SHIPPED ON LEND LEASE TO THE USSR. Eighty of these cars were delivered to the USSR. Postwar Soviet-built flatcars are undoubtedly similar to this unit. Note the inscriptions in Russian stenciled on the car before delivery. The inscription on the side of the car at the top left, sosredotochenny gruz, means "concentrated freight"; at the top right, tormoz Vestingauza, "Westinghouse brakes"; and at the bottom left, postroyen 8-45 Pull. Std. Car Mfg. Co., "built August 1945 (by the) Pullman-Standard Car Manufacturing Company."

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2. War Prizes.

During the course of World War II the USSR lost many locomotives and railroad cars, captured or destroyed, to the advancing German armies. When the Russians took the offensive, many of these units were recaptured along with locomotives and cars of German origin. It is claimed by the USSR that some 15,800 steam locomotives and 428,000 cars were lost to the Germans. <u>134</u>/ It is estimated that some 70 to 75 percent of these were subsequently recaptured. In addition, some 2,000 German steam locomotives and 178 electric locomotives,* as well as 45,000 2-axle and 2,500 4-axle freight cars, were captured in the Soviet advances and in looting after the end of the war. 135/

Including retirements and Lend-Lease additions, it is estimated that as a result of World War II the USSR suffered a net loss of 900 steam locomotives, 35,000 2-axle freight cars, and 24,000 4-axle freight cars. At the same time, it gained some 66 US diesel-electric locomotives and the previously mentioned 178 German electric locomotives.

VIII. Adequacy of Production.

A. Postwar to Present.

It is believed that the production of locomotives and rolling stock in the USSR during the period from 1945 to the present has been adequate for the needs of the Soviet economy. The general basis for this rather broad statement is indicated below.

According to official Soviet statements, the goals of the Fourth Five Year Plan (1946-50) were substantially fulfilled. The mining, metals, machine building, power, and chemical industries as

* These locomotives were included in the estimate of the Soviet locomotive inventory during 1945-52 as given in IX, below. It is likely that, because of differences in electric equipment, they were not part of the serviceable inventory.

most, if not all, of these locomotives will be returned to East Germany by the USSR during late 1952 and in 1953. For this reason, these 178 locomotives have been dropped from the inventory estimates from 1953 on. 136/ 50X1 50X1

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well as agriculture and other sectors of the economy all fulfilled (or slightly overfulfilled or underfulfilled) their goals. Rail transportation goals were overfulfilled in 1950 by some 13 percent. 137/ Since some 85 percent of all transportation of industrial goods in the USSR is by rail, it follows inevitably that had the supply of railroad equipment been grossly inadequate during the period, the Plan would have been underfulfilled to a large extent.

Other more specific information which supports the belief that the production has been adequate is as follows:

1. Retirement Rates.

Retirement rates are a clue to adequacy of production. Lowering the rate of retirement* means that a greater portion of the production in a given year will constitute a net addition to the operating inventory. At the same time, however, a reduction in retirement rates means an increase in the labor and material required to maintain the old equipment and, usually, a decrease in the efficiency of railroad transportation operations. When the demand for additional men and materials begins to exceed the supply, then it follows that the younger equipment in the inventory may suffer from insufficient preventative maintenance, and the results may be that the useful age of the newer equipment will be lowered. This would be the situation if the supply of new equipment were inadequate.

On the contrary, however, estimates of inventory increases of Soviet locomotives and rolling stock indicate a gradually increasing rate of retirement. This indicates that the production of these units has been adequate, since, in the event of an inadequate supply, the Russians probably would find it easier to hold the retirement rate at a lower figure and supply additional maintenance men and materials as heretofore mentioned than to attempt to increase production at a rate faster than it has been increased.**

* The rate of retirement is the ratio of locomotives and railroad cars retired to their respective inventories.
** In X, A, below it is pointed out that Soviet production has been at maximum capacity since the end of World War II.

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2. Soviet Press Complaints of Shortages.

Had the supply of locomotives or freight cars been grossly inadequate during the period from the end of World War II to the present, the Soviet press, following the Communist theory of "self-criticism," would undoubtedly have included many complaints about such shortages. Such comments have been few, however, and only local in nature, thus supporting the belief that sufficient units have been generally available.

3. Over-All Fulfillment of the Fourth Five Year Plan (1946-50).

As discussed in detail in X, A, below, with the addition of the Satellite contributions of locomotives and rolling stock during 1946-50 the goals of the Fourth Five Year Plan for the production of these items were fulfilled. If it is assumed that in planning these goals, the Russians set production goals at a level equal to the requirements of the rail transportation service, then it follows that the number of units supplied by internal production and Satellite imports has been adequate for the needs of the USSR.

B. Outlook for the Future.

It is not possible to forecast positively the adequacy or inadequacy of the future production of locomotives and rolling stock in the USSR. The fact that such production has evidently been adequate in the past few years is somewhat of a yardstick for stating that it probably will continue to be so in the future.

The Fifth Five Year Plan (1951-55) calls for an increase in freight transportation by rail of 35 to 40 percent. Although this figure seems low when compared with the projected increases in industrial and agricultural production,* it does indicate that the Soviet inventory of locomotives and rolling stock is considered to be adequate and that the estimated trends in production and inventory are reasonable.

* The Plan calls for over-all increases by 1955 in industrial production of about 70 percent and in agricultural production of about 50 percent. The apparent discrepancy between these goals and the projected increase in freight transportation by rail is at least partly covered by the very rapid projected increases in other forms of transport. 138/

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Production of all types of rail units in the USSR is increasing, as are imports from the Satellites. Retirement rates are also evidently rising. Inventory estimates as made in IX, below, show a 41-percent increase in both locomotive and freight car inventories (1955 over 1950), validating, to a degree, the Soviet claim of the ability to increase freight transportation by rail 35 to 40 percent by 1955.* For these reasons, it is believed that the production and inventory of this equipment in the USSR will continue to be adequate to meet the demands of the planned industrial growth of the country.

IX. Inventory Estimates.

The best available estimates of the inventory of Soviet locomotives and rolling stock are given in Tablës 13, 14, 15, 16, and 17** and are presented in graphic form in Figures 23,*** 24,**** and 25.**** The tables are followed by a detailed discussion on the method of estimation ______ and reference is made to appropriate graphs. The following general comments apply, however, to all three graphs.

Inventory estimates are, in general, difficult to make. Information on the actual retirement rates of Soviet locomotives and rolling stock is not available, and it is necessary to assume some reasonable figure. In addition, the effect of imports from the Satellite countries is difficult to assess. Since the utilization factor (degree of use) of Soviet equipment is much higher than for

* The estimates of inventory increases of both locomotives and freight cars as presented in IX, below, were made independently of each other and several months before the publication of the Fifth Five Year Plan. Accepting the Soviet goal of a 35- to 40percent increase in freight transportation by rail in this same period (1951-55) means that the inventory increases as predicted may in fact prove to be accurate within a narrow margin of error. Since production estimates during this same period were used as a guide in making inventory estimates, the Soviet stated goal also tends to substantiate the accuracy of the production estimates as reported in V, above.

** Pp. 78, 80, 81, 82, and 83, respectively, below.
*** Following p. 78, below.
**** Following p. 84, below.

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any other country of the world and since the ability of the Russians to maintain equipment under these high rates of utilization is not known, there is still more guesswork involved in estimating inventories. Uncertainty as to gains and losses during World War II constitutes another problem in making such estimates.

The estimates as presented below represent the best approximation possible, taking into account the above-mentioned factors. It is believed that they are reasonably accurate, at least with respect to yearly increases if not in actual count.

A. Locomotives.

. l. Steam.

Estimates of the steam locomotive inventory in the USSR are given in Table 13* (see also Fig. 23**).

Scattered information has been found to support the estimates as given in Table 13 for the years shown through 1950. and these estimates are accepted as being reasonably correct. The postwar estimates are more subject to error than the prewar estimates because no positive statement of postwar inventory has been released by the Russians. Even if the 1945 estimate is off, the order of magnitude of inventory increase since that time is felt to be substantially accurate. Estimates beyond 1950 were made on the following assumptions: first, that the inventory increases would become smaller as the years go on, and electrification and dieselization of the Soviet railroads would increase; second, that this decrease in the rate of expansion of the inventory would become more noticeable as the units built during years of high production became of age for retirement. The second assumption does not affect the estimates greatly, because locomotives in the USSR are used for periods ranging up to and over 45 years, and the majority of the high production years are much more recent.

On these assumptions the curve of inventory was extended and mechanically plotted to begin to level off in the later years of the estimate. Since it is felt that this inventory

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^{*} Table 13 follows on p. 78. ** Following p. 78.

Table 13

Estimated Steam Locomotive Inventory in the USSR

1929-54

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				Units
End of Year	<u>1 139/</u>	<u>11 140/</u>	<u>III 141/</u>	<u> IV 142</u> /
1929 1930 1931 1932 1933 1934 1935 1936 1937 1938 1939 1940 1941 1942 1943 1944	16,665 17,441 18,300	19,500 20,100 21,000 22,200 23,500 24,400 25,100 25,700 26,200		
1945 1946 1947 1948 1949 1950 1951 1952 1953 1954	· · ·	25,300 25,600 26,200 27,200 28,500 30,500	26,000	30,500 32,000 33,500 34,500 35,500

estimate is relatively accurate, the range of error applied to it has been set at plus or minus 10 percent for the years up to 1941 and plus or minus 15 percent for the postwar years up to 1960.

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2. Electric.

Estimates of the electric locomotive inventory in the USSR (see Fig. 23*) are based on the following assumptions: first, that the average life of an electric locomotive is 20 years (this life expectancy is in accord with US practice, and it is not believed that the Russians are able to extend the life of this type of unit much, if any, over this length of time); second, that the inventory will consist of those units purchased by the Russians from other countries plus those produced by the Russians them-selves and that the inventory will increase each year in direct proportion to these numbers minus those units which become 20 years of age and are thus retired. 143/

This method of estimation is necessarily subject to the variables of retirement age, accident, and unknown acquisitions, and for this reason a range of estimation of 10 percent is applied to the prewar years and 20 percent to the postwar years.

3. Diesel.

Estimates of the diesel locomotive inventory in the USSR (see Fig. 23*) are even more difficult than those of the electric locomotive inventory. Estimates of production rates are less reliable, and estimates of length of life are subject to a greater variation. Inventory estimates of the diesel locomotive inventory were made on the same basis as in the case of electric locomotives. The range of error, however, has been set at plus or minus 30 percent for the postwar years because of the paucity of information available, and at plus or minus 10 percent for the prewar years.

B. Rolling Stock.

1. Freight Cars.

Estimates of the freight car inventory in the USSR are given in Tables 14, 15, 16, and 17** (see also Fig. 24***).

* Following p. 78, above.
** Table 14 follows on p. 80; Table 15, on p. 81; Table 16, on p. 82; Table 17, on p. 83.
*** Following p. 84.

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Table 14

Estimated Freight Car Inventory in the USSR

in	Ter	ms	of	Equivalent	2-Axle	Units
				1928-54		

End of Year	<u> </u>	II <u>145</u> /	<u>III 146/</u>	<u>IV 147/</u>	<u>v 148/</u>	VI 149/
1928 1929 1930 1931 1932 1933 1934			487,400 499,400 516,000	•	564,500 573,600 591,700 664,900	
1935 1936 1937 1938 1939 1940 1941 1942		756,600 789,550 818,200 856,800 900,350		·	716,700 756,700 784,400 791,700 814,900 850,000	756,700 814,900
1943 1944 1945 1946 1947 1948 1949 1950 1951 1951 1953 1954	1,100,000 1,185,000 1,275,000 1,362,200 1,454,300	866,500 889,400 919,900 947,400 1,028,500 1,144,300		815,000	770,000 777,400 816,800 895,300 990,900 1,100,000	770,000 779,500 817,500 870,700 971,000 1,085,000

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Table 15

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Estimated Freight Car Inventory in the USSR

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in Numbers of Physical Units 1928-50					
End of Year	<u>1 150/</u>	<u>II <u>151</u>/</u>	<u>111 152/</u>		
1928 1929 1930 1931		450,000			
1932 1933 1934 1935 1936		520,300	·		
1937 1938 1939	622,700 634,600 644,425	622,700	622,700		
1940 1941 1942 1943 1944	659,775 679,425	651,950 680,000	651,900		
1945 1946 1947 1948 1949 1950	649,300 665,350 673,400 685,100 730,550 794,300	610,000	610,000 609,400 623,800 655,200 711,700 772,500		

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Table 16

Estimated Freight Car Inventory in the USSR

50X1

in Num	bers of 2- 1928-50	Axle Units)	<u>.</u>
End of Year	<u>1 153</u> /	<u>11 154</u> /	III <u>155</u> /
1928 1929 1930			725,500
1931 1932 1933 1934 1935	·	•	476,100
1936 1937 1938	488,800 479,650 470,650	488,800	488,800
1939 1940 1941 1942 1943 1944	470,090 462,750 458,500	489,000	489,000 510,100
1945 1946 1947 1948 1949	432,100 441,300 432,900 422,800 432,600	450,000 439,300 429,500 429,100 441,800	450,000
1950	444,300	448,900	450,000

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Table 17

Estimated Freight Car Inventory in the USSR

in Numbers of 4-Axle Units 1928-50

	·		
End of Year	<u>1 156/</u>	<u>11 157/</u>	<u>III 158/</u>
1928 1929		25,000	
1930 1931			
1932 1933		44,200	
1934			
1935 1936	122 000	122 000	122 000
1937 1938	133,900 154,950	133,900	133,900
1939 1940	187,275 197,025	162,950	162,900
1941 1942	220,952	170,000	
1943 1944			,
1945 1946	217,200 224,050	160,000	160,000 170,100
1 947 1948	240,500 262,300		194,300 226,100
1949 1950	297,950 350,000	325,000	269,900 323,600

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Each of the estimates in Tables 14, 15, 16, and 17 was plotted, and tentative curves showing estimates from 1928 to 1950 were drawn on the basis of the points plotted. Then, by giving added weight to estimates which were more highly evaluated and by adjusting the curves so that the totals represented checked, a final estimate was made and plotted. Projection of estimates to 1960 was made on the basis of estimated production, estimated imports, and estimated retirement. As shown in Figure 24,* the estimated net increase in inventory in terms of 2-axle units from 1950 to 1960 is 90,000 units per year. It is believed, barring a major change in planning or a war, that this projected estimate should have a range of not more than plus or minus 20 percent. The estimates for the years shown up to 1950 bear a range of error of plus or minus 10 percent.

2. Passenger Cars.

Estimates of the passenger car inventory in the USSR are almost nonexistent. The available estimates are plotted in Figure 25,* and the inventory for the other years estimated on the basis of production and calculated retirements. 159/

The average retirement age of passenger cars in the USSR is not known. Since the inventory is reported as being very inadequate, it has been assumed, for the purposes of calculating the inventory, that the retirement each year is approximately 1 percent of the end-of-year inventory of the preceding year. It is believed that imports from the Satellite countries in the postwar years, not included in the inventory computation, are sufficient to make the actual retirement rate nearer to 2 or 3 percent of the end-of-year inventory.

It is believed that the "best estimate" curve in Figure 25 represents a figure that shows the probable maximum inventory of passenger cars in the USSR rather than a middle-of-the-road estimate as is shown in the other inventory estimates. For these reasons, the prewar estimates are given a range of plus 5 percent and minus 15 percent, and the estimates for the postwar years projected to 1960 are given a range of plus 10 percent and minus 30 percent.

* Following p. 84.

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Figure 25



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Until firmer information on imports, retirement rates, and inventory for a recent year are available, it is felt that this is the best estimate that can be made of the Soviet passenger car inventory.

X. Capabilities, Vulnerabilities, and Intentions.

A. Capabilities.

This section is a discussion of Soviet capability to produce locomotives and rolling stock from the point of view of postwar production rates, future possible production, and the ability to convert to production of other types of locomotives or rolling stock or to convert to production of military end items.

1. Postwar to 1950.

It is believed that, in order to build the operating inventory of locomotives and rolling stock into a more modern and efficient one, Soviet manufacture of these items has been at capacity since the end of World War II and will continue to be so in the foreseeable future, barring any major change in the national economy.

The condition of the Soviet inventory of locomotives and rolling stock at the close of World War II was such that it was necessary for the Russians to exert a tremendous effort toward getting production facilities into full swing again in order to provide the railroads with sorely needed equipment. Thus, during 1945-50 the Soviet locomotive and rolling stock industry was engaged in a double undertaking. First, the plants not damaged by war but engaged in armaments production during hostilities were again converted, this time back to locomotive or freight car production. The plants rendered either wholly or partially inoperable by German and Soviet bombing or demolition were reconstructed and re-equipped with the purpose of getting them back into production as quickly as possible. At the same time, some new plants were also under construction.* Second, the production of locomotives and rolling stock was undertaken concurrently with the program of reconversion and rebuilding. As quickly as assembly lines could be put into operation, production of new equipment was begun. Thus, although production in 1945 was

* See I, C, 3, and IV, above.

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almost nil, production by 1950 had reached a point considerably higher than in any prewar year.* It is estimated that over the period of the Fourth Five Year Plan (1946-50) the quotas for production of locomotives and rolling stock were fulfilled by the following percentages:

	Percent
Locomotives (All Types)	94
Steam	103
Electric	66
Diesel	51
Freight Cars	81
Passenger Cars	100

Although accurate figures for Satellite contributions during this period are not available, the estimates shown in VII, A, above, when added to Soviet production, show a percentage figure for locomotives (all types) of 109 percent and for freight cars of 97 percent.** Thus it can be seen that during the period of intensive effort to reconstruct and reconvert, as well as to build new plants, the USSR was able, with Satellite aid, to supply to the railroads as much equipment as was required by the planners.***

In the case of diesel locomotives, Soviet capabilities were initially hampered by the fact that mass production of this item had not taken place during the prewar years. Numerous experimental models had been built, however, and it is believed that

* Estimated production in 1950 was as follows: steam locomotives, 2,040; electric locomotives, 170; diesel locomotives, 185; freight cars, 121,000 (in equivalent 2-axle units); passenger cars, 2,500. The best prewar production was as follows: steam locomotives, 1,518 in 1935; electric locomotives, 44 in 1936; diesel locomotives, 13 in 1936; freight cars, 85,674 in 1935 (in equivalent 2-axle units); passenger cars, 1,616 in 1934.

** Many of these Satellite cars are 4-axle units and would probably
push the total over 100 percent if counted as such.
*** For a discussion of the adequacy of this production, see VIII,
above.

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Soviet capabilities in the production of diesel locomotives increased greatly, if this experience is considered in combination with (a) the rebuilding of the Khar'kov plant for the production of diesel locomotives exclusively, (b) the aid of German technicians which was available after the war, and (c) the US Lend-Lease units which were on hand for studying and copying.

2. Probable Changes after 1950.

by the end of 1950 the Soviet program of rebuilding and reconversion was nearly complete and that gains in production after this date are ascribed to increased efficiency of labor, "normal" additions to capital equipment, and the like. It has been assumed that the Russians are capable of increasing their production of steam and electric locomotives and passenger and freight cars at a rate equivalent to the average increase in production during the prewar years 1928-40.* Since it also seems evident, as pointed out in VIII, above, that such capacity production will provide an adequate amount of stock for the railroads, there is no reason to suspect that the Russians will attempt any future program of accelerated expansion of the industry.

The probable sole exception to this last statement might be an accelerated drive to increase the production of diesel locomotives. Such an expansion is not indicated in the information published in the Draft Directive of the Fifth Five Year Plan (1951-55). Since the Russians have shown a predilection for copying US practice in many cases, however, it is possible that the present US trend toward extensive dieselization might be reflected in future Soviet plans. Two major deterrents, technological skill required for manufacture and fuel oil required for operation, would probably delay and somewhat modify such a trend. In the event that such an attempt were to be made, it would be further hampered by the lack of capital facilities for the production of such units and by the additional skilled labor reguired. Should the Soviet planners put sufficient priority on such a plan, it is possible that they would be capable of increasing production of diesel locomotives severalfold over the estimates made in V, A, 3, above.

* For a more detailed explanation of this estimate of the rate of expansion, see V, above.

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3. Conversion.

During the postwar rebuilding and reconversion period, it is possible that the number of steam locomotives produced could have been increased at the expense of production of the diesel and electric locomotives. A similar increase in the number of diesel or electric locomotives produced at the expense of the production of steam locomotives would probably not have been feasible, however, because of the higher degree of technological skill and greater labor skill required. This is further borne out by the fact that production of diesel and electric locomotives over the period of the Fourth Five Year Plan (1946-50) was only 51 percent and 66 percent, respectively, of the planned production.

Similarly, the product mix of freight cars could have been varied from what was actually produced, and the total production would have been slightly higher if more basic units (such as flatcars and boxcars) had been produced and somewhat lower if more specialized units (such as refrigerator cars and tank cars) had been produced.

Although it is not possible to estimate what the variations in product mix could be in terms of post-1950 capabilities, the same general application of a variation of results as in the preceding paragraphs would hold true. The building of new capital facilities for production also would alter the capability picture, but no evidence has been received of any extensive construction program.

As discussed in I, above, conversion to the production of armaments is not difficult in the locomotive and rolling stock industry.* Such conversion took place with relative ease during World War II, and it is doubtless true that Soviet plans for reconversion in the event of another war have already been made.

occasional references to the fact that a particular plant had on hand the plans and/or tooling for conversion were noted. No firm over-all picture of these conversion plans could be obtained but it seems only logical that the Russians have anticipated such actions should the need arise.

* See I, B, 2, above.

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In the event of such conversion, production of locomotives and rolling stock would taper off and possibly cease entirely if conditions should warrant such action. The capacity for railroad equipment production would inherently remain, as before, but actual available capacity would be determined by the military demands placed on production facilities.

B. Vulnerabilities.

For the purposes of this report, vulnerability is defined as the liability to attack of the industry under discussion causing (a) a reduction or cessation of production and (b) a reduction in the size of the inventory. Such liability will be discussed from the point of view of both cold and hot war and of the consequences of such attack.

Locomotives and rolling stock are not, in themselves, military end items. It is the consequences of damage or destruction to either the production facilities or the equipment inventory that provide a reason for a discussion of the vulnerabilities which exist. The railroads of the USSR are responsible for moving some 80 percent of the freight traffic in the USSR. 160/ Any action which reduces the effectiveness of the rail transportation service will cause a reduction in the flow of supplies to industrial installations, thus causing production difficulties, and, in time of war, may critically affect the movement of troops and military supplies, thus making military planning dependent to a varying degree on the services available from the railroads. It can be seen that, whether it be in a period of hot or cold war or by direct or indirect attack on either production facilities or equipment inventory, the effect of exploiting the vulnerabilities hereafter discussed must be considered in the light of the consequences of such action on the over-all economic activities and the military planning of the USSR, rather than the immediate effects on the industry in question.

Since locomotive and rolling stock plants are easily converted to the production of heavy armaments such as tanks, attacks on such installations must consider the degree of conversion which these facilities have undergone. This degree of conversion, in turn, will be effected by the Soviet planners on the basis of their estimate of whether hostilities will be of a long- or short-term nature. In a relatively short-term period of hostilities, the Russians would

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be likely to plan that the current inventory of railroad equipment would suffice and that complete or at least nearly complete conversion to military production would be most effective in aiding the war effort. In the case of a long-term war, the inventory would be expected to suffer from enemy action to the extent that replacements would be necessary. It is thus possible that only partial conversion would take place and that production of new locomotives and rolling stock would continue throughout the war.

Since the vulnerabilities of the production facilities are similar for either railroad equipment or armaments production in the same plant, the discussion of plant vulnerabilities which follows applies to either type of production.

1. Cold-War Production.

It is believed that the production facilities of Soviet railroad equipment are not significantly vulnerable under any coldwar conditions. Neither raw materials nor fabricated parts are known to be imported by the USSR in sufficient quantity to affect production noticeably. Shortages of men, materials, or capital equipment which might cause a "soft spot" in the production picture have not been found, although with all plants presumably working at capacity there is probably little or no "cushion" which the USSR could fall back on in the event of need.

It is the lack of "cushion," together with the possibility of conversion to armaments production, that presents the most vulnerable aspect in the production of locomotives and rolling stock under cold-war conditions. Should the Soviet planners consider it necessary to convert some of these facilities to the production of armaments, the production of railroad equipment would suffer accordingly.

2. Hot-War Production.

In the event of a hot war, the railroad equipment industry of the USSR will almost certainly be converted, in whole or in part, to armaments production. Consequently, any attacks against its facilities will be, at least in part, attacks against armaments production as well as against locomotive and rolling stock production. In a prolonged war, however, it will be impossible to convert the industry completely, especially in the absence of external supplies such as Lend Lease.

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a. Direct Attack.

Since substantially the same men, materials, power, and capital equipment would be used for production regardless of the degree of conversion, it is believed that some discussion of the vulnerability of specific items involved in the production of either railroad equipment or armaments should be made. Specific definitive vulnerability studies of individual plants would have to be made in order to determine the most effective type of attack for each facility. Since this is not within the scope of this report, the following comments are intended to provide information as to the effect of partial or complete destruction of a phase or phases of production and not to determine the means of causing disruption of production in a given plant.

As can be seen from the input estimates shown in VI, above, large amounts of raw materials in the form of steel, coal, coke, and other goods are required for the production of a single locomotive or railroad car.* Therefore, the production facilities are dependent to a great extent on the rail transportation service which they themselves supply, and any significant interruption in the flow of raw materials to these plants would cause a comparable decrease in production. Such interruption would have to be continuous to be completely effective. By contrast, an industry which requires but relatively small inputs would be less affected by restrictions of such raw materials: for example, the electronics industry, whose production is of highly complex, but usually small, items.

By the same token, attacks on the iron and steel and other supplying industries would have some effect on production at locomotive and rolling stock plants.

Damage to the power supply of these plants would also hamper production greatly. Determination of whether the supply of electric power for a given plant is internal to the plant area or external from a transmission grid would depend on individual plant studies. Machine tools, welding gear, cranes, and similar equipment which are dependent on electric power would be idle during

* For instance, a single locomotive and tender weighs from 100 to 150 tons on the average, almost all of which is steel in some form.

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a period of power interruption, and, although it is true that a certain amount of production which does not require such power could continue and perhaps even increase with the additional labor available, a stoppage of the power supply to a plant would curtail its production to a large extent.

The foundries in locomotive and rolling stock plants are also important links in the production picture, since a great deal of the metal going into the production of these items is in the form of iron and steel castings. Destruction of a foundry would hamper production for the period of time required to repair or rebuild the furnaces.

'A general direct attack on the plant area would create the problem of clearing debris and repairing damaged equipment. Since a large part of the equipment used in the manufacture of locomotives and rolling stock is heavy, it is believed that only a direct hit on a piece of equipment would destroy it and that a general attack has only nuisance value as compared with a more precise attempt to inflict damage on a specific phase of production.

Any open hostilities would of course draw away from all types of production a certain amount of labor for military service. Destruction of the remaining labor force in the plant areas also would be a means of interrupting production. A somewhat more appealing action, yet as effective, would be the contamination of the work area by some means.

The following statement, from a survey of actions such as are described above during World War II, summarizes the general vulnerability of such facilities: "The results of the attacks on Henschel and Krupp do indicate the relative ease with which locomotive building facilities can be effectively nullified. They indicate, moreover, the serious difficulty and consequent long delay in recuperation, especially where the supplying industry is also suffering." 161/

b. Sabotage.

In the field of sabotage, two considerations must be reviewed in general terms. The first of these is the encouragement of such acts as passive resistance, work slowdown, poor workmanship, and the like by the employees in the plant. In the case

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of the USSR, such actions conceivably could be spontaneous because of unrest within the country.

The second consideration would be sabotage by individuals or teams acting covertly within the USSR. Sabotage would be effective when directed against the same targets as discussed in 2a, above.

3. Cold-War Inventory.

It is not believed that the operational inventory of locomotives and rolling stock in the USSR is vulnerable to cold-war actions. Imports by the USSR of either complete units or parts from other than the Satellites is not significant, and a complete embargo on these shipments probably would not affect significantly the number of units available for service. Also, because of the internal security measures taken at present in the USSR, sabotage of locomotives and rolling stock in the inventory on even a small scale is unlikely.

4. Hot-War Inventory.

The USSR is perhaps most vulnerable with respect to its locomotive and rolling stock inventory under hot-war conditions. This vulnerability is not peculiar to the USSR but applies to any country whose economy is dependent to a very large degree on railroad transportation. One factor which perhaps makes the USSR somewhat more vulnerable than many other countries is the lack of a "cushion" in the operational inventory of locomotives and rolling stock. In spite of efforts to maintain a reserve inventory for emergency use, the inventory presently on hand is being used at near maximum capacity, as is evidenced by the Soviet efforts to reduce turnover time, to raise train speeds, and to increase the ratio of actual loads to capacity loads in freight cars. Thus a reduction in the operational inventory over and above what reserves may be available would put a burden on the railroad transportation service which would be difficult to overcome by increasing the efficiency of operations.

a. Direct Attack.

Direct concentrated actacks on the operating inventory of locomotives and rolling stock by bombing of individual

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trains, marshalling yards, and repair depots would reduce the ability of the Russians to move the raw materials and finished goods needed in a war economy. Initial losses could perhaps be offset by divergence of undamaged equipment from less essential services, but since some plants would be converted to armaments production, the Russians would find it impossible to replace all of the destroyed inventory.

b. Sabotage.

On the same premise, destruction of locomotives and rolling stock by sabotage would create the same type of shortage of inventory. Sabotage could take the form of direct attack on the vehicles or indirect attack on the right-of-way, which would result in damage to the vehicles as the result of wreckage. In such attacks the locomotive, the more complex piece of equipment, would be the logical target, since its replacement is accordingly more difficult.

Thus, in summarizing, it can be seen that, although the exploitation of the vulnerabilities of both the production facilities and the inventory of railroad equipment in the USSR would perhaps not be considered as a direct attack on the military capabilities of the USSR, its effect would be to reduce the kinetic energy of Soviet efforts to wage war by effectively reducing their ability to supply the production economy and the military machine of the country with their requirements.

C. Intentions.

1. Specific Indications from the Fifth Five Year Plan (1951-55).

The following statement is quoted from the Draft directive of the Fifth Five Year Plan (1951-55): "... To meet fully the demands of railway transport in long-distance locomotives, electrically driven locomotives, and diesel locomotives and in railway freight cars, refrigerated cars, and passenger coaches; to complete in the main the introduction of automatic couplings on all rolling stock and to equip all rolling stock with roller bearings; to begin the production of new powerful locomotives, electric locomotives and diesel locomotives,

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including gas-generator locomotives." <u>162</u>/ With a single exception, it appears from this statement that the intention of the Russians is to continue the production of railroad equipment in much the same vein as during the 1946-50 Plan period: that is, production of diesel and electric locomotives will continue to increase as rapidly as technology and facilities will permit, more modern units of all types will be designed and placed in production, and the locomotive and rolling stock industry as a whole will continue to expand at a **rate** consistent with the economic development of the country. Neither the Fifth Five Year Plan nor other external sources indicate an intention to expand capital facilities significantly.

The single phrase from the above statement to which exception must be taken is "to equip all rolling stock with roller bearings." A study of this phrase by a language expert in the original Russian text as printed in Pravda led to the following conclusions: (a) it could literally mean the equipping of all rolling stock with roller bearings (both locomotives and cars according to the Soviet use of the term rolling stock), or (b) it could mean the equipping of all new equipment with roller bearings, or (c) it could mean undertaking a program to equip either all or all newly constructed locomotives and rolling stock with roller bearings. The third of the possible meanings is believed to be the most reasonable: that is, during the course of the Plan, attempts will be made to equip all newly constructed units with roller bearings. Even this interpretation is subject to some question, since the installation of rollerbearing journal boxes on freight cars has not been carried out extensively even in the US, where, if such practice were considered sound from an economic and engineering point of view, no production problem would prevent their installation. The principal advantage of rollerbearing journal boxes is in the reduced power required for starting a train. Roller bearings are most common in the US on locomotives and passenger cars, and the same can be expected in the USSR. In the case of freight cars, the additional maintenance problem imposed by roller bearings has offset the advantages to the point that only a relatively small number of freight cars in the US have been so equipped. Roller-bearing journal boxes are more subject to damage by humping operations than are solid sleeve-type bearings. For this reason and the reason that the roller bearings production industry in the USSR is not producing enough bearings for present Soviet needs, it is not believed that any serious attempt to equip even new freight cars with roller-bearing journal boxes will be made by the USSR during the 1951-55 period. 163/

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2. Indications of Economic or Political Changes.

The current intentions of the Russians with respect to the production of locomotives and rolling stock do not indicate any change in the political or economic activity of the USSR. Railroads, together with the development of their operational inventory through production of new locomotives and rolling stock, are an inherent part of the Soviet economy, and normal expansion of the industry indicates nothing but the continued development of the industrialization of the country.

Any conversion of the Soviet locomotive and rolling stock production facilities to armaments production probably would indicate changes in economic or political intentions. Since there is no such conversion at present, it appears that the Russians are emphasizing the long-term growth of the economy with the possibility of ultimately either raising the standard of living or expanding the Soviet war potential. Conversion of these facilities would imply a de-emphasis on long-term armaments expansion in favor of short-term military advantage. The rate and degree of conversion might well indicate something about the Soviet evaluation of the imminence of hostilities.

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PART II: EUROPEAN SATELLITES

I. Introduction.

Part II of this report is not intended as a completed basic research study on each of the European Satellites* as is Part I on the USSR. Section II, below, presents only the immediate postwar intelligence estimates on these countries, including such basic information as a brief description of the administrative organization of the industry, production and inventory estimates, information on individual plants from the point of view of production, and some additional information on particular items of intelligence value. Section III, below, is a discussion of over-all capabilities, vulnerabilities, and intentions of the European Satellites.

Technological specifications of equipment and statistical comparisons between the US and the European Satellites have been omitted here

II. Studies of Individual European Satellites.

A. East Germany.

1. Administrative Organization.

The production of locomotives and rolling stock in East Germany is controlled by two apparently independent organizations. The first of these is the Transmash Soviet Corporation (Ministerstvo Transportnogo Mashinostroyeniya Sowjetische Aktiengesellschaft -- Transmash SAG) which is Soviet-owned and Sovietoperated and controls the activities of railroad equipment plants at the following places <u>164</u>/: Dessau,** railroad cars; Weimar,** narrow-gage railroad cars and refrigerator cars; Ammendorf,** express train coaches; Ilsenburg,** railroad wheel sets; and Berlin

* For a map showing the locations of locomotive and rolling stock plants in the European Satellites, see Figure 26, following p. 98. ** These plants were resold by the USSR to the German Democratic Republic (GDR) in mid-1952. Information obtained in August 1953 lists them under the administration of the Association of People-Owned

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(formerly Knorr-Bremse), brake equipment. Railroad equipment constructed by these plants is considered as reparations even though the plants are Soviet-owned and Soviet-controlled.

The second organization is the Association of People-Owned Enterprises, Locomotive and Railroad Car Works (Verwaltung Volkseigener Betriebe - Lokomotiv und Waggon -- VVB-LOWA).* The plants under the direction of this administration are engaged in the production of railroad equipment for the USSR as reparations and of items for the internal use of the East German railroad network. Wildau is the seat of the LOWA administration, but no production is carried out there, with the possible exception of conversion of steam locomotives to coal-dust firing. People-Owned Enterprises (Volkseigene Betriebe -- VEB's) under the VVB-LOWA administration are located at the following places: Goerlitz, Niesky, Bautzen, Werdau, Babelsberg, Gotha, Uebigau, and Vetschau. 166/

2. Production Estimates.

The estimated production of locomotives and rolling stock in East Germany in 1950, 1951, and 1952.

in Table 18.**

3. Inventory Estimates.

a. Locomotives.

The estimated locomotive inventory in East Germany as of 29 February 1952 is given in Table 19.***

b. Freight Cars.

The estimated freight car inventory in East Germany as of 20 December 1951 is given in Table 20.***

Enterprises, Locomotive and Railroad Car Works (Verwaltung Volkseigener Betriebe - Lokomotiv und Waggon -- VVB-LOWA), and even though the administrative function of the VVB's was reported to have been assumed by the Ministry of Machine Construction as a result of its reorganization in January 1953, the use of the term <u>VVB-LOWA</u> as a commodity group designation has continued. 165/

* See p. 97, note **, above.

** Table 18 follows on p. 99.

*** Tables 19 and 20 follow on p. 101.

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Table 18

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Estimated Production of Locomotives and Rolling Stock in East Germany 1950-52

· · · · · · · · · · · · · · · · · · ·	·····				Units
Item	Destination	Gage (mm)	1950	1951	1952
Freight Cars					
Refrigerator Cars	USSR	1,524	1,551 to 1,820	2,296 to 2,696	1,890 to 2,940
4-Axle Slag and Dump Cars	USSR	1,524	500	95 to 120	72 to 96
Gondola Cars	USSR	1,524	0	N.A.	400
Tank Cars	USSR	1,524	7+	600 to 720	720
Flatcars	USSR	1.524 and 1.435	350	610 to 730	2,420 to 2,444 a/*
Boxcars	USSR	1,524	2,081 to 2,281	950	í .o í _
Freight Cars (Type Not Specified)	USSR	1,524	250	500	960
Boxcars	Czechoslovakia	1,435	0	0	250
Coal Cars	Poland	1,435	0	350 to 500	0
Coal Cars	East Germany	1,435	0	0	400
Total Freight Cars b/			4,739 to 5,208	<u>5,401 to 6,216</u>	7,112 to 8,210
Passenger Cars					
Passenger Cars	USSR	1,524	650 to 850	995 to 1,170	535 to 895
Dining Cars	USSR	1,524	25	40 to 50	80
Double-Deck Passenger Cars	East Germany	1,435	. 0	36	100
Total Passenger Cars			675 to 875	1,071 to 1,256	715 to 1,075
Work Cars					
15-Ton Railroad Cranes	USSR	1,524	75 to 80	90	144
25-Ton Railroad Cranes	USSR	1,524	60 to 65	100 to 115	120 to 150
50-Ton Railroad Cranes	USSR	1,524	00 10 09	45 to 50	72 to 100
Total Work Cars			135 to 145	235 to 255	<u>336 to 394</u>

* Footnotes for Table 18 follow on p. 100.

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Table	18	

Estimated Production of Locomotives and Rolling Stock in East Germany 1950-52 (Continued)

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Care		

Item	Destination	Gage (mm)	1950	1951	1952
Work Trains <u>c</u> / Hospital Cars Coal-Dust Tenders	USSR USSR d/ East Germany	1,524 1,435 1,435	0 0 15 to 17	0 40 0	5 660 to 700 0
Steam Locomotives					
Steam Locomotives Steam Locomotives	USSR East Germany	1,524 1,435	0	0 0	(30 Plan) (32 Plan)
Total Steam Locomotives			<u>o</u>	<u>o</u>	<u>(62 Plan)</u>
Electric Locomotives	USSR	1,524	50	80	61
Narrow-Gage Cars					
"Long Timber" Cars Mobile Generator Cars Freight Passenger Cars Passenger Cars Utility Cars Flatcars	USSR USSR USSR USSR USSR USSR	750 750 750 750 750 750 750	1,200 to 1,440 353 to 360 557 600 600 0	240 400 to.720 0 0 650	0 295 40 0 400 to 600
Total Narrow-Gage Cars			3,310 to 3,557	1,290 to 1,610	735 to 935
Mining Locomotives Mining Locomotives	USSR Czechoslovakia	750 Unknown	200 0	Over 100 100	Over 160 0

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Table 19

Estimated Locomotive Inventory in East Germany as of 29 February 1952 168/

·				Units
Locomotives	In Service	Under or Awaiting Repair	Damaged	Total
Reichsbahn-Owned Locomotives (Including Coal-Dust Locomotives) Column Locomotives <u>a</u> / Foreign-Owned Locomotives <u>b</u> / Narrow-Gage Locomotives	2,981 (35) 305 10 147	1,845 (41) 66 7 63	621 986 5	5,447 (76) 371 1,003 215

a. These are locomotives used exclusively for the transportation of goods to the USSR.

b. Probably locomotives captured during World War II, largely badly damaged and not capable of repair.

Table 20

Estimated Freight Car Inventory in East Germany as of **20** December 1951 a/ 169/

				Units
Type of Freight Car	In Service	Damaged	Reserve	Total
Boxcars Flatcars Open Cars Tank Cars ZMW Cars (Tank Cars for Edibles)	26,182 15,306 43,680 4,043 1,599	1,925 1,332 3,894 157 92	5 25 68 73 11	28,112 16,663 47,642 4,273 1,702
(Tank Cars for Edibles) Refrigerator Cars Other Cars Cars for Special Use (Various Types)	430 3,511	95 446	113 0	638 3,957 3,963
Total	<u>93,063</u>	<u>7,849</u>	<u>295</u>	105,158

a. All figures, including the totals, are as given The totals at the foot of the table do not represent exact sums of the columns. A 2-percent margin of error is allowed by East Germany in the figures for types of cars and in the totals, since the count is a paper one and not an actual one.

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c. Passenger Cars.

The estimated passenger car inventory in East Germany as of 31 January 1952 is given in Table 21.

Leaving out the narrow-gage, railway service, and S-Bahn cars, 8,502 cars were in service on 1 January 1952 (of which 1,767 were baggage cars and 656 were mail cars) and 1,610 were out of service awaiting repairs.

Table 21

Estimated Passenger Car Inventory in East Germany as of 31 January 1952 170/

···· <u>·</u> ····			Units
Operable	Under Repair	Beyond Repair <u>a</u> /	Total
457	127	98	682
199	44	10	253
5,400	يل <u>9</u> 8	179 38 39	6,473 38 39
10 13	1	<u> </u>	11 13
1,767 1,018 636 656 1,344	390 111 118 154 153	232 179 98 11 1	2,389 1,308 852 821 1,498
	457 199 5,400 10 13 1,767 1,018 636 656	457 127 199 44 5,400 894 10 1 13 1,767 390 1,018 111 636 118 656 154	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

a. These cars can be used only for obtaining parts (cannibalizing).

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4. Plant Information.

Estimates of production in 1950 and 1951 and planned production in 1952 at those of the above-mentioned and other plants which produce locomotives and/or rolling stock follow. <u>171</u>/

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a. Dessau.

Proper Name: Waggonfabrik Dessau.

Production: 1950:

1,000 refrigerator cars. 60 to 65 25-ton railroad cranes. 75 to 80 15-ton railroad cranes. 150 to 200 express train coaches. Unknown number (probably small) of 4-axle slag and dump cars. Unknown number of flatcars.

1951: 1,500 to 1,800 refrigerator cars (see Fig. 27*).
100 to 115 25-ton railroad cranes.
45 to 50 50-ton railroad cranes.
90 15-ton railroad cranes.
35 to 60 slag cars.
60 dump cars.
250 express train coaches.
Unknown number of flatcars.

1952: 1,440 to 2,100 refrigerator cars. 144 15-ton railroad cranes. 120 to 150 25-ton railroad cranes.**

* Following p. 104.

** Railroad cranes are to be shipped as special flatcars to Waggonbau Bautzen for installation of crane riggings.

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			50X1-HUM
a.	Dessau (Continued).		
	Production: 1952:	72 to 100 50-ton railroad cranes.* 36 to 48 foundry cars. 120 to 144 flatcars. 36 to 48 slag cars.	*
Ъ.	Weimar.		
	Proper Name: Wagg Former Name: Frit	onbau Weimar. z Saukel Werke.	
	Production: 1950:	1,200 to 1,440 narrow-gage "long-timber" cars. 353 to 360 narrow-gage mobile generator cars. 557 narrow-gage	
		freight-passenger cars. 451 to 720 refrigerator cars. Unknown number of tank cars.	*
•	1951 :		·
	1952 :	 295 narrow-gage mobile generator cars. 40 narrow-gage passenger- freight cars. 450 to 840 refrigerator cars. 400 soft-coal cars (probably gondola cars). 720 tank cars. 	.

* Railroad cranes are to be shipped as special flatcars to Waggonbau Bautzen for installation of crane riggings.

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FIGURE 27. REFRIGERATOR CAR, PRODUCED BY WACCONFABRIK DESSAU SAG AS REPARATIONS FOR THE USSR. The photograph was taken at the Coswig Bahnhof on 3 August 1951. Note the modern construction of the car and the inscription in Russian stenciled on the car before delivery. The inscription on the side of the car at the top, *lednik*, means "Refrigerator."

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с.	Ammendorf.

Proper Name: Waggonfabrik Ammendorf. Former Name: Gottfried Linder AG.

Production:	1950 :	 350 to 450 coaches (convertible to hospital cars). 600 narrow-gage passenger cars. 600 narrow-gage utility cars.
	1951 :	355 to 390 passenger coaches. 650 narrow-gage flatcars.
	1952 :	480 to 540 passenger coaches. 400 to 600 narrow-gage flat- cars.

d. <u>Goerlitz</u>.

			ibau Goe			
Former	Name:	Wumag	Waggon	und	Maschinen	Werke.

Production: 1950: 100 to 150 express train coaches. 500 4-axle dump cars. 350 flatcars. 25 dining cars.

> 1951: 350 to 500 coal cars (for Poland).
> 40 to 50 dining cars.
> 10 80-ton flatcars.
> 250 coaches.
> 36 double-deck passenger cars.
> 40 reconstructed hospital cars.

> 1952: 100 double-deck passenger cars. 50 to 350 coaches. 80 dining cars. 60 to 100 hospital cars.

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d. <u>Goerlitz</u> (Continued).

Production: 1952: 700 50-ton 4-axle flatcars.* 400 coal cars.

e. Niesky.

Proper Name: Waggon- und Stahlbau Niesky. Former Name: Christoph und Unmack.

Production: 1950: 781 boxcars. 15 to 17 coal-dust tenders.

1951: No information.

1952: 1,600 80-ton 6-axle flatcars.* 250 boxcars (for Czechoslovakia).

f. Bautzen.

Proper Name: Waggonbau Bautzen. Former Name: Busch Waggonbau.

Production: 1950:

1950: 800 to 900 boxcars. 50 (or more) passenger cars. Unknown number of coal cars (gondola cars).

1951: 950 boxcars. 140 to 280 passenger cars. Unknown number of coal cars.

* The total order from the Soviet Control Commission is for 2,300 flatcars of 50- and 80-ton capacity. The order consists of 700 50-ton 4-axle cars and 1,600 80-ton 6-axle cars. Distribution of production assignment between Waggonbau Goerlitz and Waggon- und Stahlbau Niesky is positive. The flatcar program is said to take priority over all but orders for hospital cars. 172/

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f. Bautzen (Continued).

Production: 1952*: 200 3-car hospital trains. 250 crane cars from Dessau for finishing. 5 work trains (composition unknown).

g. Werdau.

Proper Name: Waggonbau Werdau. Former Name: Schumann Werke.

Production: 1950: 500 to 600 boxcars. 100 refrigerator cars. 7 tank cars. 1951: 76 to 176 refrigerator cars. No other information. 1952: Converted to motor vehicle production.

h. Babelsberg.

Proper Name: Former Name:		Mærx Werke. tein und Koppel.
Production:	1950 :	200 narrow-gage steam and diesel mining locomotives.
	1951 :	Several hundred narrow-gage steam and diesel mining loco- motives.
	1952 :	Unknown number of narrow-gage

30 broad-gage steam locomotives planned but not built.

* Waggonbau Bautzen is to discontinue railroad equipment production at the end of 1952 and concentrate on motor vehicle production. 173/

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i. Gotha.

> Waggonbau Gotha. Proper Name: Gothaer Waggonfabrik. Former Name:

Production: 1950: 250 freight cars (type unknown).

- 1951: 500 freight cars (type unknown).
- 1952: 960 freight cars (type unknown).

Hennigsdorf. j.

Proper	Name :	Lokomotivenb
--------	--------	--------------

Former Name:

au Elektrotechnische Werke (LEW).* AEG Borsig Lokomotivbau.

Production:

- 50 80-ton Bo-Bo electric 1950: locomotives.**
- 1951: 80 electric locomotives of varying types and weights. 100 narrow-gage electric mining locomotives for Czechoslovakia.
- 1952: 221 electric locomotives of varying types and weights.*** 32 steam locomotives planned but not built. 5 passenger coaches.

LEW Hennigsdorf is under the administrative control of the Ministry of Machine Construction through the Main Administration for Electrical, Radar, and Electronics Construction. ** A Bo-Bo wheel arrangement consists of two 2-axle trucks. Each of the four axles is driven by its own traction motor.

*** Of which 61 are of over 60-ton total weight.

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All production of the SAG-owned plants is for the USSR as reparations. Unless stated to be of narrow gage, the equipment produced is of Soviet broad gage. It is shipped to a border point on standard-gage wheel sets, and then the broad-gage wheel sets, which accompany the equipment, are installed. The narrow-gage equipment listed is primarily 750-mm-gage units for use in mines and in timber hauling.

The VVB-LOWA plants are primarily engaged in reparations production for the USSR, with the following exceptions: at Waggonbau Goerlitz the double-deck passenger cars are being produced for the East German State Railways; at Waggon- und Stahlbau Niesky the coal-dust tenders are for internal East German use; and at Hennigsdorf the mining locomotives noted in 1951 are for Czechoslovakia, and the 32 steam locomotives scheduled in 1952 are for internal East German use.

5. Additional Information of Intelligence Value.

a. Hospital Cars.

In late December 1951, Waggonbau Goerlitz received orders from the USSR to construct a number of hospital trains. These trains were to consist of 5 or 6 cars each (1 power car equipped with generators and other special equipment, 1 car equipped for surgery, 1 first-aid car, and 2 or 3 cars for casualties). The original order seems to have been for 10 such trains. The cars are reported to be of standard European gage and not convertible for broad gage. This could mean that they are for the use of Soviet troops stationed in Germany as mobile aid stations where permanent hospital facilities are not available. further production of these hospital

trains at Waggonbau Goerlitz after the completion of the first 10. 174/

in 1952 Waggonbau v hospital trains con-

Bautzen was ordered to produce 200 emergency hospital trains consisting of 3 cars each (1 surgery car, 1 X-ray car, and 1 car of unknown type). 175/

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b. Heavy-Duty Flatcars.

Another item of importance is the 1952 Soviet order for 2,300 heavy-duty flatcars from East Germany. These cars, of 50and 80-ton capacity, are said to be under construction at Waggonbau Goerlitz and Waggon- und Stahlbau Niesky and are reported to have a priority over all production except hospital cars. The present inventory of such cars in East Germany is not over 250 units, so that this order takes on added significance.

the East Germans are making efforts to obtain special strip steel for these cars from sources outside the Soviet Bloc and are willing to pay extremely high prices for it.

Since a loaded depth of 18 to 24 inches on the flatcars would load them to their capacity, they would be well suited for the purpose. In addition, in case of necessity the cars can be used for the transportation of tanks and other similar heavy military equipment. 176/

c. Coal-Dust Locomotives.

In East Germany, supplies of black coal are almost nonexistent, and the firing of locomotives is done largely with brown coal briquettes. This procedure causes a marked loss in operating efficiency as well as other unpleasant features, and for this reason the development of locomotives fired with coal dust was urged by the coal and other industries.

Before World War II the Reichsbahn had explored the possibility of using locomotives fired with coal dust and had constructed a total of 10 such units. The operation was not too successful, because of the expense of the coal dust, until 1937, when the Halle'sche Pfaennerschaft, an old mining corporation, established a large coal-dust-grinding mill in Senftenberg and, having to find a regular account, offered coal dust to the Reichsbahn on favorable terms and prices. The coal-dust locomotives were used in the transport of heavy brown coal briquettes to Berlin and the return of the empty trains to Senftenberg.

During World War II, coal-dust locomotives lost their importance because they depended on a specific fuel base.



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When the Russians occupied Germany at the end of the war, they dismantled the coal-dust plant at Senftenberg, and the locomotives were no longer used.

The coal-dust locomotive program was reopened at the beginning of 1949, and a German civil engineer, Hans Wendler, undertook the assignment of developing such locomotives for use in East Germany. He started with a prewar model and, after considerable experimentation, succeeded in developing a unit which would operate well on relatively poor grades of coal dust. Major changes were made in the prewar models: They were considerably simplified and thus made more dependable as well as more efficient. The advantages claimed for coal-dust firing with the Wendler design are the following: there is no shortage of steam; flying sparks are completely eliminated, as well as ash dumping along the right-of-way; there is no more smoke, and fuel combustion is almost complete; steaming up normally takes about 45 minutes as compared with 4 to 6 hours for a grate-fired locomotive; coaling is cleaner and quicker; and the fireman on the locomotive is released from physical labor, leaving him free to watch with the engineer for signals and the like, thus enhancing the safety of operation of the locomotive. In addition to these advantages, it is also claimed that a fuel saving of some 30 percent over the grate-fired locomotive is achieved.

	. The	disadvantages	of	coal-dust	operation	are	also
considerable,							

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"Lately, there has been a shortage of suitable coal dust because it was found that, as heretofore, only coal dust with a maximum residue of 20 percent on the 4,900 sieve is actually usable. Such coal dust is in short supply in the Halle area, and Senftenberg has not resumed deliveries yet. Therefore, plans are being voiced to reconvert part of the coal-dust-fired locomotives to grate firing. Furthermore, the high sulphur content of the brown coal dust always creates difficulties. Those copper fireboxes still in existence are almost corroded through, and steel fireboxes cannot be manufactured in the German Democratic Republic as yet. Thus, several boilers have torn open already, an occurrence

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which always constitutes considerable danger for everybody in the vicinity." 177/

The fact that some of the coal-dust locomotives may have been reconverted is borne out by an official tabulation of locomotives in East Germany as of 31 January 1952 which indicates that 83 coal-dust locomotives were included in the inventory, and by a second similar tabulation as of 29 February 1952 which indicates only 76 such units in the inventory, although the total inventory remained the same. These same tabulations also indicate that, on 31 January 1952, 42 of the coal-dust locomotives were in operational condition (50.5 percent) and that, on 29 February 1952, only 35 were in operational condition (46 percent). Thus it can be seen that the program for coal-dust firing may not be as successful as anticipated. 178/

The development of this coal-dust locomotive program is of interest because of the fact that successful development of such units will, to a large extent, aid the East German railroads in their present problems of fuel shortages. It is estimated that 1,250 locomotives so fired would effect an annual saving of 8 million tons of briquettes. 179/

d. Return of Equipment from the USSR.

In 1951, some 20,000 freight cars seized by the Russians in eastern Germany during World War II were returned. These cars were in such poor condition that most of them had to be scrapped. 180/ It was also reported that in 1952 20,000 additional freight cars would be returned 181/ and that 180 electric locomotives which were similarly seized during the war also will be returned. 182/ The freight cars in this second return probably also will be in such poor condition that few can be rebuilt for addition to the East German inventory. Any additions, however, will be of use to East Germany, as there is a continual shortage of freight cars on its railroads at present. The electric locomotives will need extensive repair, and it was estimated that about 50,000 East German marks would have to be provided by the East German government for the repair of each of these units. Where the materials and money for these repairs are to come from is not known, even by the East German authorities, and it is doubtful that the electric locomotives will be placed in service at an early date. 183/

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B. Poland.

1. Administrative Organization.

Administratively, the plants in Poland which produce railroad equipment are subordinate to the Central Administration of the Metal Industry, which, in turn, is subordinate to the Ministry of Heavy Industry. The production of locomotives and rolling stock at these plants in Poland is presently scheduled according to the requirements of the current Six Year Plan (1950-55). Previous to the current Plan a Three Year Plan (1947-50) was in effect.

2. Production Estimates.

It is impossible to estimate the total yearly production of locomotives and rolling stock in Poland

There are, however, several reports of production on a yearly basis which make it possible to estimate total production for the years 1946-49 and the 1950-55 Plan, inclusive. These estimates are given in Table 22.

Table 22

Estimated Production of Locomotives and Rolling Stock in Poland 1946-49, 184/ 1950-55 Plan 185/

			Units
Year	Steam Locomotives	Freight Cars (2-Axle Equivalent)	Passenger Cars
1946 1947 1948 1949 1950 Plan 1951 Plan 1952 Plan 1953 Plan 1954 Plan 1955 Plan 1955 a/	178 254 265 280 290 300 300 300 300 315	5,221 11,500 15,000 14,200 16,200 15,500 16,400 16,400 16,400 18,000 18,800	5 108 - 232 200 300 400 400 400 400 400 630

a. These figures were introduced at the request of the Soviet Control Commission after the 1950-55 Plan was announced.

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3. Inventory Estimates.

Table 23

Estimated Inventory of Locomotives and Rolling Stock in Poland <u>186</u>/ 1946-52, 1955 Plan

Year	Steam Locomotives	Passenger Cars	Freight Cars
1946	5,200 to 5,700 <u>a</u> /	7,276	110,000 b/
1947	5,848 <u>a</u> /	8,161	164,996 c/
1948	5,860 <u>a</u> /	N.A.	170,500 c/
1949	4,800	8,000	160,000 b/
1950	4,870 <u>a</u> /	8,250 <u>a</u> /	165,500 d/
1951	4,950 <u>a</u> /	8,500 <u>a</u> /	171,000 d/
1952	5,030 <u>a</u> /	8,750 <u>a</u> /	176,500 d/
1955 Plan	5,240	9,500	193,000

a. Including an undetermined number of damaged units.

b. Freight cars actually in use.

c. Total number of freight cars in use and damaged cars. d. Increases between 1949 and the 1955 Plan are arbitrarily divided equally.

4. Plant Information.

Some 17 plants in Poland have been reported as producing locomotives and/or rolling stock.

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Units

* A summary of total production of the major plants indicates that plants noted with a dagger (\neq) are of minor importance and may actually be engaged in parts production rather than in actual assembly of locomotives and/or rolling stock.

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a. Sanok.

Proper Name: Polish Railroad Car Plant. Former Name: Zieleniewski Railroad Equipment Plant.

Production: This plant reportedly resumed production after World War II in 1946 with a monthly production of 100 coal cars. No recent information is available.

b. Chrzanow.

Proper Name: Dzierzynski Railroad Locomotive Plant. Former Names: First Railroad Locomotive Plant. Fablok Railroad Locomotive Plant.

Production reports vary, but it Production: seems clear that this plant has produced the TY-45-class and the OK-21- and OK-22-class steam locomotives for the USSR (see Fig. 28*). Production of the TY-45 was begun in 1946, and some 100 units were reported as produced for the USSR by November 1947. 150 locomotives of "other" types also were reported as produced in 1947. These were probably tank-type shunt locomotives of the "Ferrum" class for the USSR. The 1948 Plan purportedly called for the production of 217 main-line locomotives -- 150 for Poland and 67 for export to the USSR. The PT-47-class express locomotives for Polish use were first built in 1948 (see Fig. 29*). It is described as the largest and most powerful steam locomotive presently produced in Europe. Production in 1949 is reported as 332 units of all types. No later information is available.

* Following p. 116.

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c. <u>Wroclaw</u> (Breslau).

Proper Name: Pafawag Railroad Car Plant. Former Name: Linke-Hoffmann Railroad Car Plant.

Production: The following breakdown of production was reported:

1946: 1,468 coal cars in the first 7 months.

1947: 5,400 to 5,800 coal cars. 76 to 82 locomotive tenders. 17 to 18 passenger cars.

1948: 5,300 coal cars. 122 locomotive tenders. 46 passenger cars.

1949: 66 mail coaches. Beginning on 1 October 1949, troop transport railroad cars to seat 130 men were to be produced at the rate of 1 car every 2 days. Beginning on 1 October 1949, armored freight cars at the rate of 1 a day were to be produced.

1950: 30 special flatcars with 8 axles and a gear ring in the center of the car for an artillery piece.

1951: 150 additional special 8-axle flatcars by May. 1,000 freight cars for Hungary, delivery to start on 1 August 1951.

About 80 percent of all production was for the USSR.

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FIGURE 28. MODERN POLISH FREIGHT STEAM LOCOMOTIVE, PRODUCED IN 1946, PROBABLY AT CHRZANOW.

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FIGURE 29. INTERIOR OF THE BOILER ASSEMBLY SHOP AT THE CHRZANOW LOCOMOTIVE WORKS, 1949. The boiler shown is probably for a PT-47-class steam locomotive. The inscription written on the side of the boiler, Ostroznie partaczu!, means "Careful, bungler!"

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d.	Poznan.	• • •
	Proper Name: Cegielski Locomotive and Machine Plant.	
	Production: USSR did not start until November 19 A total of 8 locomotives for the USS was report <u>ed for 1949 and about 90</u>	9. R
	for 1950.	50X1 50X1
	No figures are availabl after 1950 or before 1949.	e 50X1
e.	Gdansk (Danzig).	
	Proper Name: Waggonfabrik Railroad Car Plant. /	
	Production: No information.	
f.	Chorzow.	
	Proper Name: Kosciuszko Iron Works. Former Names: J.G. Katowice and Laura Iron and Steel Corporation. Koenigshuette Steel Plant.	
	Production: No information is available.	
g.	Luban.	
	Descriptive Name: Railroad Car and Locomotive Plant. /	
	Production: Prewar: Electric locomotives. Present: Locomotives and cars. No other information is available.	
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h. Lublin.

Descriptive Name: Railroad Locomotive Plant. / Production: No information is available.

i. Sosnowiec.

Proper Name: Babcock and Zieleniewski Plant.

Production: Said to have produced 100 locomotives from the end of World War II to October 1947. Bulgaria reportedly ordered 30 locomotives from this plant. No other information is available.

j. Warsaw.

Proper Name:	Lilpop,	Rau, and	Loewenstein,	Inc.
Production:			was reported mining locomo	

k. Warsaw.

Proper Name: Ostrowiec Railroad Locomotive Plant.

Production: Also produces 40-hp mining locomotives. May possibly be the same plant as the preceding one or may be engaged in joint production of these mining locomotives with this plant.

1. Wroclaw (Breslau).

Proper Name: Zaodrzanski Railroad Car and Bridge Building Plant.

Production:

production target for 1947 was 300 freight cars per month.

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m. Zielona Gora (Gruenberg).

Proper Name: Zaodrzanski Railroad Car and Bridge Building Plant.

Production: As of March 1950 a total of 3,000 4-axle coal cars has been reported as shipped to the USSR since the end of World War II. In addition, some 300 tank cars were reported shipped up to December 1949. No other information is available.

n. Bedzin.

Proper Name: Zamkost Railroad Tank Car Plant. /

Production: Reportedly produces tank cars for the Polish railroads. No other information is available.

5. Additional Information of Intelligence Value.

a. Exports to Communist China, Albania, and Bulgaria.

Polish capacity for the production of locomotives and rolling stock is such that, in addition to production for the USSR and for its own use, it is able to produce some units for export to other Satellites. On 7 October 1951, 2 locomotives and 29 railroad cars were loaded on the SS Kosciuszko, presumably for shipment to this shipment as going Communist China. 188/ to Albania. 189/ Whether this particular shipment went to Communist China or to Albania, the likelihood is that both countries are receiving some units from Poland. In addition, on 11 July 1951 a 2-year trade agreement between Bulgaria and Poland was signed in which Poland agreed to ship both locomotives and rolling stock to Bulgaria in 1952 and 1953. 190/ Even though no complete statistics on such exports are available, it is important to note that the capacity of the Polish industrial plant is high enough to satisfy both internal and Soviet demands and still leave a surplus for export.

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b. Imports from Sweden.

Poland has recently received from Sweden fortyfour 3-coach electric train sets (for use on the Warsaw subway) and 8 electric locomotives. The first of the electric train sets was put into service in December 1950, and the first locomotive was put into service in May 1951. It is interesting to note that, even though Poland has sufficient capacity to produce steam locomotives in exportable quantities, it evidently does not have the proper facilities for the construction of electric locomotives within its own boundaries. 191/

C. Hungary.

1. Administrative Organization.

The production of locomotives and rolling stock in Hungary is scheduled according to the current Five Year Plan Act (1950-54). Previous to the current Plan, the Three Year Plan (1947-49) was in effect. The locomotive and rolling stock plants are controlled by the Ministry of Heavy Industry, as the higher authority, with a form of chief directorate having immediate administrative authority over the individual plants. 192/

The Three Year Plan and the Five Year Plan were, and are, flexible enough to permit changes in production quotas to meet current economic conditions. The Three Year Plan was reportedly fulfilled in 2 years and 5 months, resulting in considerable changes in the 1949 schedules, and the Five Year Plan was reported extensively revised in 1951. 193/

The Hungarian locomotive and rolling stock industry is concentrated in six plants. Only one of these, the Mavag Heavy Machinery Plant in Kobanya, makes substantial numbers of locomotives, and only the Ganz Electrical Equipment Factory in Budapest, the Wilhelm Pieck Railroad Car Plant in Gyor, and possibly the MAV Railroad Car Plant in Miskolc make substantial numbers of freight cars.*

* For details on each of these plants, see 4, below.

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2. Production Estimates.

Estimates of the production of locomotives and rolling stock in Hungary by type of equipment for the years 1946-54 are given in Table 24.

Table 24

Estimated Production of Locomotives and Rolling Stock in Hungary 1946-54

									Units
Item	<u>1946</u>	<u>1947</u>	1948	<u>1949</u>	<u>1950</u>	<u>1951</u>	1952	<u> 1953</u>	1954
Steam Loco- motives <u>194</u> / Electric Loco-	20	130	155	175	185	200	210	220	N.A.
motives <u>195</u> / Freight Cars <u>196</u> / Passenger Cars 197/	O N.A. N.A.	N.A.	N.A.		N.A.	N.A.	4 6,500 507 to 610		N.A. 10,000 N.A.
Diesel Train Sets <u>198</u> /	0	0	0	N.A.	5	16	N.A.	N.A.	N.A.

In the case of freight cars, estimates of yearly production are even more difficult, because, even though production at the two major plants, at Budapest and Gyor, has been fairly well established, there is probably enough production at Miskolc (on which little information is available) to preclude the possibility of making a production estimate solely on the basis of plant information. A statement by the Hungarian press giving 1949 production and 1954 planned production is available

These yearly estimates are logical from the point of view of the plant production information available and are therefore given in Table 24 as the best estimates of freight car production available.

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Units

There are no estimates of Hungarian passenger car production on a yearly basis available. Two plants are engaged in the production of passenger cars, and an estimate for their total production of passenger cars in 1952 is shown in Table 24.

The Ganz plant in Budapest is engaged in the production of diesel train sets for export.

3. Inventory Estimates.

Table 25

Estimated Inventory of Locomotives and Rolling Stock in Hungary <u>a</u>/ 1938, 1946-52, 1954 Plan <u>b</u>/

					······································			- <u></u>	
Item	1938	1946	1947	1948	1949	1950	1951	1952	1954 Plan
Locomotives	1,841	1,144	1,680	1,440	1,470	1,616	1,685	N.A.	N.A.
Passenger Cars	3,970	1,930	2,472	2,300	2,350	2,396	3,096	N.A.	N.A.
Freight Cars	46,915	16 , 375	32 , 855	35,000	37,880	42,000	43,152	46,760	54 , 600

a. Including locomotives and rolling stock owned and held by the Hungarian State Railways (Magyar Allamsutak -- MAV) and excluding foreign-owned stock in Hungary and MAV stock abroad. The estimates are as of 31 December for each year shown.

b. The large increase in inventory between 1946 and 1949 is attributed to a return by the USSR to Hungary of considerable numbers of locomotives and rolling stock seized by the Russians at the end of World War II. Changes in inventory after that period are attributed to retirements plus additions as the result of production which was not sent to the USSR. Since retirements probably are determined by the amount of new equipment available, it is not possible to estimate, on the basis of increases to Hungarian inventory, the percent of production which actually is exported to the USSR.

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50X1

4. Plant Information.

a. Kobanya.

Proper Name: Mavag Heavy Machinery Plant.

Production: This plant is the only one in Hungary engaged in the production of mainline steam locomotives. For this reason it is possible to use yearly estimates of Hungarian production as well as estimates of the production rate of the plant in determining the probable actual production rate. By so doing, the production of units of steam locomotives for the years 1946-53 has been estimated as follows:

1946 :	20	1950 :	185
1947:	130	1951:	200
1948:	155	1952 :	210 (Plan).
1949:	175	1953 :	220 (Plan).

In addition to the production of steam locomotives, the plant is engaged in a joint project with the Ganz plant in Budapest on the production of a new model electric locomotive for the Budapest-Komarom-Hegyeshalom main line. These locomotives are 5-motor, singlephase to three-phase converter units with a Bo-Co wheel arrangement* (see Fig. 30**). The Ganz plant is supplying the electrical equipment for the

* A Bo-Co wheel arrangement consists of one 2-axle truck and one 3axle truck. Each of the five axles is driven by its own traction motor. ** Following p. 124.

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a. Kobanya (Continued).

Production: locomotives, and the Kobanya plant is supplying the frames and the like and is responsible for the assembly of the units. Two units were built in 1951, 4 are scheduled for 1952, and 8 are planned for 1953. 203/

b. Budapest.

Proper Name: Ganz Electrical Equipment Factory.

Production: In addition to cooperating with the Kobanya plant in the production of the electric locomotive mentioned in a, above, this plant produces diesel train sets and freight and passenger cars.

> Before World War II, 1-, 2-, and 3-car diesel train sets were built for export, primarily to Argentina, Egypt, Rhodesia, Uruguay, Bulgaria, and other European countries. Immediately after the war and up to early 1951 the plant was engaged in the conversion of Soviet diesel train sets to the Ganz-Jendrasik system. It is not known how many such conversions took place in this period. The mass production of diesel train sets began again in 1948-49. Three types are now in production: 4- and 5-car units for Argentina and 6-car units for the USSR. The first and last car of each train set is motordriven by a Ganz-Jendrasik diesel engine and generator set.

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FIGURE 30. SINGLE-PHASE TO THREE-PHASE CONVERTER ELECTRIC LOCOMOTIVE OF THE KANDO SYSTEM, PRODUCED FOR THE HUNGARIAN STATE RAILWAYS, 1952.

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50X1-HUM

b. Budapest (Continued).

Production: Freight cars produced by this plant are almost wholly for export to the USSR as reparations. Types produced include 40-ton 4-axle boxcars, 60-ton 4-axle gondola cars, 50-ton 4-axle tank cars, 2-axle tank cars, and 2-axle refrigerator cars.

> Passenger cars are produced for the USSR and the MAV. The cars for the USSR are 4-axle type "CAK"* modern express coaches, and the MAV cars are 2-axle third-class coaches.

Electric mining locomotives for Hungary and for export at the rate of 20 to 30 units per year are also produced at this plant.

This plant is also engaged in considerable production of diesel engines of the Ganz-Jendrasik design, gears, pumps, turbines, and other capital goods, which account for about 50 percent of the value of production at the plant. 204/

An estimate of production at this plant from 1947 through 1951 is given in Table 26.** 205/

c. Gyor.

Proper Name: Wilhelm Pieck Railroad Car Plant. Former Name: Gyor Railroad Car Plant.

* Hungarian abbreviation for a car designed for internal traffic.
** Table 26 follows on p. 126.

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Table 26

Estimated Production of Locomotives and Rolling Stock at the Ganz Electrical Equipment Factory, Budapest 1947-51

					Units
Item	1947	1948	1949	1950	1951
Freight Cars					
2-Axle Tank and Refrigerator Cars (for the USSR) 4-Axle Boxcars, Tank Cars, and Gondola Cars	750 to 800	950 to 1,000	300 to 400	300 to 400	150 to 300
(for the USSR)			800 to 850	900 to 950	1,000 to 1,100
Passenger Cars					
2-Axle Cars (for the MAV) 4-Axle Cars	170 to 190	200 to 220	130 to 160	150 to 160	140 to 150
(for the USSR)			100 to 120	150 to 160	180 to 200
Diesel Train Sets					
4-Car Units					
(for Argentina) 5-Car Units	0	0	0	0	1
(for Argentina)	0	0	N.A.	5	7
6-Car Units (for the USSR) Conversion	0	· • 0	0	0	8
(for the USSR)	N.A.	N.A.	N.A.	0	0
Mining Locomotives	N.A.	N.A.	20 to 30	20 to 30	20 to 30
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50X1-HUM

c. Gyor (Continued).

Production:

This plant is the largest manufacturer of railroad cars in Hungary. The plant produces all types of passenger and freight cars for both internal use and export to the USSR and other countries. Production in 1951 amounted to about 1,800 cars. Before 1951 the plant produced mostly standard types of freight cars, and production has been largely of specialized types of freight and passenger cars since that date. Orders on hand at the end of 1951 were reported as follows:

Passenger Cars:

- 100 type "CAK" 18-ton 2-axle third-class cars for Czechoslovakia. Delivery by mid-1952 (50 of this order were delivered in 1951).
- 50 type "CAK" cars, specifications as above, for the MAV. Delivery by end of 1952.
- 100 broad-gage 20-ton 2-axle second-class cars for the USSR -- 50 in 1952 and 50 in 1953.
- 60 20-ton 2-axle special firstclass cars for the Egyptian State Railways. Delivery to be complete by end of 1952 (some were delivered in 1951).

50 broad-gage 24-ton 2-axle luxury sleeping cars for the USSR. Delivery by end of 1953 (a few were delivered in 1951).

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50X1-HUM

50X1-HUM

c. Gyor (Continued).

Production:

Freight Cars:

900* broad-gage 60-ton 4-axle ore cars for the USSR.

40 30-cubic-meter 2-axle tank cars for the USSR. Delivery by end of 1952.

Unknown number of 15-ton oretipping cars, refrigerator cars, timber cars, and the like. Probably constructed in small lots as orders are placed.

Spare Parts:

40,000 tons of spare parts for the MAV.

Other Products:

In addition to the rolling stock portion of this plant, there is considerable production of such items as trucks and buses, lift trucks, bridge parts, rail points and crossings, and the like. Approximately 50 percent of the plant capacity is involved in other than railroad equipment production.

* This order, current since 1945, amounted in all to 5,000 cars by the end of 1952. It was a reparations item, and prompt delivery was enforced. This order accounted for 50 percent of the entire output of rolling stock at this plant.

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d. Budapest.

Proper Name: Rossemann Harmatta Factory.

Production: Reported in 1949 as producing narrowgage diesel mining locomotives.

e. Miskolc.

Proper Name: MAV Railroad Car Plant.

Production: Formerly a repair shop. Reported as producing new cars in 1951.

f. Diosgyor.

Proper Name: Mavag Steel Plant. Former Name: Diosgyor Steel Works.

Production: Mostly parts for locomotives. Possibly some industrial-type locomotives of 275 hp.

5. Additional Information of Intelligence Value.

a. Hospital Cars.

In early September 1951 it was reported that conversion of passenger and freight cars to hospital cars was taking place at 2 shops in Hungary, the former Wagon-Lits Workshop in Budapest and the MAV General Workshops some 12 km north of Budapest. Third-class coaches with wooden seats of both 2- and 4-axle types, as well as 2-axle 15-ton boxcars, were being converted. As of the end of August 1951 the total number converted was 150 freight cars and 35 to 40 passenger cars. Of these, 8 to 10 were fitted as surgical cars, and the remainder were fitted as double-deck hospital cars. 206/

The total number of cars converted is not known, nor is it known whether the program is still in progress. The last reported date of production of these hospital cars was October 1951. 207/

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b. Imports from Poland.

About September 1951 a consignment of Polish freight cars was delivered to the MAV. It consisted of 1,500 freight cars of 20-ton capacity, 500 of which were covered. One thousand additional cars, also of 20-ton capacity, have been ordered. 208/ It is interesting to note that, even with a sizable freight car production capacity, Hungary is forced to depend on Poland for such units because of the required delivery of cars to the USSR.

c. Export of Diesel Train Sets.

A trade agreement between Hungary and East Germany signed on 6 June 1952 calls for the delivery of 12 diesel train sets to the East German government by 1955. Three of these train sets are to be delivered at the end of 1953. Some details of the agreement remain to be cleared up, but the production of such train sets by Ganz for Argentina and for the USSR suggests that these train sets for the East German government will be similar to those made for these two countries. 209/ It has also been reported that Bulgaria was to import some of these diesel train sets from Ganz in 1952 and afterward. 210/

D. Czechoslovakia.

1. Administrative Organization.

Economic planning in Czechoslovakia started with the Two Year Plan (1947-48) and has been continued with the present Five Year Plan (1949-53). The planning begins with the Central Planning Board, which has as its operating agency the State Planning Office.

The State Planning Office is divided into four divisions. With respect to production of locomotives and rolling stock, the division which controls heavy industry, light industry, construction, and transportation is the one which must be considered. 211/ Of these sections, only the ones for heavy industry and light industry are directly pertinent here. The exact apportionment of firms and plants within these sections is not clear, but there are four main enterprises, or "state organizations," which fall within these sections. Since they are all large industrial combines, each of the four probably produces items which fall into both the heavy industry and light industry sections. These four enterprises are as follows:

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50X1-HUM

- a. Skoda Works (the main plant, the Skoda Machine Building Factory -- the V.I. Lenin Plant -- at Plzen, is the only one engaged in the production of locomotives and rolling stock).
- b. CKD (one CKD plant in Prague produces locomotives).
- c. Zbrojovka Brno (one plant in Brno produces rolling stock).
- d. Tatra Works (the main plant at Koprivnice and the branches at Prague, Studenka, Ceska Lipa, and Kolin all produce locomotives or rolling stock).

There are several other independent installations in Czechoslovakia engaged in the production of locomotives and rolling stock, but they also are state-controlled (see 4, below).

2. Production Estimates.

There are several estimates of over-all yearly production of locomotives and rolling stock in Czechoslovakia. From a consideration of these estimates

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duction of locomotives and rolling stock in Czechoslovakia has been developed in Table 27.*

3. Inventory Estimates.

Estimates of the inventory of locomotives and rolling stock in Czechoslovakia,

are given in Table 28,** showing actual inventory in 1937, 1947, and 1948 and planned inventory for 1949 and 1953. In view of Czechoslovak production capacity, it is felt that, considering possible changes in plans, the figures as given are correct within an estimated range of error of plus or minus 10 percent. 212/

* Table 27 follows on p. 132. ** Table 28 follows on p. 133.

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Table 27

Estimated Production of Locomotives and Rolling Stock in Czechoslovakia <u>213</u>/ 1945-53

							<u></u>		Units
Item	1945	1946	1947	1948	1949	1950	1951	<u>1952</u>	1953
Locomotives Passenger Cars Freight Cars	50 N.A. N.A.	150 N.A. 10,600	234 N.A. 15,345	290 306 11,000	320 N.A. 13,000	360 N.A. 15,000	400 N.A. 15,000 to 18,000 <u>a</u> /	440 N.A. N.A.	480 N.A. N.A.

a. 29,000 freight cars for 1951. This figure is believed to have been obtained on the assumption that a new plant at Brezna went into operation in 1951. This plant was to be a subsidiary of the Tatra Works. Its construction was begun during the Two Year Plan and was to have been completed sometime in 1950. Somewhat belatedly it was realized that the capacity of those plants already in operation was about 18,000 cars per year and that an additional plant was not needed. Accordingly, the plans were changed, and this plant is at present believed to be engaged in the production of bridge sections. Production of freight cars in 1951, therefore, probably lies between the 1950 figure of 15,000 and the estimated capacity of 18,000 for the entire industry.

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Table 28

Estimated Inventory of Locomotives and Rolling Stock in Czechoslovakia 1937, 1947-53

								Units
Item	1937	1947	1948	1949_	<u>1950 ª/</u>	<u>1951 ª/</u>	<u>1952 a/</u>	1953
Steam Locomotives Freight Cars <u>b</u> / Passenger Cars <u>c</u> / Motor Rail Cars Postal Cars	3,962 95,112 10,526 523 521	4,114 82,961 7,826 579 524	4,249 85,763 8,125 532 544	4,190 83,073 8,279 546 579	4,180 83,931 8,479 602 563	4,171 8 4,789 8,679 659 547	4,161 85,647 8,879 715 531	4,152 86,505 9,080 772 514

a. Straight-line interpolation between 1949 and 1953 estimates.

b. Including approximately 1.3 percent service cars.

c. Including approximately 13.0 percent baggage cars.

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50X1

4. Plant Information.

a. <u>Plzen</u>.

Proper Name: Skoda Machine Building Factory (V.I. Lenin Plant).

Production:

From the end of World War II to about the middle of 1948, approximately 250 locomotives were built by this plant. Of these, 10 to 12 were electric locomotives for Czechoslovakia, 4 were freight steam locomotives for Czechoslovakia, 6 were narrowgage mining locomotives for Czechoslovakia, and the remainder were steam locomotives (similar to the Soviet L class) for the USSR (see Fig. 31*). Production from mid-1948 to the present seems to be of a similar nature. The probable capacity of the plant is about 120 to 180 units per year. In addition to exports to the USSR, a few units have gone to Bulgaria, Communist China, and Rumania.

In 1951, 2 armored trains were constructed for the USSR, with composition as follows: 4 flatcars with 150-mm naval guns mounted in turrets, 3 armored boxcars, 2 flatcars with antiaircraft guns, 4 ammunition boxcars, and 1 armored locomotive.

Following p. 134.

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FIGURE 31. INTERIOR OF THE LOCOMOTIVE ASSEMBLY SHOP AT THE SKODA MACHINE BUILDING FACTORY AT PLZEN, SHOWING POSTWAR SERIAL PRODUCTION OF LOCOMOTIVES SIMILAR TO THE SOVIET L CLASS.

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50X1-HUM

Plzen (Continued). а.

(The locomotive was reportedly Production: built by the plant in Krakow, Poland.) Three more armored trains were reportedly scheduled for production in 1951.

Prague. ъ.

> CKD Sokolovo Locomotive and Motor Proper Name: Vehicle Plant. CKD Liben Locomotive and Motor Former Names: Vehicle Plant. Praha Liben Motor Vehicle Plant.

Production: Production in 1946, 1947, and 1948 was about 120 to 150 steam locomotives. Of these, 50 to 80 percent were for the USSR. In 1949, production was at least 150 units, of which some 25 to 50 were scheduled for delivery to Turkey. The remainder were sent to the USSR, with the exception of seven, which were reported as produced for the Czechoslovak railroads.

In 1950 and 1951, no total production figures are available, but

exports to the USSR of 96 units in 1950 and 90 units in 1951 indicate that production probably was continued at a rate of 150 to 200 units per year. Production in 1952 has not been reported, except for mention of a coal-dust locomotive which was built at this plant.

Brno. с.

> Gottwald Machine Building Factory. Proper Name: Former Names: 1st Brno Machine Building Factory. Konigsfelder Machine Building Factory. Kralovo Pole Machine Building Factory.

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50X1

c. Brno (Continued).

Production: Production estimates at this plant, available only for the years 1950 and 1951, are given in Table 29.

Table 29

Estimated Rolling Stock Production at the Gottwald Machine Building Factory, Brno 1950-51

Units

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Type of Car	1950 (Actual)	1951 <u>(P</u> lan)	Remarks
Electric Cars	47	15	For Czechoslovak use. Specifications unknown.
Streetcars Flatcars <u>a</u> /*	30 80	244	For local Brno use. Ordered by the Ministry of National Defense. 1950 Plan was for 324 cars. 1951 Plan was for the balance of cars not built in 1950.
Tenders, Narrow- Gage <u>b</u> /	300	• 124	For the USSR. 1950 Plan was for 424 tenders. 1951 Plan was for the balance of tenders not built in 1950.
Tenders, Broad- Gage <u>c</u> /	140	220	For the USSR. 1950 Plan was for 360 tenders. 1951 Plan was for balance of tenders not built in 1950.
Tank Cars d/	80		For Czechoslovak State Railroads.
Railroad Cranes	3 1		For Czechoslovak State Railroads.
Factory Railroad Cranes e/	1		For Vitkovice Iron Works.
Diesel Cars		38	For Czechoslovak State Railroads.
Mobile Post- Office Cars		27	For Czechoslovak State Railroads.
Fire Cars for Coking Plants f/		3	For Vitkovice Iron Works.
Large Tenders g/		65	For Czechoslovak State Railroads (4 to Communist China).
Cabooses		50	For Czechoslovak State Railroads.

* Footnotes for Table 29 follow on p. 137.

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Table 29

Estimated Rolling Stock Production at the Gottwald Machine Building Factory, Brno 1950-51 (Continued)

a. 4-axle 50-ton flatcars with Knorr brakes and SKF roller-bearing axles.

b. Factory railroad tenders with SKF roller-bearing axles.

c. 4-axle tenders with Knorr brakes and SKF roller-bearing axles.

d. 2-axle tank cars with 200-hectoliter capacity.

e. 4-axle flatcar with crane installed.

f. 4-axle coke-quenching cars with SKF roller-bearing axles.

g. 4-axle tender with Knorr brakes and SKF roller-bearing axles, with broador standard-gage axles to suit.

d. Koprivnice. .

Proper	Name:	Tatra Naro	dni Podnil	k (N	P).	
Former	Name:	Ringhoffer	Railroad	Car	and	Motor
Vehicle Plant.						

Production:

	Producti	on is mainly freight
cars,	most of w	hich go to the USSR.

production of passenger cars for the USSR and diesel motor rail cars, probably for Czechoslovak use. Capacity of the plant seems to be about 15 to 20 freight cars per day. If this is correct, this plant is one of the larger producers of this type of equipment in the Satellite area. An examination of the area covered by this plant indicates that such capacity estimates are in line with the size of the plant.

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e. Prague.

> Proper Name: Tatra Railroad Car Plant, Smichov. Former Name: Ringhoffer Railroad Car and Motor Vehicle Plant.

Production:

Capacity seems in 1949 and 1950 to have been approximately 5 freight cars per day. Production of the plant, in addition to freight cars, is reported as passenger cars, electric trains, and streetcars. Seventy percent of the production is reported as destined for the USSR. A small part of this 70 percent probably goes to Poland and Bulgaria.

An order placed in December 1951 reputedly calls for the production of 200 boxcars for the USSR in 1952. These cars are 4-axle units with double doors on either side. They are reported as being convertible to hospital cars on an 8-hour notice.

f. Studenka.

Proper	Name :	Tatra	Ra
Former	Name:	Ringho	off
		Vohic	

ailroad Car Plant. fer Railroad Car and Motor Vehicle Plant.

Production: Little information. Postwar capacity is about 6 to 12 freight cars per day. There is some possibility that Tatra in Koprivnice and Prague send chassis of freight cars to Studenka for finishing. Gondola cars and boxcars are reported as being produced at this plant.

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g. <u>Ceska Lipa</u>.

Proper Name: Tatra Railroad Car Plant. Former Name: Czecho Huta Railroad Car Plant.

Production: This plant has a postwar capacity of 150 to 300 freight cars per year. Its importance is small as compared with the preceding three plants.

h. Kolin.

Proper	Name:	Tatra Railı	oad Car	Plant	5.
Former	Name:	Ringhoffer	Railroad	Car	Plant.

Production: Reported capacity from end of World War II to June 1951 was one freight car per day. Some production of passenger cars for Turkey in 1948 and 1950 was indicated.

the facilities of the plant were to be converted to tracked military vehicles in mid-1951.

i. <u>Klatovy</u>.

Descriptive Name:

: Railroad Locomotive and Aircraft Engine Plant.

Production:

j. Liberec.

Descriptive Name: Railroad Car Plant.

Production: From 1945 to 1949, reportedly produced railroad cars. From 1949

to 1952, engaged in production of armaments, but no confirmation is available.

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k. Trnava.

Descriptive Name: Railroad Car Plant.

50X1

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50X1

1. Blatna.

Descriptive Name: CKD Railroad Car Plant.

m. Turciansky-Svaty-Martin.

Descriptive Name: Railroad Locomotive Plant.

n. Sumperk.

Descriptive Name: Railroad Car Plant.

Production: A new plant, reportedly completed about the end of 1951. Production is to be of diesel motor rail cars. No estimate of the rate of production is possible, nor is confirmation that production has in fact begun available.

5. Production Capacity.

Czechoslovakia has a capacity for the production of some 18,000 freight cars per year. 216/ Production estimates from 1946 through 1950 indicate that production has not been at capacity. One

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explanation for this situation is that, although the various plants have machine tools and capital equipment of other types, raw materials and labor may not be available to bring production up to capacity. Another explanation is that, since Czechoslovakia is a large producer of armaments which would have top priority in Soviet planning, it is possible that the production of freight cars has had to take second place and that capacity production will not take place until raw materials and/or labor are available over and above what is required by the armaments industry.

The Russians have been and are obtaining from the European Satellites so much of their freight car production that the European Satellites are hard-pressed to meet their own needs. It is interesting to note that this situation apparently does not apply to Czechoslovakia.

E. Rumania.

1. Administrative Organization.

Since the end of World War II, Rumania has been reorganizing its economic administration along Soviet lines, resulting in a constantly increasing government domination of industry. Rumania had 1-year plans in 1949 and 1950 and is presently in its First Five Year Plan (1951-55). The industrial establishments engaged in producing locomotives and rolling stock are all nationalized and operate under the directives of the present Plan.

2. Production Estimates.	
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	50)(4
	50X1 50X1
Two apparently independent	50X1
estimates of yearly production, however, are available. 217/ They	
are close to one another	50X1
They have been ac-	50X1
cepted as the best estimates available and are given in Table 30.	

* Table 30 follows on p. 142.

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Table 30

Estimated Production of Locomotives and Rolling Stock in Rumania 1948-52, 1955 Plan

						Units
	1948	<u>1949</u>	1950_	<u>1951</u>	<u>1952</u>	1955 Plan
Steam Locomotives Freight Cars Diesel Cars	110 N.A. 4	117 N.A. N.A.	122 3,000 12	124 3,600 24	130 N.A. N.A.	N.A. 5,200 N.A.

Passenger cars are produced at the Flamura Rosie Railroad Car Plant in Arad, but no estimates of total production are possible. It is believed, however, that passenger car production in Rumania is small.

3. Inventory Estimates.

The best possible estimate of the Rumanian inventory of locomotives and rolling stock covering the years 1938 and 1946 through 1952, <u>218</u>/ is given in Table 31.* There are, however, several gaps and inconsistencies in the information as presented here.

4. Plant Information.

there are four main producers of locomotives 50X1 and/or rolling stock in Rumania plus several smaller installations which may produce some items of rolling stock. The available information is summarized below. 219/

* Table 31 follows on p. 143.

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Table 31

Estimated Inventory of Locomotives and Rolling Stock in Rumania 1938, 1946-52, 1955 Plan

	1938	1946	1947	1948	1949	1950		1952	1955 Plan
Steam Locomotives		*				-			
Serviceable Unserviceable	1,986 1,434	1,947 1,048	2,047 940	1,987 1,086	N.A. N.A.	2,465 435	N.A. N.A.	N.A. N.A.	N.A. N.A.
Total	<u>3,420</u>	2,995	2 ,9 87	<u>3,073</u>	N.A.	2,900	N.A.	N.A.	N.A.
Freight Cars			ł						
Serviceable Unserviceable	55,595 7,492	59,924 16,901	31,651 10,214	35,235 8,265	36,125 6,375	41,400 4,600	N.A. N.A.	N.A. N.A.	N.A. N.A.
Total	63,087	<u>76,825</u> a/	41,865	43,500	42,500	46,000	<u>47,000</u> b/	48,000	<u>51,000</u> b/
Passenger Cars									
Serviceable Unserviceable	2,997 478	N.A. N.A.	2,187 746	N.A. N.A.	N.A. N.A.		N.A. N.A.	N.A. N.A.	N.A. N.A.
Total	<u>3,475</u>	<u>3,071</u>	<u>2,933</u>	<u>3,652</u>	<u>N.A.</u>	<u>3,500</u>	<u>N.A.</u>	<u>N.A.</u>	N.A.

a. Includes foreign-owned freight cars.

b. 10,000 freight cars to be added by 1955, of which 50 percent are assumed to be for retirement replacements and the remainder at a rate of 1,000 cars per year. $\frac{220}{}$



a. Recita.

Proper Name: Sovrommetal Steel Plant. Former Names: UDR Steel Plant. Metaltras Metallurgical Plant.

Production: 1948: 76 steam locomotives, 56 of which went to the USSR.

1949: 98 steam locomotives, 79 of which went to the USSR.

1950-52: Production has probably increased during these years to approximately 125 locomotives in 1952. Probably 80 percent or more went to the USSR.

b. Bucharest.

Proper Name: 23d August Steel Plant. Former Names: Malaxa Steel Plant. Republica Steel Plant.

Production: 1948:

10 steam locomotives, type 150.000
(see Fig. 32*), for the Rumanian
State Railways.
24 steam locomotives for the USSR.

559 50-ton 4-axle boxcars for the USSR.

4 diesel motor rail cars for the Rumanian State Railways.

1949: 10 locomotives, type 150.000, for the Rumanian State Railways.

> 9 locomotives for the USSR.48 narrow-gage steam locomotives, destination unknown.300 2-axle freight cars for the Rumanian State Railways.

* Following p. 144.

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FIGURE 32. POSTWAR STEAM LOCOMOTIVE, TYPE 150.000, PRODUCED BY THE 23D AUGUST STEEL PLANT AT BUCHAREST.

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b. Bucharest (Continued).

Production: 1949:

100 4-axle freight cars for the USSR.

Unknown number of diesel motor rail cars.

1950**:**

12 steam locomotives, type 150.000, for the Rumanian State Railways.
12 steam locomotives for the USSR.
800 50-ton 4-axle freight cars for the USSR.

12 diesel motor rail cars for the Rumanian State Railways.

1951:

3 steam locomotives, type 150.000, for the Rumanian State Railways.
1 locomotive for the USSR.*
1,200 4-axle freight cars for the USSR. This is a Plan figure.
The actual production was probably about 720 cars.
24 diesel motor rail cars for the Rumanian State Railways.

1952:

Continued production of freight cars for the USSR. Planned production was 100 per month, but actual production was probably nearer 60 per month, as in 1951. This 1951-52 production is part of a Soviet order for 2,000 such cars. When this order is complete (about 1954), production is to convert to 2-axle units for the Rumanian State Railways.

There is no information available on the production of diesel motor rail cars in 1952.

* Steam locomotive production ceased at this plant in early 1951.

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c. Brasov.

Proper Name: Steagul Rosu Railroad Car Plant. Former Name: Astra Vagone Railroad Car Plant.

Production: 1945-47: 731 4-axle tank cars for the USSR. 486 4-axle freight cars for. the USSR.

- 1949-51: Continued production of tank and freight cars for the USSR. Production was predominantly tank cars. In February 1951 an order for 2,000 additional tank cars was reportedly received from the Russians.
- 1952: Tank cars at the rate of 3 per day for the USSR plus some 50-ton boxcars for the USSR.

d. Arad.

Proper Name: Flamura Rosie Railroad Car Plant. Former Name: Astra Vagone Railroad Car Plant.

Production: 1945-49: 2,500 4-axle boxcars for the USSR.

- 1950: Capacity stated to be 70 freight cars or 26 passenger cars per month. Actual 1950 production rate estimated at 45 to 50 freight cars or 16 to 18 passenger cars per month (see Figs. 33 and 34*).
- 1951: No information.

1952: Reported as producing tank cars for the USSR.

* Following p. 146.

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FIGURE 33. Postwar First-Class Passenger Car, Probably Produced by the Flamura Rosie Railroad Car Plant at Arad.





FIGURE 34. POSTWAR THIRD-CLASS PASSENGER CAR, PROBABLY PRODUCED BY THE FLAMURA ROSIE RAILROAD CAR PLANT AT ARAD.

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e. Craiova.

Descriptive Name: Railroad Car Plant.

Production: There is no evidence of railroad car 50X1 production at this plant. 50X1

This plant may have been confused, however, with the Electroputere Electrical Equipment Plant located in the same city, which conceivably could construct such units

f. Constanta.

Proper	Name :	Pallas Railroad Car Plant.
Former	Name:	Astra Railroad Car Plant.

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g. Braila.

Proper	Name :	Progressul Railroad Equipment
Former	Names:	Corporation. Regia Metallurgical Plant. Franco Romana Railroad Equipment Plant.

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5. Additional Information of Intelligence Value.

Although a large percentage of the production of steam locomotives and rolling stock in Rumania is destined for the USSR, Rumania seems to be allowed by the Russians to retain enough to keep its operating inventory at a level commensurate with the demands

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of industry as a whole. The only indication of the importation of foreign equipment is the fact that several 1949-model Swiss diesel locomotives have been observed in operation in Rumania. $\frac{221}{}$ The number of such units is not known, but it is probably small. No indications of any present or future plans for the importation of foreign equipment have been found.

F. Bulgaria.

1. Administrative Organization.

The economic policy of Bulgaria, like that of the USSR and the other European Satellites, receives its formal expression in periodic economic plans. The current Plan covers the years 1949-53. 222/

2. Production Estimates.

The production of locomotives and rolling stock in Bulgaria is negligible. The first locomotive (steam) ever produced in Bulgaria was completed about September 1948, the second was completed in September 1949, and the third was reportedly to be completed almost 2 years later, in August 1951. No evidence of locomotive production after that date is available.

The Plan calls for the production of 1,300 freight cars in 1953, but since production is limited by the capacity of 2 small shops and, more important, by the importation of component parts, it is not believed that actual production will even approach the Plan figure.

The first sleeping car to be produced in Bulgaria was completed in May 1951. The level of passenger car production, however, is probably even lower than that of freight cars.

3. .Inventory Estimates.

In 1948 the Bulgarian inventory consisted of 568 steam locomotives, 11,000 freight cars, and 475 passenger cars. By the end of 1953 the Plan calls for increases in the inventory to 655 steam locomotives, 14,250 freight cars, and 595 passenger cars. <u>223</u>/

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4. Plant Information.

only two plants in Bulgaria engaged in the production of locomotives and rolling stock. $\underline{224}$ / Information on these plants is summarized below.

a. Sofia.

Proper Name: Georgi Dimitrov Locomotive and Railroad Car Plant.

Production: 1948: First steam locomotive in September.

- 1949: Second steam locomotive in September.
- 1950: No production.
 - 1951: Third steam locomotive was to have been completed in August.

Freight car production at this plant has been reported to be at the rate of about one car per year.

b. Dryanovo.

Proper Name: Andrei Zhdanov Railroad Car Plant.

Production:

the first sleeping car produced in Bulgaria was manufactured at this plant and was finished in May 1951. There is probably some production of freight cars at this plant, largely from imported components, but no estimate can be made of the rate of production.

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5. <u>Imports</u>.

As can be noted from the information presented above, Bulgaria is almost wholly dependent on imports for additions to its inventory of locomotives and rolling stock. These are procured almost entirely from the European Satellites. <u>225</u>/ Total imports during the present Plan are planned to amount to about 87 locomotives, 3,250 freight cars, and 120 passenger cars. <u>226</u>/ the receipt of six locomotives from Austria in May 1952 <u>227</u>/ and a trade agreement calling for imports of locomotives and rolling stock from Poland in 1952 and 1953 <u>228</u>/ and Ganz diesel train sets from Hungary in 1952 and later. <u>229</u>/

G. Albania.

Albania produces no locomotives or rolling stock and is entirely dependent on imports from either the European Satellites or other countries for its railroad equipment. The Albanian railroad equipment inventory in 1950 consisted of 65 freight cars, 63 passenger cars, and 7 locomotives. Only four of the locomotives were in serviceable condition, and none of the equipment was in good condition. 230/

In 1949 and 1950,				Poland exported
to Albania 3 locomotives,		cars,	and 1	+3 freight cars
(of which ll were tank car	rs). 231/			

III. Capabilities, Vulnerabilities, and Intentions.

A. Capabilities.

The capabilities of the European Satellites are to a large extent determined by the direction of the USSR. Since the Communist political organization is such that Moscow directs the activities of all of its Satellites, it is the desire of the Russians which ultimately affects the production of the Satellites.

The European Satellites are capable of producing almost any type of railroad equipment desired by either the Russians or themselves for internal use or for export to Western countries. Hospital cars, heavy-duty flatcars, refrigerator cars, and electric locomotives as produced by East Germany; diesel train sets as produced by Hungary; and the generally high quality of all of the equipment produced by the Satellites attest to this fact.

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A summation of the production capacities of each of the European Satellites shows that 1952 planned production was in the neighborhood of 1,200 locomotives (including some 65 electric units), some 53,000 freight cars,* and about 2,000 passenger cars.** Shortages of materials and Soviet demands for some specialized units may well reduce this total. Provided with sufficient raw material, either by the Russians or through trade with the West, the present production capacity of the European Satellites is probably close to the aforementioned totals. A large part of the production capacity of these countries is devoted to the manufacture of units for export to the USSR. Until more specific information on each plant can be obtained and analyzed, the best estimate of the percentage of production which goes directly to the USSR either as reparations or as commercial exports is something over 50 percent. In addition, a small percentage of Satellite production is exported from the Soviet Bloc to such countries as Egypt, Argentina, and Turkey. This is probably done not because the Bloc can particularly afford such exports but because it needs hard currency for purchases from the West.

The USSR appears to be allowing the European Satellites to retain only enough of their production to maintain their operating inventories at bare minimum levels. Thus the present status of the Satellite production capabilities in the field of locomotives and rolling stock is one of supplying the USSR with its demands, exporting a few pieces for hard currency, and maintaining internal inventories at the lowest possible level of adequacy. The total inventory of the European Satellites at the end of 1952 is estimated at some 20,000 locomotives, 475,000 freight cars, and 33,000 passenger cars, including unserviceable

* This total is a combination of 4-axle and 2-axle units. It is not yet possible to break down the product mix into specific items of production, so that the figure of 53,000 must be taken with the reservation that it may vary considerably when sufficient information becomes available to allow a detailed breakdown of types.

** Separate figures on passenger car production are not available for Czechoslovakia and Rumania. It is probable that the freight car production figures include some passenger car production, since freight car estimates as stated above include passenger car production in these two countries.



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units. In the absence of reliable information it is assumed that about 20 percent of the total inventory is unfit for service at any given time.

Should the Russians so direct, conversion of any or all of the locomotive and rolling stock plants of the European Satellites to the production of armaments is entirely feasible, and such conversion would of course directly affect the capabilities of these countries to produce railroad equipment.*

B. Vulnerabilities.

The discussion of Soviet vulnerabilities found in Part I, X, 2, above, applies also to the European Satellites with the following supplemental, definitive additions and differences.

Since the inventories of the European Satellites are in generally poorer shape than the inventory of the USSR, they are consequently more vulnerable to any form of attack. By the same token, such attack would reduce the economic and military potential of the European Satellites by disrupting their transportation services. Should a general war develop in which the Russians were depending on significant contributions from the European Satellites, then a general attack on the Satellite operating inventories of locomotives and rolling stock would have a direct effect in reducing their contributions to the Soviet military efforts.

In addition, there appears to be a rather general shortage of steel and other raw materials in the European Satellites. Should this shortage be intensified by any means, production of railroad equipment would suffer. Some attempts to procure steel from the West have been noted, and the refusal of steel and similar materials to the Satellites would make them more vulnerable to at least a reduction in production capacity.

C. Intentions.

The primary determinant of the intentions of the European Satellites is the desire of the USSR. The production of hospital . cars and special heavy-duty flatcars by East Germany is an example.

* For further reference to such conversion, see C, below.

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Such production was not designed to carry out the autonomous policies of the East German authorities but was made necessary by the demands of the USSR. Should the USSR so desire, the production capacity of the European Satellites could be altered in either of two ways.

First, production of military end items could be undertaken at converted Satellite plants to increase the military potential of either the USSR or the Soviet Bloc as a whole. Such conversion would lower the capacity of these countries for the production of railroad equipment in proportion to the degree of conversion.

More likely is the intensification of production through increased production of locomotives and rolling stock for the USSR, thus releasing production facilities in the USSR for conversion to production of military end items. It is believed that such action as this is more probable, since, with the more stringent security in the USSR, such conversion would be less readily observed in the USSR than in the Satellites.

Thus it is possible that the intentions and actions of the European Satellites with regard to the production of locomotives and rolling stock may well be a barometer of Soviet military intentions. At the present time the Satellite program seems to be one of assisting, by Soviet request, in building up the Soviet rail transportation service and in maintaining their own inventories at the best level permitted under Soviet control. There are no present indications of an intent to change this program.

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APPENDIX A

TECHNOLOGICAL SPECIFICATIONS OF SOVIET LOCOMOTIVES AND ROLLING STOCK

1. Soviet Locomotives and Rolling Stock.



2. Soviet Steam-Diesel Locomotive.

The following details of the so-called steam-diesel locomotive, known as the "Teploparavos," are included here as evidence that the Russians are capable of original design and construction in the field of locomotive technology. The practicality of the unit as designed and built by the Voroshilovgrad Locomotive Works is subject to question, particularly since no evidence of mass production of this type of unit has been found, but the fact that it was actually constructed and tested shows no little skill on the part of the USSR.

The following description of the design and operation of the unit is translated

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"One of the advantages of the piston steam-engine is its ability to develop a high torque, starting from zero velocity -- that is, when the locomotive commences to get under way and accelerate. On the other hand, this engine also possesses a serious fault, its low thermal efficiency, which amounts to about 13 to 14 percent. For its part, the internal-combustion engine has a substantial advantage in economy of operation, but because of the specific features of its construction, it cannot take on a load before reaching a certain number

* Table 32 follows on p. 159; Table 35, on p. 195; Table 36, on p. 197; Table 37, on p. 199.
** Table 33 follows on p. 173; Table 34, on p. 191.

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of revolutions. In other words, it is unable by itself to start from rest and accelerate. Thence the logical conclusion is to unite or combine in a single engine the valuable properties of both, so that the locomotive can start from rest at any moment and develop the speed of an ordinary locomotive while operating like a Diesel-locomotive at medium and high speeds. Such a locomotive is the steamdiesel locomotive.

"The motor-steam engine of the locomotive consists of 2 cylinders, l on each side, located in the center of the frame. The cylinders are designed in the form of a separate block straddling the frame. The cylinder diameter is 430 millimeters, and the piston stroke 770 millimeters. Two opposing pistons operate in each cylinder, thus forming three spaces: the middle one, between the pistons, which is termed the Diesel part, and two outer spaces, between the pistons and the front and back covers, respectively, which are termed the steam part.

"When the locomotive starts to move, steam appears in all three spaces of each cylinder. When a speed of 12 to 15 kilometers per hour has been reached, the admission of steam into the diesel spaces is cut off, and liquid fuel is injected into them by a pump with an Arshaulov gas-plunger. The middle spaces then operate as a two-cycle internalcombustion engine as blast-air commences to be delivered to them by a special turbine air-blower.

"The piston bosses of the diesel portion of the cylinders are cooled by water circulating around the walls in a closed cycle, and the heat of this water is used on the tender to heat the boiler feedwater.

"The boiler is analogous to that in the Su locomotive and differs from the latter only in its higher pressure (20 atmospheres) and use of a radial firebox instead of one with a flat crown.

"The torque is transmitted to the wheel pairs by two gearshafts located respectively in front of the pairs and behind them. The existence of opposing pistons made it necessary to place the pins of the connecting rod and the coupling rod on the front gear shaft at an angle of 180 degrees, thereby assuring the proper connection between the front and back gear shafts. The right and left cranks on each gear shaft are mutually displaced by 90 degrees. The heads of the coupling and piston connecting rods on the back gear shaft are placed on the same axis and are therefore counterbalanced.

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"The wheel-pairs, coupling rods, and frame assembly are the same as those on the JS-class locomotives.

"All of this taken as a whole made it possible to bring the rated power of the locomotive, with a wheel formula of 1-4-1, up to 3,000 horsepower, and its speed up to 130 kilometers per hour.

"The motor-steam locomotive received its preliminary tests in 1940 on the test track of the All-Union Rail Transport Research Institute and then had its operating tests in 1941-42 on various lines and main routes of the Soviet railways. It was subsequently put into **trial** operation.

"According to the data of the operating tests, its efficiency was 11.4 percent, and the length of a run without taking on water was 350 kilometers. It was also established that with a wheel diameter of 1,850 millimeters the internal-combustion engine picked up the load at a speed as low as 12 kilometers per hour, while acceleration to a speed at which that engine could be placed in service took place in only 100 to 250 meters of travel.

"In 1943, substantial improvements were made in the design of the motor-steam locomotive, allowing operation on a so-called mixed cycle. This consists essentially in the introduction of a predetermined amount of steam, by means of special equipment, into the middle space of the cylinder while it is running on the diesel cycle. In this way the mean indicated pressure could be raised to 9.3 kilograms per square centimeter, or, in other words, the power of the locomotive was considerably increased.

"The consumption of fuel per unit (10,000 ton-kilometers), according to the average monthly data, is 50 to 60 percent of that consumed by a JS-class locomotive of equivalent power. The locomotive's train speed reached 130 kilometers per hour. Working the diesel cycle, the locomotive developed its peak power of 3,000 norsepower at 78 to 80 kilometers per hour.

"But the Mayzel steam-diesel locomotive, like any other new machine, is not free of certain more or less substantial faults of design, which do not permit putting it into regular service. Our best designers are energetically working with the designer of the locomotive to eliminate these defects.

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"At the present time (1949) the Voroshilovgrad plant is completing the construction of an analogous locomotive of type 1-5-1 of 3,200 horsepower intended for freight train service. All the faults of design revealed by the trial operation of the passenger motor-steam locomotive have been taken into account.

"This motor-steam locomotive was designed to work on a mixed steam-gas cycle, which afforded still more opportunity for simplifying the engine and improving its tractive-thermal characteristics. In contrast to the passenger locomotive, the freight steam-diesel locomotive has a 4-cylinder engine with two opposing pistons in each cylinder. The pistons are connected to the gear shaft and drivers by means of connecting rods and driving connecting rods. The coupling rods that join the wheels and the gear shaft act at the same time as piston-synchronizers.

"The engine operates as follows. As the pistons separate, the space between them is filled by blast air, which is compressed as the pistons again approach each other. As the pistons arrive at dead center, fuel is injected into the chamber by the fuel pump. This fuel ignites, producing pressure in the cylinder, and the pistons again begin to separate. At the moment when the pressure in the cylinder becomes equal to that in the boiler, steam is automatically admitted into the chamber by a valve gear, following the line of gas expansion, with the cut-off, set by the reverse, regulating the amount of such steam. The steam mixes with the gases, is superheated to 600 to 700 degrees centigrade and by acting on the pistons jointly with the gases brings them to the extreme dead centers, after which the exhaust occurs.

"With these peculiarities of design a motor-steam locomotive is able to develop more power than a steam locomotive with equivalent boiler, since the work of the steam is supplemented by that of the gas, while the reduction in the dimensions of the boiler, in turn, makes it possible to do without a mechanical stoker and extended combustion chamber, thus reducing the amount of metal that must be used in the locomotive per unit of power, etc. All these factors, taken as a whole, should reduce the operating costs of maintenance and care for the locomotive. This locomotive will shortly leave the plant for its trial operation."

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Table 32

Technological Specifications of Soviet Steam Locomotives $\underline{a}/*$

Class	<u></u>				Basic Da	ata			
01455					Dubic De			 R	
Transliteration	Russian Symbol	Type b/ (Axle Arrangement)	Year Built	. Highest Speed	Weight on Drivers	Fire Grate Area	Type of Engine c/	Nature of Steam d/	Diameter of Driving Wheels
в	Б	2-3-0	1908	120	47.0	2.80	2 p	Sup	1,830
Gp Yef, Yek, Yes Yel Z	Гп Еф,Ек,Ес Ел З	2-3-0 1-5-0 1-5-0 2-3-0	1901 1915 1917 1906	110 75 75 100	50.2 77.6 80.3 44.8	2.80 6.00 6.00 2.34	5 b 5 b 5 b 5 b	Sup Sup Sup Sup	1,750 1,320 1,320 1,700
I (or J)	N	1-4-0	1909	80	55.6	3.03	2 p	Sup	1,500
IS (or JS) K	ИС К	1-4-2 2-3-0	1932 1910	130 115	82.0 45.4	7.04 2.76	2 p	Sup	1,850
Ku	Ky	2-3-0	1910	119	49.4	2.70 3.14	2 p 2 p.	Sup Sup	1,700 1,900
Lp	Лп	2-3-1	1915	140	51.9	4.65	4 p	Sup	1,840
Mr Nv	Мр Нв	2-4-0 1-3-0	1927 1903	120 120	69.5 45.0	6.00 2.22	2 p 2 k	Sup Sat	1,720
Nv	Нв	, 1 - 3-0	1904	115	45.5	2.22	2 k	Sat	1,900 1,700
Nu	Hy	1-3-0	1911	120	47.9	2.60	2 k	Sat	1,900
Nu Np	Hỹ Hn	1-3-0 1-3-0	1911 1911	115 115	45.0 44.0	2.60 2.22	2 k 2 p	Sat	1,700
00	00	0-4-0	· 1910	50	50.0	1.85	2 p 2 p	Sup Sat	1,700 1,150
Ođ	Од	0-4-0	1897	50	52.5	1.85	2 k	Sat	1,200
Ov Op	0в Оп	0-4-0 0-4-0	1901 1933	55 60	52.5 52.4	1.85 1.85	2 k 2 p	Sat Sup	1,200
Och	Оч	0-4-0	1926	55	52.5	1.85	2 k	Sup	1,200 [.] 1,200
S	c	1-3-1	1911	115	47.2	3.80	2 p	Sup	1,830
Su SO	Cy CO	1-3-1 1-5-0	1925 102b	130.	53.9	4.73	2 p	Sup	1,850
SOk	COR	1-5-0	1934 1936	75 75	87.0 94.0	6.00 6.00	2 p 2 p	Sup Sup	1,320 1,320
F .	_Φ	1-5-0	1916	80	87.6	5.10	4 k	Sup	1,450
FD	ФД И	1-5-1	1931	85	103.0	7.04	2 p	Sup	1,500
Chn Sh	Чн Ш	0-4-0 1-4-0	1893 1901	50 65	50.0 62.3	1.91 2.80	2 k 2 k	Sat	1,220
Shch	Щ	1-4-0	1901	75	64.2	2.80	2 k	Sat Sat	1,300 1,300
Shchp	Шп	1-4-0	1910	75	64.3	2.80	2 p	Sup	1,300
Shchch Y	Щч घ	1-4-0 0-4-0	1918 1910 -	75 65	64.7 59.5	2.80 2.55	2 k 2 k	Sup Sat	1,300
Ych	Ыч	0-4-0	1912	65	60.9	2.55	2 k	Sup	1,200 1,200
E	ູ່ອ	0-5-0	1913	65	80.5	4.46	2 p	Sup	1,320
E, Eg, Esh Eu	Э,Эг,Эш Эт	0-5-0	1914	65	81.2	4.46	2 p	Sup	1,320
Em	Эу Эм	0-5-0 0-5-0	1926 1931	65 65	83.0 82.0	4.46 4.46	2 p 2 p	Sup Sup	1,320 1,320
Er	ðp	0-5-0	1934	65	83.0	5.09	2 p 2 p	Sup	1,320
Vs e/	VC Fa Fu	0-4-0	1914	65	64.2	3.32	2 p	Sup	1,300
Ea, Em Sha	Еа,Ем Ша	1-5-0 1-4-0	1944 1944	75 65	88.7 64.0	6.00 3.80	2 p 2 p	Sup Sup	1,320 1,448
L	Л	1-5-0	1945	80	91.0	6.00	2 p	Sup	1,500
50	50	- 0-5-0	1911	60	74.4	2.63	2 p	Sup	1,400
52 56	52 56	1-5-0. 1-4-0	1942	80 65	75.0 68.0	3.90	2 p	Sup	1,400
57	57	1-4-0 0-5-0	1920 1911	60	60.0 71.5	3.40 2.62	2 p 2 p	Sup Sup	1,400 1,400
140	140	1-4-0	1918	50	57.3	3.87	2 p	Sup	1,309
Tu-23	Ty-23	1-5-0	1923	60	85.0	4.50	2 p	Sup	1,450
Pt OK-22	Пт 0К-22	1-4-1 2-3-0	1931	100 100	73.0	4.50	2 p	Sup	1,850
ON-LE		2-3-0	1922	TOO	51.0	4.00	2 p	Sup	1,750

* Footnotes for Table 32 follow on p. 171,

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Table 32

Technological Specifications of Soviet Steam Locomotives <u>a</u>/ (Continued)

<u>, , , , , , , , , , , , , , , , , </u>	•				Bó	iler			
Class	3	Heat	ing Surf	ace Are					
Transliteration	Russian Symbol	Boiler Pressure	Firebox	Total	Superheater	Length	Width	Boiler Diameter (Average)	Length over Tube Sheets
B Gp Yef, Yek, Yes Yel Z I (or J) IS (or JS) K Ku Lp Mr Nv Nv Nv Nv Nu Nu Ny Oo Od Ov Op Och S SU SO SOK F FD Chn Shch	В Гп Еф, Ек, Ес Ел З И ИС Н Ку Лп Мр Нв Нв Ну Ну Ну Ну Нр Нв Нв Ну Ну Ну О О О С С С У С О С О С О С О С О С О С	$\begin{array}{c} 13.0\\ 12.0\\ 12.7\\ 12.7\\ 12.0\\ 12.0\\ 12.0\\ 12.0\\ 13.0\\ 12.0\\ 13.0\\ 12.0\\ 13.0\\ 12.0\\ 13.0\\ 12.0\\ 13.0\\ 14.0\\ 13.0\\ 14.0\\ 14.0\\ 14.0\\ 12.0\\ 14.0\\ 14.0\\ 12.0\\ 14.0\\$	$15.2 \\ 15.6 \\ 18.0 \\ 21.1 \\ 12.6 \\ 12.8 \\ 31.2 \\ 13.6 \\ 15.4 \\ 17.6 \\ 18.5 \\ 12.6 \\ 14.2 \\ 13.9 \\ 10.7 \\ 10.7 \\ 10.7 \\ 10.7 \\ 10.7 \\ 10.7 \\ 15.4 \\ 18.5 \\ 24.6 \\ 19.9 \\ 15.2 \\ 15.2 \\ 15.2 \\ 15.2 \\ 11.8 \\ 11 \\ 18.1 \\ 18.1 \\ 18.1 \\ 18.1 \\ 18.1 \\ 18.1 \\ 18.1 \\ 18.1 \\ 18.5 \\ 12.6 \\ 22.5 \\ 15.2 \\ 15$	$\begin{array}{c} 164\\ 169\\ 242\\ 147\\ 163\\ 295\\ 164\\ 181\\ 270\\ 260\\ 152\\ 157\\ 127\\ 153\\ 153\\ 127\\ 127\\ 197\\ 230\\ 262\\ 295\\ 166\\ 206\\ 169\\ 177\\ 207\\ 198\\ 203\\ 179\\ 205\\ 222\\ 144\\ 198\\ 203\\ 179\\ 265\\ 222\\ 144\\ 167\\ 146\\ 224\\ 147\\ 168\\ 224\\ 147\\ 168\\ 224\\ 147\\ 168\\ 224\\ 147\\ 146\\ 138\\ 224\\ 147\\ 146\\ 138\\ 224\\ 147\\ 146\\ 138\\ 224\\ 147\\ 146\\ 148\\ 224\\ 146\\ 148\\ 148\\ 148\\ 148\\ 148\\ 148\\ 148\\ 148$	$\begin{array}{c} 41.0\\ 47.5\\ 61.3\\ 66.9\\ 31.0\\ 40.4\\ 148.4\\ 40.0\\ 47.4\\ 85.5\\ 87.7\\ 38.9\\ 41.1\\ 29.2\\ 51.5\\ 72.0\\ 60.8\\ 148.4\\ 40.8\\ 59.0\\ 43.0\\ 73.6\\ 66.4\\ 13.0\\ 66.4\\ 13.5\\ 26.6\\ 53.1\\ 13.5\\ 26.6\\ 53.1\\ 53.0\\ 73.5\\ 53.$	2,744 2,750 2,750 2,284 2,196 3,200 2,689 2,500 2,689 2,500 2,689 2,500 1,790 1,790 1,790 1,790 1,790 1,790 1,790 1,790 1,790 1,790 1,790 2,362 3,050 3,826 2,744 2,748	1,016 1,020 2,180 1,030 1,444 2,200 1,152 1,184 1,860 1,988 990 968 968 1,024 1,026 1,027 1,627	1,543 1,600 1,769 1,769 1,588 1,960 1,588 1,816 1,833 1,425 1,425 1,425 1,425 1,425 1,425 1,425 1,455 1,584 1,970 1,602 1,602 1,703 1,703 1,703 1,703 1,754 1,906	4,420 4,375 5,143 5,150 4,660 5,970 4,660 5,350 4,500 4,500 4,500 4,500 4,660 5,970 4,965 4,375 5,150 4,660 5,970 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 5,150 4,660 4,660 5,150 4,660 4,660 5,150 5,150 4,660 5,150 4,660 5,150 5,150 5,150 4,660 5,150
Pt 0K-22	Пт 0К-22	12.0 12.0		237	90.2 61.6				

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Table 32

Technological Specifications of Soviet Steam Locomotives $\underline{a}/$ (Continued)

					E	ngin	e `			
Class		c	ylinder				Val Diam	ve eter		
Transliteration	Russian Symbol	Simple Engine Number and Diameter	Compound Engine Number and Diameter: High Pressure	Compound Engine Number and Diameter: Low Pressure	Piston Stroke	Type of Valve Gear $f/ $	High Pressure g	Low Pressure g/	Length of Main Rod	Cylinder Pitch
B Gp Yef, Yek, Yes Yel Z I (or J) IS (or JS) K Ku Lp Mr Nv Nv Nv Nv Nv Nv Nv Nu Nu Nu Nu Nu Nu Nu Nu Nu Nu	Б Гл Еф.Ек,Ес Ел З И ИСС К Нул Мрв внууно ИСС К И И Лир На На И	$\begin{array}{c} 2 \times 550 \\ 2 \times 560 \\ 2 \times 635 \\ 2 \times 575 \\ 2 \times 570 \\ 2 \times 550 \\ 2 \times 650 \\$	l x 500 l x 510 l x 510 l x 520 l x 520	l x 730 l x 730 l x 750 l x 750 l x 730 l x 730 l x 730 l x 730 l x 765 l x 765 l x 765 l x 765 l x 770 l x 790	$\begin{array}{c} 700\\ 711\\ 711\\ 650\\ 650\\ 650\\ 650\\ 650\\ 650\\ 650\\ 650$	B B B B B B B B	250 250 305 305 250 250 250 250 250 250 250 250 250 2	Flat Flat Flat Flat Flat Flat Flat Flat	2,275 2,275 2,794 2,794 3,300 3,280 3,280 3,050/1,800 2,210 2,210 2,210 2,210 2,210 2,210 2,210 2,210 2,210 2,210 2,520 2,730	0 1/30, 0 0 0 0 0 0 0 0 0 0 0 0 0

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Table 32

Technological Specifications of Soviet Steam Locomotives <u>a</u>/ (Continued)

Clas	s	Foundation and Running Gear Dimensions										
Transliteration	Russian Symbol	Diameter of Driving Wheel Centers	Diameter of Trailing Wheels	Front Truck h	Spring Rigging	Frame Thickness	Wheel Base	Over-All Length	Maximum Width	Maximum Height	Height to Boiler Center Line	
B Gp Yef, Yek, Yes Yel Z I (or J) IS (or JS) K Ku Lp Mr Nv Nv Nv Nv Nv Nv Nu Nu Nu Nu Nu Nu Nu Nu Nu Nu Nu Nu Nu	Б Гл к, Ес Ел, Ек, Ес Ел, Ек, Ес В Л ИСК Куллон Вв ИСК Куллон Вв ИСК Куллон Вв ИСК Куллон Вв ИСК Куллон Вв ИСК Куллон Вв ИСК Сусско Соссо Ост Соссо Ост И П И П И П И П И П И П И П И П И П И П	6 1,700 1,600 1,170 1,770 1,770 1,770 1,770 1,770 1,770 1,770 1,770 1,770 1,770 1,770 1,770 1,766 1,566 1,570 1,070 1,070 1,070 1,170 1,350 1,090 1,170 1,170 1,170 1,170 1,170	ft 1,030 950 762 838 1,030 900 900 900 900 930 930 930	BO BB KK BO BBBBB TKBBFB BBBBB	函 。 3 4 3 3 3 7 3 3 7 3 3 3 3 3 3 3 4 4 4 4	iii 32 32 32 33	ši 9,090 9,265 8,482 7,800 12,605 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,930 7,360 3,890 8,430 8,430 10,300 8,430 12,370 3,890 3,890 3,890 8,430 12,370 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890 3,890<	11,526 11,574 12,665 12,344 10,863 16,365 10,665 11,060 14,000 9,975 9,975 10,365 10,665 10,665 9,672 9,672 9,672 9,672 9,672 9,672 12,2424 13,088 13,088 13,088 12,974 9,731 11,750 11,750 11,770 9,8740 9,8740 9,8740 9,754 12,974 9,751 11,750 11,750 11,456 11,456 11,526 11,526 12,344 12,655 12,344 13,088 13,088 12,974 9,751 12,974 9,754 9,754 12,974 12,974 12,	È 3,100 2,960 3,310 3,310 3,100 3,350 3,100 3,350 3,100 3,350 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,000 3,090 3,090 3,090 3,090 3,090 3,090 3,090 3,090 3,090 3,090 3,090 3,100 3,100 3,090 3,090 3,090 3,090 3,100 3,100 3,090 3,090 3,100 3,100 3,090 3,090 3,100 3,100 3,090 3,090 3,100 3,100 3,090 3,100 3,100 3,090 3,100 3,100 3,090 3,100 3,100 3,100 3,090 3,100 3,100 3,100 3,090 3,100 3,100 3,090 3,100 3,100 3,100 3,090 3,100 3,100 3,100 3,090 3,100 3,100 3,100 3,090 3,100 3,100 3,100 3,100 3,090 3,100 3,100 3,100 3,100 3,090 3,100 3,100 3,100 3,100 3,100 3,090 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,090 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,100 3,250 2,975 2,966 3,260 3,100 3,100 3,200	4,683 5,156 5,156 5,156 5,156 5,158 4,825 5,168 4,825 5,168 4,825 5,168 4,825 5,168 4,825 5,168 4,825 5,168 4,825 5,168 4,9990 5,175 2,207 5,2010 5,200 5,20	2,800 2,770 2,927 3,048 2,500 3,120 3,225 3,100 3,225 3,100 2,550 2,550 2,550 2,550 2,550 2,550 2,550 2,550 2,550 2,550 2,550 2,550 2,550 2,090 2,0000	
E, Eg, Esh Eu Em Er Vse/ Ea, Em Sha L 50 52 56 57 140 Tu-23 Pt OK-22	 Э, Эт, Эш Эу Эм Эр Ус Еа, Ем Ша Л 50 52 56 57 140 Ту-23 Пт ОК-22 	1,170 1,170 1,170 1,170 1,170 1,170 1,295 1,050	838 838 900 850 1,000 870 860 1,000 (1,200) 1,000	B B B	4444333	32 32 32 30 127 114 140	5,780 5,780 5,780 5,780 5,780 4,200 8,531 7,087 9,750 6,000 6,000 6,000 9,050 12,220 8,350	11,456 11,456 11,456 11,506 10,085 12,624 10,719 13,757 11,500 10,700 12,550 11,222	3,100 3,100 3,100 3,100 3,100	5,212 5,212 5,212 5,212 5,212 5,212 4,650 5,160 3,924 5,000 4,250 4,620 4,570 4,625	3,100 3,100 3,100 3,100 3,100 2,900 2,900 2,900 2,700 2,700 2,700 2,700 2,700 2,700 2,700 2,700 2,700 2,700 2,700 2,700 2,700 2,700 2,700 3,120 3,120	

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Table 32

Technological Specifications of Soviet Steam Locomotives a/ (Continued)

Clas	Wei	ght Dia	ugram		Proportions				Derivative Value		
Transliteration	Russian Symbols	Average Weight on Driving Axle	Empty Weight	Weight in Working Order	Cylinder Capacity	Combined Heating Surface to Grate Area	Superheating Surface to Evaporating Surface	Weight on Drivers to Over-All Weight	Tractive Force (kg)	Driving Wheel rpm at 10 km/hr	Average Piston Stroke in Meters per Second at 10 km/hr
B Gp Yef, Yek, Yes Yel Z I (or J) IS (or JS) K Ku Lp Mr Nv Nv Nv Nv Nv Nu Nu Np Oo Od Ov Op Och S Su SO SOK F FD Chn Sh Shch Shch Shchp Shchch Y Ych E E, Eg, Esh Eu Em Er Vs e/ Ea, Em Sha L 50 52 56 57 140 Tu-23 Pt OK-22	Б Гп Еф, Ек, Ес Ел З И И С К Ку Лир Нв Ну Ни Ни О О В О О С У С О С С У С О к Ф Д Ч Н Ш Ц Ц Ц Ч Ч Э С У С О к Ф Д Э Ц С К К К У Лир Н В Ну Н В Ну Н В Ну Н В Ну Н В Н У С К К К К С К К К К С К К К К С К К К С К К С К К С К К С К К С К К С К К С С К К С С К С С С С О С О	$\begin{array}{c} 15.7 \\ 17.0 \\ 5.0 \\ 15.$	68 799.0 82 66 6 36 35 55 55 50 46 4 0 5 0 5 9 0 4 8 66 9 0 7 7 7 2 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	$\begin{array}{c} 74.52\\ 75.20.0\\ 88.659.0\\ 0.374.49.9959576.55522555552255556.4\\ 0.3577778.560.22222.2326.5955525555555555555555555555555555555$	2.13 2.13 2.25 2.25 2.13 2.13 2.13 2.13 2.13 2.13 2.13 2.13	· 596 44 636 4 66 65 4 73 76 65 282 8 69 2 5 2 8 8 5 4 97 76 6 3 2 8 6 6 4 4 3 5 3 2 3 3 5 5 5 5 5 5 5 5 5 5 5 5 5 5	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	0.86 0.89 1.00 0.87 0.82 1.00	14,600 26,770 26,770 13,600 26,770 27,100 27,100 22,700 14,300 17,400 22,500 11,900 12,100 13,600 12,500 16,800 11,900 12,500 16,800 16,800 16,800 16,800 16,800 16,800 16,800 16,800 16,800 16,800 16,800 16,800 16,800 16,800 30,900 33,950 30,900 33,900 33,900 33,900 33,900 33,900 21,400 22,400 26,100 27,150 16,500 27,150 16,500 27,150 16,500 27,100 26,100 26,100 27,100 26,200 27,100 26,200 27,100 26,200 27,100 26,200 27,100 26,200 27,100 26,200 27,100 26,200 27,100 27,100 27,100 27,100 20,500 27,100 20,500 27,100 20,500 27,100 20,500 27,100 20,500 27,100 20,500 27,100 20,500 21,400 22,500 20,500 21,400 22,500 20,500 21,400 22,500 20,500 21,400 22,500 20,500 21,400 22,500 20	29.0. 30.64 40.12 33.24 28.79 32.29	0.84

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Table 32 ·

Technological Specifications of Soviet Steam Locomotives a/ (Continued)

Class		Tende	<u>r</u>	Locomotive and Tender				
Transliteration	Russian Symbol	Axles	Water Supply (cu m)	Empty Weight	Wheel Base	Over-All Length	Hared Weight (Tender Two-Thirds Loaded)	
B Gp Yef, Yek, Yes Yel Z I (or J) IS (or JS) K Ku Lp Mr Nv Nv Nv Nv Nu Nu Nu Nu Nu Nu Nu Nu Nu Nu	Б Гл к, Есс Ед, Ек, Есс Э ИСС Н УЛ Пре В В В У ИСС И И У П Пре В В В ИСС И И У П Пре В В В И И И И И И И И И И И И И И И И	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	23 21 27 28 23 4 51 16 23 28 28 4 4 4 6 16 4 4 4 4 23 23 23 23 23 24 4 4 4 11 21 25 15 25 16 16 23 23 23 23 26 28 4 30 16 34 20 16 21	$\begin{array}{c} 25.5\\ 24.3\\ 25.2\\ 25.8\\ 17.0\\ 0.2\\ 27.5\\ 17.2\\ 27.5\\ $	17,730 17,342 18,476 18,375 16,652 14,095 25,565 13,992 16,110 19,564 13,482 13,482 13,792 13,482 13,792 13,482 11,630 14,340 14,340 14,340 14,340 14,340 14,340 14,340 14,340 14,340 14,340 14,340 14,340 15,662 17,730 17,730 15,816 15,807 14,990 15,742 14,990 15,755 14,900 15,755 14,950 15,955 14,950 15,955 14,950 15,955 14,950 15,955 14,950 15,955 15,9	20,516 20,255 21,807 21,486 19,479 17,512 19,498 22,605 22,885 16,622 17,212 17,302 16,319 16,319 18,721 18,721 18,721 18,721 21,748 22,497 25,606 19,511 28,967 16,557 16,557 16,557 16,557 16,457 16,557 16,457 16,557 16,457 16,557 16,457 16,557 16,457 16,557 16,457 16,557 16,457 16,557 16,557 16,467 20,467 20,467 20,467 20,467 20,467 21,963 19,047 18,912 18,890 20,065	$\begin{array}{c} 120\\ 120\\ 135\\ 140\\ 120\\ 120\\ 155\\ 90\\ 955\\ 955\\ 85\\ 95\\ 95\\ 120\\ 145\\ 1700\\ 120\\ 120\\ 155\\ 90\\ 955\\ 125\\ 125\\ 125\\ 125\\ 125\\ 125\\ 125\\ 1$	
Pt 0K-22	Пт ØК-22	4 4	32 थ	26.5 26.6	20,235 15,565	23,835 18,612	160 125	

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Table 32

Technological Specifications of Soviet Steam Locomotives a/ (Continued)

a. Linear dimensions are in millimeters; area is in square meters; axle load and weight are in metric tons; speed is in kilometers per hour; pressure is in atmospheres. b. The axle arrangement of a locomotive is another way of expressing wheel arrangement. It differs from wheel arrangement in that the number of axles instead of the number of wheels is specified. Since there are 2 wheels per axle, to convert axle arrangement to wheel ar-rangement, multiply each figure by 2. Thus a 2-3-0 axle arrangement is the same as a 4-6-0 wheel arrangement. wheel arrangement.

where a rangements: c. 2 k = 2-cylinder compound; 2 p = 2-cylinder simple; 4 k = 4-cylinder compound. d. Sup = superheated; Sat = saturated. e. V (v) ("izhitsa," with a phonetic value of "i") has been supplanted in the Russian alpha-bet by $M(\mathbf{x})$, transliterated "i."

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f. B = Walshaert; J = Joy; C = Stephenson. g. Flat = flat surface value. h. B0 = Borris; B = Bissel; K = Krauss; TS = Tsara; F = Flamm.

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Table 33

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Technological Specifications of Soviet Main-Line Diesel Locomotives Class (1) (2) (3) (4) (5) (6) (7) (8) (9) (10) (11) (12) (13) E-EL-2 E-EL Item Shch-EL-1 (Redesigned) E-EL-5 0-el-6 0-EL-7 E-EL-8 Serial VM TE-1 TE-2 TE-5 DA DB Axle Arrangement 1-3-0**+** 0-4-0+ 1-5-1 2-5-1 1-4-1 1-4-0 2-5-1 2-5-1 **2-4-1+** 1-4-2 3+3 2(2+2) 3+3 3+3 3+3 0-3-0 Distance between Front and Rear Couplings (mm) Complete Frame (mm) 22,760 14,221 15,820 23,895 (23,140) <u>a</u>/* 19,826 (19,450) <u>a</u>/ 13,770 12,465 17,850 15,710 27,202 16,892 16,892 16,852 17,687 19,360 10,400 11,820 9,920 7,950 13,850 11,820 23,100 11,890 11,890 11,887 14,021 Over-All Operating Weight (mt) with Full Load of Water, . Fuel, Lubricant, and Sand 180.0 124.8 133.7 100.7 98.7 149.0 Operating Weight on Drivers with Full Equipment (mt) 138.0 245.58 123.9 166.0 121.2 122.6 160.0 91.9 96.5 73.2 84.4 106.5 98.0 157.8 123.9 166.0 121.2 122.6 Rail Load (mt) Carriage Axle 10.0 16.3 11.7 to 11.9 13.6 14.3 14.25 12.5 14.78+ 15.83

* Footnotes for Table 33 follow on p. 189.

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Table 33

·	····	,	·				Class						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Item	Shch-EL-1	E-EL-2 (Redesigned)	E-EL-5	0-EL-6	0-EL-7	E-EL-8	E-EL Serial	VM	TE-1	TE-2	5	DA	DB
Rail Load (mt) (Continued)													
Driving Axle Supporting Axle	16.0 10.0	17.5 to 19.25 16.6	19.3. 13.6	18.3 13.9	21.1	21.3 14.0	19.6 15.0	19.725 13.280	20.65	20.75	· ·	20.2	20.43
Fuel Consumption (mt)	8.0	4.0	3.9	2.5	2.4	6.0	3.95	7.8	5.15	7.0 = 2x3.5	5.15	5.15	2.5
Diameter (mm) Driving Wheels	1,050	1,220	1,220	1,320	1,220	1,320	1,220	1,220	1,014, Nos. 1 to 121, inclu- sive,	1,050	1,014	1,016	1,067
Carriage Wheels	950	950 [°]	1,050	, 950	950	1,050	1,050	900	1,050				
Supporting Axle Wheels	950	950	1,050	950		1,050	1,050	900					
Running Speed (km/hr)													
With Engine Dis- engaged (Construc- tive Speed)	75	50	55	55	55	65	55	72	90	100	90	96	96
With Engine Engaged (under Current)	50	50	50	50		60		65			·	•	

Technological Specifications of Soviet Main-Line Diesel Locomotives (Continued)

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Table 33

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· ·			Technolog:	ical Specifi		f Soviet Mai ontinued)	in-Line Die	sel Locomotiv	es				
					· · ·		Class						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Item	Shch-EL-1	E-EL-2 (Redesigned)	E-EL-5	0-EL-6	0-EL-7	e-el-8	E-EL Serial	VM	TE-1	TE-2	<u>TE-5</u>	DA	DB
Year Built	1924	1924: re- designed, 1928	1931	1931	1930	1932	1932	1934	1947	1948	1948	1945	1946
Maximum hp		900	830			1,200	900	1,635	765		765	835	770
Main Engine													
Fuel Atomization	noncom-	compressed	noncompression noncom-			noncompression						noncom-	
	pression	air				pression (ante- chamber)							pression with wind chamber
Strokes per Cycle	4	4	4	4	4	4	4	4	4	24	4	4	4
Cylinder Diameter (mm)	36 8	450	450	280	280	310	450	450	318	318	318	317.5	324
Length of Piston Stroke (mm)	381	420	420	380	380	370	420	420	330	330	330	330	394
Number of Cylinders	10	6	6	6	6	2 x 8	6	2 x 6	6	2 x 6	6	550	8
Maximum Regular rpm Effective hp at	395	350	420	700	700	640	425	400	740	740	740	740.	625
Maximum rpm Engine Starting	l,000 storage battery	1,000 compresse	1,100 ed air	600 stor	600 age batte	2 x 825 ery	1,050 compres	2 x 1,050 ssed air	1,000	1,000 x 2 s	1,000 torage batte	1,000 ry	1,000

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Table	33	

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Technological Specifications of Soviet Main-Line Diesel Locomotives (Continued)													
			· · · · · · · · · · · · · · · · · · ·				Class						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Item	Shch-EL-1	E-EL-2 (Redesigned)	E-EL- 5	Q-EL-6	0-EL-7	E-EL-8	E-EL Serial	VM	TE-l	TE-2	TE-5	DA	DB
Turboblower													
Output, cu m/hr Pressure, kg/sq cm					,				5,100 0.22 to	5,100 0.22 to	5,100 0.22 to	5,800 0.35 to	
Operating rpm								·	0.34 1,600 to 10,300	0.34 1,600 to 10,300	0.34 1,600 to	0.36 2,200 to	
Maximum rpm									13,000	13,000	10,300 13,000	10,500 13,000	
Main Generator													
Nominal Power (kw) Maximum Volts	2 x 400 360 to 720	800 1,100	752 700 at 450 rpm	378 630 at '	378 750 rpm	2 x 510 800	796 750 .	796 750	700 900	700 900	700 900	700 900	736 1,050
Amperage, Average	1,500	800 át 1,000 v & 800 kw	1,450 at 21°C	1,160 at	335 v	975	845 at 450	750 v & rpm		1,150 at 40°C 1,350 under 3		1,200 at 40°C	1,060
Amperage, Maximum	3,000	1,330 at 600 v & 800 kw b/	1,750 at 21°C	1,400 at	270 v		1,750 at 450			1,500 at 40°C 1,800, short-		1,550 at 40°C	1,200 c/
Rotor Coupling to Engine Shaft	rigid	rigid	flexible	flexible	flexible	rigid		converted	rigid	rigid	rigid	rigid	rigid
Excitement	inde- pendent	inde- pendent 2 step	inde- pendent l step	independ counter o armat	ompound	inde pendent	inde- pendent	inde- pendent cascade	inde- pendent	inde- pendent	inde- pendent	inde- pendent	inde- pendent

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					Ta	ble 33	t						
			Technologic	al Specif	ications of (Co	Soviet Ma ntinued)	in-Line Die	sel Locomotiv	/es				
·				· · · · · · · · · · · · · · · · · · ·			Class						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Item	Shch-EL-1	E-EL-2 (Redesigned)	E-EL-5	0-EL-6	0-EL-7	E-EL-8	E-EL Serial		TE-1	TE-2	TE-5	DA	DB
Exciter		•											
Nominal Power (kw)	2 x 10.5 =	= 12.5/1 <u>a</u> /	61 at 250 to 450 rpm	40 at 750 rpm	40 at 750 rpm	2 x 100	61	160	3.6	3.6	3.6	5	
Operating Voltage	21	50/110 to 135	135 to 140 at 21°C	140 140	140	150	135	160 at 1,360 rpm	5 5 ·	55	55	55	
Operating Amperage	250	250/9	at 2100 550 at 125 v, 20 min	average; 350, for	350, for	667	452	1,500 rµm	65	65	65	65	110 maximum
Excitement		independent		20 min	20 min shunt .	storage battery	compound & shunt armature	auxiliary generator	combina- tion	combina- tion	combina- tion	combina- tion	combina- tion
Maximum rpm	400	550		750	750	650	450		1-,776	1,776	1,776	1,776	2,500
Auxiliary Generator (Auxiliary Exciter)													
Nominal Power (kw) Maximum rpm Excitement								12.5	5 1,776 5e	5 1,776 lf-excitement	1,776	5 1,776	2,500 shunt
Voltage								160 at 1,320 rpm	76	76	76	· 75	130

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									•				50X1-HL
					T	able 33							
			Technologi	cal Specifi		f Soviet Ma ontinued)	in-Line Dies	sel Locomoti	ves				
							Class						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Item	Shch-EL-1	E-EL-2 (Redesigned)	E-EL-5	0-EL-6	0-EL-7	E-EL-8	E-EL Serial	VM		TE-2	TE-5	DA	DB
Electric Drive Motors											•		
Nominal Power (kw) Amperage, Average	100	142 160 at 835 rpm & 1,000 v.	140	350	140	230 2 x 195	140 169 at 750 v & 1,000	140	98 680 at 40°C 725 at	98 725	98 680 at 400c	99 700 at 40°C 740 at	1,000
Amperage, Short-Term		235 at 440 v & 600 rpm for 100 min				2 x 242	rpm e/ 350 at 440 v & 410 rpm e/	375 at 440 v	25°C 770 at 40°C 840 at 25°C		770 at 40°C	30°C 780 at 40°C 850 at 30°C	
Field Shunting (percent)							- Fran 27		35	50	35	55	38/33
Transmission Ratio	4.625	6.14	5.73 = 86/15	4.75	5.73	6.8	5.73 = 86/15	4.316 = 82/19	4.6875 = 75/16	4.6875 = 75/16	4.6875 = 75/16	4.6875 = 75/16	29/25 4.857 = 68/14
Number of Drive Motors Transmission Type	10	5 two-sided	5 two-sided	l group	4 two- sided	5 double one-sided to shaft, torsion	5	2 x 4 led with	6 one-sided	2 x 4 one-sided	6 one-sided	6 one-sided	6 one-sided
Maximum rpm of Rotor	1,750		1,400 at	1,030	1,400	to axle 1,770	1,400	1,350 at 72 km/br	2,200	2,200	2,200	2,200	2,300

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1,350 at 2,200 72 km/hr

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1,400 at 55 km/hr

Maximum rpm of Rotor

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Table 33

Technological Specifications of Soviet Main-Line Diesel Locomotives (Continued)

			***			·							
	·······				······		Class						
	(1)	(2)	(3)	(4) ·	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Item	Shch-EL-1	E-EL-2 (Redesigned)	E-EL-5	0-EL-6	0-EL-7	E-EL-8	E-EL Serial	VM	TE-1	<u></u> TE-2	5	DA	DB
lectric Drive Motors (Continued)													
Scheme of Inclusion of Drive Motors at Start-Up and at Cruising Speed of Locomotive	parallel	parallel	parallel		parallel		parallel	parallel	series	serles	series	series	2 paral- lel groups with 2- step shunting
Basic Scheme of In- clusion of Drive Motors	parallel	parallel	parallel		parallel		parallel	parallel	series parallel	series	series parallel	series parallel	Sumpting
Voltage		1,000				800	750		157	235	157	130 to 268	360
torage Battery													maximum
Characteristics Number of Elements Ampere Hours/Dis- charge Time	acid 600/1	acid 54 60/3	base 80 160/3	base 82 500/3	base 82 500/3	base 92 400/3	acid 52 81/3	acid 2 x 52 2 x 81/3	acid 32 550/10	acid 2 x 32 2 x 550/10 ·	acid 32 550/10	acid 32 360/6	acid 56 260-type 1 280-type 2 6-hr dis-
Voltage Maximum Amperage			135	120 to 140	140	140	104	135	64	64	64	64	charge 130
Discharge								55	1,700	1,700	1,700		

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		Tal	ole 33	•		
Technological	Specifications	of	Soviet	Main-Line	Diesel	Locomotives
		(Coi	ntinued)		

							Class						• • • • • • • • • • • • • • • • • • •
	(1)	(2)	· (3)	(1+)	(5)	, (6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Item	Shch-EL-1	E-EL-2 (Redesigned)	E-EL-5	0-EL-6	0-EL-7	E-EL-8	E-EL Serial	VM	TE-1	TE-2	TE-5	DA	DB
r Compressor													
Number of Steps Number of Cylinders		4 4	2	1 2	1 2		2 2	2 · 2 x 2	2 3	2 3	2 3	2 3	2 3
Capacity													
Numerator (cu m/min) Denominator (rpm)			1.08/315 or 1.3/385	1.0/450	1.0/450		1.08/315	1.08/31 5 or 1.3/385	2.2/250 or 5.5/740 .	2.2/250 or 5.5/740	2.2/250 or 5.5/740	2.2/250 or 5.5/740	
Air Pressure (kg/sq cm)			60	8	8		60	60	9.8	• 9.8	9.8	9.8	9.8
ondenser													
Flow from Water Pump (cu m/hr) Flow from Lubrica-	20	49	95	60	60	130	45	38.6	80	2 x 80	80	78	104
tion Pump (cu m/hr) Maximum rpm of		5	12/36 = 48 <u>f</u> /	9	9	35	13	22	16	2 x 16	. 16	18 .	11
Blower	1,200	1,290	1,230	1,300	1,200	1,000	1,200	1,120	1,240	970	1,240	1,240	1,600
Maximum Air Supply (cu m/hr)	100,000	138,000	144,000	126,000	115,000	162,000	150,000	2 x 126,000	66,000		66,000		97,000



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Table	33
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Technological	Specifications	of	Soviet	Main-Line	Diesel	Locomotives	
(Continued)							

· · ·							Class						
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Item	Shch-EL-1	E-EL-2 (Redesigned)	E-EL- 5	0-EL-6	0-EL-7	E-EL-8	E-EL Serial	VM	TE-1	TE-2	5	DA	DB
ondenser (Continued)						-							
Number of Water Coils Number of Lubricant	;		4	9	9	6	5	2 x 4	21	2 x 20	21	21	10
Coils			2+5 = 7 <u>£</u> ∕	3	3	2	6	2 x 4	5	2 x 6	5	5	2
Water Coil Surface (sq m) Lubricant Coil Surface (sq m) Number of Speeds	700 32	672.5 134.5	320 160+400 = 560 £/	405 135	405 135	480 160	410 205	2 x 450 2 x 450	429 95	2 x 409 2 x 114	429 95	429.5 102	336. 69.
of Blower		l	2		2		1	1	• [.] 1	1	1	· 1	1

a. Figures in parentheses refer to locomotive TE-2 of first production.
b. Current shown for time of 100 minutes.
c. Current shown for time of 40 minutes.
d. Figures in the denominator refer to the small exciter which is driven by belt transmission from shaft of the main exciter.
e. Correspond to a flow of 45 cubic meters per minute of air blown through the motor.
f. First figure refers to cooling of engine lubricant; second, to cooling of pistons.

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Table 34

-			Class			
	(1)	(2)	(3)	(4)	(5)	(6)
Item	ЕМН-3	MH-1 & MH-2	AA-1	<u>M</u> D/2	Projects of Kolomen Works	
Type Length over Buffers (mm) Length of Basic Frame (mm)	2-5-1 16,696 11,935	0-2-0 8,640 3,200	0-3-0 9,200 4,400	0-2-0 7,764 2,150	2-5-1 19,100 14,300	2-5-2 19,200 15,650
Drawbar Pull (mt) Over-All Trailing	131 88	38 38	54 54	26 26	165 110	170 100
Rail Load (mt)						
Front Carriage Axle Front Carriage Wheels Supporting Axle	13 & 14 17.6 16	19	18	13	17.5 & 18.5 22 19	17.5 20 17.5
Fuel Consumption (mt)	3.5	0.85		0.93		5.2
Diameter (mm) Driving Wheels Carriage Wheels Supporting Axle Wheels	1,320 1,030 950	1,220	1,220	1,200	1,520 1,050 900	1,500 900 900
Running Speed (km/hr)	•					
With Engine Disengaged With Engine Engaged	55 48	45 36	50	39		65 61
Zear Built	1927	1931	1933	1936	projected in 1934	projected in 1934 (incomplete

Technological Specifications of Soviet Diesel Locomotives with Mechanical Transmission

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	Class						
	(1)	(2)	(3)	(4)	· (5)	(6)	
Item	EMH-3	MH-1 & MH-2	AA-1	M D/2	Projects of Kolomen Work		
					•		
ain Engine							
Engine Designation	MAN	MAN	6D 22/28	SD 19/32 (Kaluga Works)	53 N 8	45 NK-8	
Cylinder Diameter (mm)	450	220	220	190	410	410 450	
Length of Piston Stroke (mm)	420 6	320 6	280 6	320	530 8	450	
Number of Cylinders Maximum rpm	450	. 850	0	460	450	500	
Top Operating rpm	400	700	650	430	450	500	
Effective hp at Top Operating rpm	1,050	300	300	140	2,500	2,300	
Fuel Atomization Strokes per Cycle	air 4	jet 4	2	antechambe r 2	mechanical 2	air 2	
ondenser							
Maximum Supply of Water Pump (cu m/hr)	48	12		10			
Maximum Lubrication Supply							
(cu m/hr)	20	3.5		2 x 1.3		front,11;	
Number of Water Condenser Coils	6	3		grading		rear, 1	
Surface of Water Condenser		0-					
Coils (sq m)	530	82				front, 11;	
Number of Lubrication Condenser Coils	6	3				rear,3	
00110	0	J.					

Table 34

Technological Specifications of Soviet Diesel Locomotives with Mechanical Transmission

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Table 34

	•		-			
			Cla	5.5		
	(1)	(2)	(3)	(4)	(5)	(6)
Item	EM H-3	MH-1 & MH-2	AA-1	M D/2		Kolomen Works
Condenser (Continued)						
Surface of Lubrication Condenser Coils (sq.m)	000					
Number of Gears of Blower Reducer	200 2	55 2		7.4 driven by exhaust		l
Maximum Number of rpm of Fan	1,200	1,200		gases		front, 1,200;
Maximum Supply of Air (cu m/hr)	110,000			1,600		rear, 1,400 front, 190,000
Maximum hp Required by Fan	68					rear, 50,000
Gear Box						
Number of Cross Shafts	3 3	5		4	5	5
Number of Speeds	3	4	4	. 4	4	· 4
Transmission Figures						
Speed I	6.923	23.		17.8		11.14
Speed II Speed III	3.966 2.053	13.35 7.60		9.544 4.9		5.72 3.40
Speed IV		4.43		2.63		2.27

Technological Specifications of Soviet Diesel Locomotives with Mechanical Transmission (Continued) $% \left(\mathcal{C}_{\mathrm{CONTINUED}} \right)$

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Table 34

Technological Specifications of Soviet Diesel Locomotives with Mechanical Transmission (Continued)

			Class			
	(1)	(2)	(3)	(4)	(5)	(6)
Item	EM H-3	MH-1 & MH-2	AA-l	м D/2	Projects o	Kolomen Works
		· · ·				
ocomotive Speed in km/hr at Maximum rpm						
Speed I Speed II Speed III	14.4 25 48	3.5 to 8.5 6 to 14.6 10.6 to 25.9	10.5	5.46 10.2. 19.8		12.3 23.95 40.2
Speed IV		18.2 to 45	50	37		60.4
enerator						
Function	feeding of coup- lings, light- ing, battery charging	battery charging, lighting				feeding of couplings, battery charging
Type of Current	direct	direct				direct
Maximum rpm Voltage Kilowatts	2,500 110/135 6					113
raction Motor						
Function .	for lubrication	engine starting				for lubrica
Voltage	pump at tender 110				•	tion pump

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Table 35

Technological Specifications of Soviet Electric Locomotives

	Class							
Item	VL-19	SS	VL-22	VL-22m				
Service Wheel Arrangement Plant Year in Service Current Voltage on Pantograph H [°] D, Hourly Rate Designed Speed (km/hr) Total Weight (mt)	freight-passenger 0-3-0+0-3-0 Dynamo Works imeni Kirov a/ 1932 DC 3,000 2,770 83	freight, mountain service 0-3-0+0-3-0 Dynamo Works imeni Kirov <u>a</u> / 1932 DC 3,000 2,770 70	freight-passenger 0-3-0+0-3-0 Dynamo Works imeni Kirov a/ DC 3,000 2,770	freight-passenger 0-3-040-3-0 Novocherkassk b/ 1947 DC 3,000 3,260				
With Stabilizer Without Stabilizer	126 120	132 126	132	132				
Weight on Driving Axle (mt)								
With Stabilizer Without Stabilizer	21 20	22 21	22	. 22				
Diameter of Drivers (mm) Diameter of Auxiliary Wheels (mm)	1,220	1,220	1,220	1,220				

a. Moscow Order of Lenin and Order of Labor Red Banner Dynamo Works imeni Kirov.
b. Novocherkassk Electric Locomotive Plant imeni Budennyy.

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		Table 35							
	Technological Specifi	cations of Soviet Electric Loco (Continued)	notives						
······································	Class								
Item	VL-19	SS	VL-22						
Driving Gears									
System Gear Ratio Piston Gear, Number of Teeth Spur Gear, Number of Teeth	resilient duplex gears 3.74 23 86	resilient duplex gears 4.45 20 89	duplex gears 4.45	duplex gears 4.45					
Traction Motor									
Number of Motors Suspension <	6 tramway	6 tranway	. tramway	6 tramway					
Locomotive Dimension (mm)									
Over-All Length between Buffers Body Length Wheel Base Wheel Rigid Base Height, Locked-Bown Position	16,220 13,500 11,800 4,000	16,480 13,500 12,200 4,200	16,390 14,200 4,200	16,390 14,200 4,200					
of Pantographs to Top of Rail	4,990	4,8 25							

el Base	11,800	12,200	14,200
el Rigid Base	4,000	4,200	4.200
ght, Locked-Down Position		,	,
Pantographs to Top of Rail	4,990	4,825	

Braking	rheostat	regenerating	regenerating



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regenerating

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Table	36	

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Technological Specifications of Soviet Freight Cars

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						Length	over					······································
			Weight ' nt)			Buffe (mm)	ers		Distance			
Туре	Year Built	With Hand Brakes	Without Hand Brakes	Capacity a/	Axle Load a/ (mt)	With Hand Brakes	Without Hand Brakes	Distance between End Axles (mm)	bistance between Truck Centers (mm)	Length <u>a</u> / Insi (mm	de	Floor Space a/ (sq m)
2-Axle Boxcar, Russian Type	1887	8.6		15 18	$\frac{13.3}{13.1}$	8,236	7,634	3,810		6,400	2,743	17.56
2-Axle Flatcar, Russian Type	1900	7.8	7.3	15 18	12.9 12.65	10,394	10,394	5,500		8,612 9,104	2,740	23.6 24.94
2-Axle Refrigerator Car, Built by the Tambov Freight Car Repair Plant	1932	18.4	18.0	19.0	<u>18.7</u> 18.5	10,394	10,394	5,500		$\frac{8,410}{9,010}$	2,700	$\frac{22.7}{24.3}$
2-Axle Boxcar, Built by Soviet Car Plants	1927	10.5	9.9	20.0	16.05 15.65	8,540	7,850	3 ,9 00		6,600	2,750	18.15
2-Axle High-Side Flatcar of Welded and Riveted Construction	1928	9.9	9.2	20.0	14.95 14.6	10,424 <u>b</u> /	10,424	5,500		<u>8,364</u> 9,114	2,750	23.0 25.1
2-Axle 25-cu-m Tank Car for Hauling Ammonium		13.5		25.0	19.25	8,980		3,900		6,740	2,200	
2-Axle Self-Unloading Hopper Car	1931	12.2		25.0	18.6	7,140		3 ,9 00		5,912	2,916	
2-Axle 25-cu-m Tank Car Built by Soviet Car Plants	1931-37	11.7	11.0	25.0	18.35 18.0	8,960	8,780	3,900		6,740	2,200	
4-Axle Boxcar of Riveted Construction, Built by Soviet Car Plants	1928-3 6	24.2	23.4	50.0	$\frac{18.55}{18.35}$	15,058	14,308		9,272	13,000	2,750	35.75
4-Axle Boxcar of Welded Construction, Built by Soviet Car Plants	1936-41	22.79	21.9	50.0	$\frac{18.18}{18.0}$	15,350	14,730		9 , 830	13,430	2,750	36.9

Numerator refers to cars having hand brakes; denominator, to cars without hand brakes. With automatic couplers. a. b.

Table 36

Technological Specifications of Soviet Freight Cars (Continued)

		Light	Weight nt)			Buf	h over fers m)	Distance	Distance between	Length B/	(
Туре	Year Built	With Hand Brakes	Without Hand Brakes	Capacity <u>a</u> / (mt)	Axle Load a/ (mt)	With Hand Brakes	Without Hand Brakes	bistance between End Axles (mm)	Truck Centers (mm)	Insi	.de	Floor Space a/ (sq m)
4-Axle All-Welded Flatcar	1932	18.4	18.4	50.0	17.1	14,224	14,224		9,300	12,914	2,780	35.9
4-Axle Flatcar, No Sides	1935		24.0	60.0	21.0		14,220		9,300	13,000	3,100	40.3
4-Axle Flatcar, Welded from Rolled Steel	1936	22.2	22.0	60.0	20.55	14,194	14,194		9,294	<u>12,102</u> 12,874	2,770	33.52 35.66
4-Axle Depressed Center Car			44.4	38.0	20.5		16,490		11,450	6,740	2,060	12.0
4-Axle 50-cu-m Tank Car of Welded and Rivetea Construction, Built by Soviet Car Plants	1937-41	22.5 to 24.7	21.8 to 24.0	50.0	$\frac{18.65}{18.5}$	12,220	12,030		7,120	9,600	2,600	
4-Axle Welded Gondola Car, Built by Soviet Plants	1933	22.7	22.7	60.0	20.67	13,920	13,920		8,650	12,004	2,960	35.53
4-Axle Low-Side Coal Hopper Car	1932-33	21.0	21.0	50.0	17.75	10,030	10,030		5,810	8,740	3,080	
4-Axle Industrial Low-Side Ore Hopper Car	1933	17.1	17.1	70.0	21.78		7,300		4,350	5,900	3,114	
4-Axle Dump Car	1931	24.0		40.0	16.0	10,210			5,170	7,910	2,700	21.36
6-Axle Depressed Center Car		34.3		50.0	14.05	17,690			13,050	6,500	2,900	18.85
8-Axle Depressed Center Car	1934	43.5		<u>70</u> 80	15.45	22,652			14,300	7,000	3,000	21.0
12-Axle Depressed Center Car	1934	88.0		150.0	19.85	30,435			20,270	9,156	2,440	22.3

a. Numerator refers to cars having hand brakes: denominator refers to cars without hand brakes.

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Table 37

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Technological Specifications of Soviet Passenger Cars

	•	Light (m				Buf	h over fers m)		Distance	Length	Width
Туре	Year Hand		Without Hand Brakes	Capacity (mt)	Axle Load (mt)	With Hand <u>Brakes</u>	Without Hand Brakes	Distance between End Axles (mm)	between Truck Centers (mm)	Inside (mm)	
2-Axle 14-m Suburban Traffic Passenger Car, with Hard Seats	1925	21.5 to 24.0		9.0	15.25 to 16.5	15,160		8,200		14,000 <u>a</u> /	3,040
4-Axle 20.2-m Suburban Traffic Pas- senger Car, with Hard Seats	1928	45 to 48.5		12.24	14.31 to 15.19	21,390			13,970	20,200	2,980
4-Axle 20.2-m Long-Distance Passenger Car, with Hard Seats and Noncompart- mented	1931	42 to 45.5		10.76	13.19 to 13.81	21,360			13,970	20,200	2,980
4-Axle 20.2-m Passenger Car, with Hard Seats and Compartments	1928	43.5 to 47		4.0	11.88 to 12.75	21,360			13,970	20,200	2,930
4-Axle 20-m First-Class Through- Traffic Coach	1928	53.5		2.02	13.88	21,190			14,000	20,030	2,950
4-Axle 20.2-m Through-Traffic Sleeping Car, First or Second Class	1928	54.64		2.24	14.22	21,360		-	13,970	20,200	2,900
4-Axle 20.2-m Baggage Car	1928	32.0		20.0	13.0	21,360			13,970	20,200	2,910
4-Axle 20-m Mail Car	1928	42.0		16.0	14.5	21,160			14,500	20,200	2,930

a. Length over vestibules.

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Technological Specifications of Soviet Passenger Cars (Continued)

Table 37

		·	Weight nt)			But	th over ffers m)	DV stansa	Distance	Tanaki	
Туре	Year <u>Built</u>	With Hand Brakes	Without Hand Brakes	Capacity (mt)	Axle Load (mt)	With Hand <u>Brakes</u>	Without Hand Brakes	Distance between End Axles (mm)	between Truck Centers (mm)	Length Insi (mm	
4-Axle Electric Rail Motor Car	1928	58.0	•	10.0	17.0	20,010				19,130	3,304
4-Axle Trailer Car for Electric Motor Car Trains	1928	37.5		10.0	11.9	20,010				19,130	3,304

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APPENDIX B

LIST OF PLANTS REPORTED AS PRODUCING LOCOMOTIVES AND/OR ROLLING STOCK IN THE USSR 235/

As explained in the text,* about 120 plants which have been reported as producing locomotives and/or rolling stock in the USSR have been studied, with a view to determining whether they were in fact producing plants during the period 1945-52. As a result, these plants have been broken down into four main categories, as follows: (1) plants definitely identified as producing plants; (2) plants reported but not definitely identified as producing plants.

(3) plants definitely identified as either overhaul or repair shops which do not produce new units; and (4) other plants identified as producing parts for locomotives and/or rolling stock, maintenance units for the railroad system, or narrow-gage equipment for mining or other similar operations. It is felt that categories 1 and 2, below (as mentioned above), include all plants in the USSR engaged in production of new units, as well as a number of plants (in 2, below) which are merely engaged in overhaul or repair. Since the plants listed under categories 3 and 4, below (as mentioned above), do not fall within the scope of this report, no attempt has been made to make these particular lists complete, but they are presented here as a guide in evaluating other reports of production and as a guide for other studies pertaining to repair and parts plants.

The following is a list of plants located alphabetically by the name of the city.** The list is divided into four parts as mentioned above. In addition, the plants listed in 1 and 2, below, are grouped according to type of units produced.

* See Part I, Section IV, above.

** For maps showing the locations of locomotive and rolling stock plants in the USSR, see Figures 1 and 2, following p. 34, above.

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Plants Definitely Identified as Producing Plants. Steam Locomotive. a. (1) Bezhitsa (VII).* Proper Name: Krasnyy Profintern Railroad Locomotive Building Plant. (2) Gor'kiy (VII). Proper Name: Krasnoye Sormovo Plant imeni Zhdanov. (3) Kolomna (VII). Proper Name: Kuybyshev Railroad Locomotive Plant. (4) Krasnoyarsk (XI). Sibirskiy Heavy Machine Plant Proper Name: (also known as the Stalin Locomotive and Crane Plant). Krasmashstroy Railroad Equipment Former Names: Plant. Krasnyy Profintern Railroad Equipment Plant. Onega Metallurgical Engineering Plant. Krasnyy Profintern Machine Building Plant. (5) Ulan-Ude (XI). Descriptive Name: Railroad Locomotive Plant.

(6) Voroshilovgrad (III).

l.

Proper Name: Voroshilovgrad Steam Locomotive Plant imeni October Revolution.

* Numbers in roman numerals following the names of cities refer to the economic regions defined and numbered , USSR: Economic Regions.

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b. Electric Locomotive.

(7) Novocherkassk (IV).

Proper Name: Novocherkassk Electric Locomotive Plant imeni Budennyy. Former Name: Kuchimovskiy Electric Locomotive Plant.

c. Diesel Locomotive.

(8) Khar'kov (III).

Proper Name: Khar'kov Transportation Machine Building Plant (KhZTM). Former Names: Komintern Railroad Locomotive Plant. Tank Plant No. 75. Tank Plant No. 183.

d. Freight Car.

(9) Altayskoye (IX).

Proper Name: Pravda Railroad Car Plant.

(10). Bezhitsa (VII).

Same as (1).

(11) Dneprodzerzhinsk (III).

Proper Name: Railroad Car Construction Plant imeni Gazety Pravda.

(12) Engel's (also known as Saratov Engel's) (VI).

Proper Name: Railroad Car Building Plant imeni Uritskiy.

(13) Kalinin (VII).

Proper Name: Railroad Car Building Plant imeni Kalinin.

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(14) Kaliningrad (II).

Proper Name: Kaliningrad Railroad Car Plant. Former Name: Ludwig Steinfurth Railroad Car Plant.

(15) Kiev-Darnitsa (III).

Descriptive Name: Railroad Car Plant.

(16) Nizhniy Tagil (VIII).

Proper Name: Ural Railroad Car Plant imeni L.M. Kaganovich. Former Names: Dzerzhinskiy Railroad Car Plant. Stalin Railroad Car Plant. International Railroad Car Plant. Komintern Railroad Car Plant.

(17) Zhdanov (III).

Proper Name: Mariupol' Steel Plant imeni Il'ich. Former Name: Kuybyshev Pipe Plant.

e. Passenger Car.

(18) Leningrad (I).

Proper Name: Railroad Car Building Plant imeni Yegorov.

(19) Mytishchi (VII).

Proper Name: Mytishchi Railroad Car Building Plant.

(20) Riga (II).

Proper Name: Riga Railroad Car Building Plant "Vayrogs."

f. Locomotive Tender.

(21) Saratov (VI).

Proper Name: Konus Railroad Equipment

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2.	_	ants Reported but Not Definitely Identified as Producing lants.	
	a.	Steam Locomotive.	
		(22) Apsheronsk (IV).	
		Descriptive Name: Railroad Locomotive Plant.	
		(23) Asbest (VIII).	
		Proper Name: Novo-Stroika Railroad Locomotive Plant.	
		(24) Berezovka (XI).	
		Descriptive Name: Railroad Locomotive Plant. Repair shop (same as Stalin Locomotive and Crane Plant, Krasnoyarsk).	
		(25) Cherkassy (III).	
		Descriptive Name: Railroad Locomotive Plant, Electric.	
		(26) <u>Chita</u> (XI).	
		Proper Name: Voroshilov Railroad Equipment Repair Shop imeni Voroshilov.	
		(27) Golumet' (XI).	
		Descriptive Name: Railroad Locomotive Plant.	
		(28) Kemerovo (IX).	
	,	Descriptive Name: Railroad Locomotive Repair Shop.	
		(29) Michurinsk (VII).	
		Descriptive Name: Michurinsk Locomotive Repair Plant.	
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(30) <u>Murom</u> (VII).

Proper Name: Plant imeni Communist Party of France. Former Name: French Communist Party Steel Plant. 50X1-HUM

(31) Nakhodka (XII).

Descriptive Name: Railroad Locomotive Repair Shop.

(32) Nizhniye Sergi (VIII).

Descriptive Name: Railroad Locomotive Plant.

(33) Novosibirsk (IX).

Descriptive Name: Railroad Locomotive Plant.

(34) Poltava (III)

Proper Name: Poltava Locomotive Repair Plant imeni Zhdanov.

(35) <u>Rechitsa</u> (II).

Descriptive Name: Railroad Locomotive Plant.

(36) Sukhaya Rechka (XII).

Descriptive Name: Railroad Locomotive Plant.

(37) Tuapse (IV).

Descriptive Name: Railroad Locomotive Plant.

(38) Zaporozh'ye (III).

Descriptive Name:

Railroad Equipment Repair Shop.

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 $\underline{S}-\underline{E}-\underline{C}-\underline{R}-\underline{E}-\underline{T}$

b. Electric Locomotive.

(39) <u>Kashira</u> (VII).

Descriptive Name: Electric Locomotive Plant.

(40) Rostov (IV).

Descriptive Name: Railroad Electric Locomotive Plant.

(41) Troitsk (VIII).

Descriptive Name: Railroad Electric Locomotive Plant.

c. Freight Car.

(42) Gomel' (II).

Proper Name: Gomel' Railroad Car Repair Plant. Former Name: Tormoznaya Masterskaya Railroad Car Repair Plant.

(43) Kramatorsk (III).

Proper Name: Lenin Tank Car Plant.

(44) Kremenchug (III).

Descriptive Name: Railroad Car Plant.

(45) Kryukov (III).

Proper Name: Kryukov Railroad Car Plant.

(46) <u>L'vov</u> (III).

Descriptive Name: Railroad Equipment Repair Shop.

(47) Michurinsk (VII).

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(58) Kalinin (VII).

Same as (13).

(59) <u>Kiev</u> (III).

Descriptive Name: Railroad Car Plant.

Possibly the same as (15).

(60) Lianozovo (VII).

Proper Name: Lianozovo Railroad Car Building Plant.

(61) Sverdlovsk (VIII).

Proper Name: Voyevodin Railroad Car Plant.

(62) Voronezh (VII).

Same as (55).

3. <u>Plants Definitely Identified as either Overhaul or Repair</u> Shops.

(63) Alapayevsk (VIII).

Descriptive Name: Railroad Locomotive Repair Shop.

(64) Anzhero-Sudzhensk (IX).

Descriptive Name: Railroad Car Repair Shop.

(65) Baku (V).

Proper Name: Baku Railroad Car Repair Plant imeni October Revolution.

(66) Barnaul (IX).

Proper Name: BVRZ Railroad Car Repair Plant.

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(67) <u>Bologoye</u> (VII).

Descriptive Name: Railroad Equipment Repair Shop.

(68) Borisoglebsk (VII).

Proper Name: Borisoglebsk Railroad Car Repair Plant.

(69) Chkalov (VIII).

Descriptive Name: Railroad Equipment Repair Shop.

(70) Chkalov (VIII).

Descriptive Name: Railroad Locomotive Repair Shop.

(71) Dnepropetrovsk (III).

Proper Name: Dnepropetrovsk Locomotive Repair Shop. Former Name: Promparavoz Railroad Locomotive Plant.

(72) Dnepropetrovsk (III).

Proper Name: Mytishchensk Railroad Car Plant (Nizhnednepro'vskiy imeni Kirov).

(73) Gryazi (VII).

Proper Name: Gryazi Railroad Car Repair Shop.

(74) Kagan (X).

Descriptive Name: Railroad Locomotive Repair Shop.

(75) Kaliningrad (II).

Descriptive Name: Railroad Car and Locomotive Repair Shops.

(76) Kirov (VII).

Descriptive Name: Railroad Equipment Repair Shop.

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(77) Konotop (III).

Proper Name: Konotop Railroad Steam Locomotive and Car Repair Plant.

(78) Krivoy Rog (III).

Descriptive Name: Railroad Car Plant.

(79) Leningrad (I).

Proper Name: October Revolution Railroad Car Repair Plant imeni L.M. Kaganovich.

(80) Lisichansk (III).

Proper Name: Lisichansk Railroad Car Repair Plant imeni L.M. Kaganovich.

(81) Maksatikha (VII).

Proper Name: Krasnyy Kustar' Railroad Car Plant.

(82) Minsk (II).

Proper Name: Minsk Railroad Car Repair Plant imeni Myasnikov. Former Name: "Plamya Revolyutsii" Railroad Car Plant.

(83) Moisakula (II).

Descriptive Name: Railroad Car Plant.

(84) Mogilev (II).

Descriptive Name: Railroad Equipment and Car Repair Shop.

(85) Nikolayev (III).

Descriptive Name: Railroad Equipment Repair Shop.

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(86) Novorossiysk (IV).

Proper Name: VRZ (Railroad Car Repair Plant) imeni L.M. Kaganovich.

(87) Orsk (VIII).

Descriptive Name: Railroad Locomotive Plant.

(88) Popasnaya (III).

Proper Name: Popasnaya Railroad Car Repair Plant.

(89) Poti (V).

Proper Name: Locomotive and Railroad Car Repair Shops imeni Ordzhonikidze.

(90) Stalinsk (IX).

Descriptive Name: Railroad Locomotive and Car Plant.

(91) <u>Stryy</u> (III).

Descriptive Name: Railroad Car Repair Plant.

(92) Svir'stroy (I).

Descriptive Name: Railroad Car Plant.

(93) Syzran' (VI).

Descriptive Name: Railroad Locomotive Repair Shop.

(94) Tallin (II).

Proper Name: Tallin Plant No. 9.

Former Names: "Krull" Machine Building Plant. Glavgaztopprom Machine Building Plant. Punane Krull Machine Building Plant. Thevis Machine Building Plant. Veduriremontitehas Railroad Plant.

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(95) <u>Tapa</u> (II).

Descriptive Name: Railroad Freight Car Plant.

(96) <u>Tashkent</u> (X).

Proper Name: Krasnyy Vostochnyy Railroad Equipment Repair Shop imeni L.M. Kaganovich. Former Name: 1 May Railroad Equipment Repair Shop.

(97) Tayshet (XI).

Descriptive Name: Railroad Equipment Repair Shop.

(98) <u>Tula</u> (VII).

Descriptive Name: Railroad Locomotive Parts and .Repair Plant.

(99) <u>Ufa</u> (VIII).

Proper Name: Kuybyshev Railroad Locomotive Plant.

(100) Valga (II).

Descriptive Name: Railroad Car Plant.

(101) Voroshilov (XII).

Proper Name: 25 October Railroad Locomotive Plant. Former Name: Voroshilov Ussuriyskiy Railroad Locomotive Plant.

(102) Yaroslavl' (VII).

Proper Name: Yaroslavl' Locomotive Repair Plant.

(103) Zaporozh'ye (III).

Descriptive Name: Railroad Equipment Repair Shop.

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4. Other Plants.

(104) <u>Alma-Ata</u> (X).

Descriptive Name: Railroad Equipment Repair Plant.

(105) Kaluga (VII).

Proper Name: Kaluga Machine Building Plant. Descriptive Name: Railroad Shunt and Mining Locomotive Plant.

(106) Kambarka (VIII).

Descriptive Name: Railroad Car Plant.

(107) Kolpino (I).

Proper Name: Izhorsk Works imeni Gor'kiy. Former Names: Kuybyshev Steel Plant. Zhdanov Steel Plant.

(108) Kulebaki (VII).

Proper Name: Kulebaki Metallurgical Plant imeni Kirov.

Descriptive Name: Railroad Wheel and Tire Plant.

(109) Kutaisi (V).

Proper Name: Gornyak Mining Equipment Plant.

(110) Leningrad (I).

Descriptive Name: Trolley Car Plant.

(111) Makkaveyevo (XI).

Descriptive Name: Railroad Locomotive Plant.

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(112) Mamadysh (VII).

Descriptive Name: Railroad Shunt Locomotive Plant.

(113) Moscow (VII).

Proper Name: Moscow Order of Lenin and Order of Labor Red Banner Dynamo Works imeni Kirov.

(114) Novocherkassk (IV).

Proper Name: Osna Electric Locomotive Plant. Descriptive Name: Railroad Mining Locomotive Plant.

(115) Odessa (III).

Proper Name: Odessa Plant imeni Yanvarskogo Vosstaniya (January Uprising).

(116) Podol'sk (VII).

Proper Name: Podol'sk Machine Building Plant imeni Ordzhonikidze. Descriptive Name: Railroad Mining Locomotive Plant.

(117) Prokop'yevsk (IX).

Proper Name: Prokop'yevsk Mining Machinery Plant. Former Name: Rudo Remont.

(118) Troitsk (VIII).

Descriptive Name: Railroad Electric Locomotive Plant.

(119) Ust'-Katav (VIII).

Proper Name: Railroad Car Plant imeni Kirov. Descriptive Name: Trolley Car Plant.

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APPENDIX C

STATISTICAL COMPARISONS OF THE LOCOMOTIVE AND ROLLING STOCK INDUSTRIES IN THE US AND THE USSR

Some statistical comparisons of the locomotive and rolling stock industries in the US and the USSR are given in Table 38.* The statistics shown for the US are all from open sources and are unclassified. Those shown for the USSR are taken either directly from the text of this report or from statistics available in previously published intelligence summaries dealing with the Soviet railroads.

It will be noted from Table 38 that the Soviet locomotive inventory at the end of 1951 is nearly equal to that of the US at the same time. Examination of the table shows further, however, that if this inventory figure is multiplied by the average tractive effort per locomotive, then the US inventory becomes almost two times greater than the Soviet inventory in terms of total power available.

It should also be noted that, in terms of 4-axle units, the USSR is somewhat more efficient than the US in making use of available loading capacity in its freight cars. It is this fact plus a higher utilization rate that enables the USSR to move approximately one-half the total volume of freight that is moved annually in the US with only about one-fourth to one-third the freight car inventory of the US.

* Table 38 follows on p. 218.

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Table 38

Statistical Comparisons of the Locomotive and Rolling Stock Industries in the US and the USSR

	Locomotive Inventory (Units)	Locomotive Production (Units)	Average Locomotive Tractive Effort (Lbs)	Freight Car Inventory (Thousand Units)	Freight Car Production (Thousand Units)	Average Load per Freight Car (Metric Tons)	Freight Traffic (Billion Ton- Kilometers)
Year	US USSR	US USSR	<u>us</u> <u>ussr</u> <u>a</u> /	US USSR	US USSR	<u>us</u> <u>ussr</u> <u>b/</u>	US USSR
1928 1930 1935 1940 1941 1945 1946 1947 1948 1949 1950 1950 1951		N.A. 540 1,023 660 201 1;556 501 937 1,104 749 2,845 12 1,677 333 2,884 965 3,152 1,495 1,920 1,950 4,669 2,395 4,477 2,600	43,838 26,000 45,225 26,500 48,367 28,000 50,905 29,600 51,217 30,000 53,800 31,400 54,507 31,700 55,189 32,000 56,333 32,300 57,075 32,700 N.A. 33,000	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	24.3 N.A. 24.3 N.A. 23.7 N.A. 25.1 33.2 26.0 34.0 29.3 33.0 28.5 35.9 N.A. 35.9 30.0 36.50 N.A. 37.0 N.A. 36.7 N.A. N.A.	638 93 565 N.A. 416 258 550 415 700 460 1,000 314 872 338 960 354 938 450 760 525 850 532 935 N.A.

a. This figure is based on an assumption of a ratio of 4 freight locomotives to 1 passenger locomotive in the inventory and a straight-line extrapolation of estimates for 1910 and 1940.
b. Two 2-axle units are counted as one 4-axle unit.

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APPENDIX D

METHODOLOGY

The primary purpose of this report has been to examine and to make estimates of the production of locomotives and rolling stock in the Soviet Bloc. Included as what might be termed necessary by-products of such a study are such items as organization, inputs, inventory, requirements, imports and exports, and current design and technology.

In general, the method has been strictly empirical.

the analysis in each section has been compared and integrated with all the other sections to eliminate any incompatibility between the various sections of the report.

In the case of production and inventory estimates, details of the methodology used for the USSR are presented in the text as an integral and essential part of the estimates, since only by reference to these methods can the graphic presentation of production and inventory estimates, shown in Part I, Section V, above, be understood fully (see Figs. 15, 16, and 17* and 23,** 24,*** and 25***).

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Thus a production estimate issued by the Soviet

Following pp. 54, 56, and 58, respectively, above.
** Following p. 78, above.
*** Following p. 84, above.

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•	
By further reference to the 1947 <u>Cens</u> determine prices per unit of weight or am of input . Then, finally, by applying the against the price per unit of weight or a of amount of input required per unit of p and rolling stock was obtained. These re and 40.*	ount of the various items indexes obtained above mount, a resulting index roduction of locomotive

* Table 39 follows on p. 223; Table 40, on p. 227.

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For purposes of estimating, the Soviet units of steam, diesel, and electric locomotives currently produced have been considered as being comparable, for input purposes, to units of these types produced in the US in 1947. A comparison of the gross weight of individual units of locomotives currently produced in the USSR and the average gross weight of units produced in the US in 1947 substantiates this assumption.

In the case of freight cars, the average US freight car is a 4axle unit, and the inputs derived for these 4-axle units have been halved and listed as inputs per equivalent 2-axle unit.

In the case of passenger cars, lack of time in which to make a similar estimate by reference to the 1947 <u>Census</u> has resulted in making necessary the estimate of inputs to passenger cars on the basis of freight car inputs, using a suitable correction factor. The tare weights of passenger and 4-axle freight cars are in the ratio of approximately 2.5 to 1. Since the largest part of such cars is iron and steel in various forms, this ratio has been applied to all input items for freight cars to derive inputs to passenger car units. It is realized that this is not an accurate approximation, but since the number of passenger cars involved as compared with the number of freight cars is small, it is believed that the error introduced by using this approximation will not materially alter the inherent error involved in making input estimates by the method of analogy.

It should be noted that this so-called analogous method of making input estimates is subject to many sources of error and requires the estimator to make many assumptions. It is merely a first approximation of input estimates, which must be followed by estimates which have been made by other methods and combinations of methods. It is, however, a start toward the solution of input estimation problems.

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Table 39

Item	Units	US Input Factors from 1947 "Census"			Correction Factor	Soviet Input per Unit of Production			Remarks
		Steam	Electric	Diesel		Steam	Electric	Diesel	
Agriculture Labor Steel (Raw) b/	mt man-years mt	0.00164 9.1 164.39	0.00243 13.5 218.10	0.00174 9.65 192.51	none 1.5x <u>a</u> /* none	0.00164 13.65 164.39	0.00243 19.50 218.10	0.00174 14.50 192.51	Includes cotton and rayon textiles. Includes raw steel equivalents of the following items: rolled steel cast iron, cast steel, boiler shop products, sheet metal, lighting fit tures, steel springs, boits and th
A)							,		like, fabricated metal, pumps and compressors, blowers and fans, valves and fittings, wiring device: mechanical measuring instruments, power transmission equipment, me- chanical stokers, measuring and dispensing pumps, machine shops, hardware, and internal combustion engines.
Aluminum Copper	nt nt	0.59 2.12	0.87	0.62	none	0.59	0.87	0.62	cugraco.
copper	140	2.15	3.19	2.27	none	2.12	3.19	2.27	Includes rolled and drawn copper
Coal c/ (Bituminous)	urt.	48.23	71.36	50.94	none	48.23	71.36	50 .9 4	and alloy castings. Includes anthracite and bituminous coal, natural and manufactured gases, and coke. All have been converted to equivalent tons of
Electric Power	kwh	51,300	76,000	54,400	none	51,300	76,000	54,400	bituminous coal.
POL (Fuel Oil) d/ Rubber	mt	7.63	10.9	8.07	none	7.63	10.9	8.07	
Rubber and	mt mt	0.21	0.315	0.225	none	0.21	0.315	0.225	
Paperboard	щс	0.0214	0.0314	0.0227	none	0.0214	0.0314	0.0227	
Plastics Compressed and	mt thousand	0.0334	0.0495	0.0353	none	0.0334	0.0495	0.0353	•
Liquefied Gases	cu ft	4.28	6.3	4.53	none	4.28	6.3	4.53	
Flat Glass Mineral Wool	sq ft mt	738 1.82	1,100	780 2.06	none	738	1,100	780	

Soviet Items of Input per Unit of Production of Locomotives as Calculated from the US Census of Manufactures, 1947

* Footnotes for Table 39 follow on p. 225.

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Table 39

Soviet Items of Input per Unit of Production of Locomotives as Calculated from the US <u>Census of Manufactures</u>, 1947 (Continued)

Item	Units	US Input Factors from 1947 "Census"			Correction Factor	Soviet Input per Unit of Production			Remarks
		Steam	Electric	Diesel	Steam Electric Diesel	Diesel	_		
Asbestos	mt	0.30	0.44	0.32	none	0.30	0.44	0.32	Includes asbestos paper and asbestos gaskets.
Lumber	thousand bd ft	4.17	6.17	4.42	none	4.17	6.17	4.42	Includes products from sawmills and planing mills.
Unallocated	percent	14.65	14.65	14.65	none	14.65	14.65	14.65	Represents percentage of total in- vestment of industry which cannot be allocated to particular indus- tries.
Trucks	2-ton	0.0246	0.0365	0.0261	none	0.0246	0.0365	0.0261	
Railroad Locomotives	number	0.024	0.036	0.026	none	0.024	0.036	0.026	Represents yard-type switch loco- motives.
Rolling Stock	2-axle units	0.057	0.085	0.061	none	0.057	0.085	0.061	
Motors and Generators	kw	110	1,800	1,410	none	110	1,800	1,410	
Antifriction Bearings e/	number	537	793	565	none	537	793	565	Represents equivalent bearings of standard unit size.
Capital Equipment (Not Elsewhere Counted)	mt raw steel -	4.02	5.03	4.26	none	4.02	5.03	4.26	Represents raw steel input contained in the following items: cutting tools, hand tools, hand saws and blades, abrasive products, indus- trial furnaces and ovens, and gen- eral industrial machinery.

a. For the purpose of this estimate, it has been assumed that the USER uses 1-1/2 times as much labor per unit of production as does the US. This assumption is based on the fact that reports indicate that the labor force in the USER is less skilled and generally less efficient in its work organization.
b. The various items in this list have been converted to raw steel. This conversion is based on a factor of 1.39: that is, l ton of rolled steel equals 1.39 tons of raw steel.
c. All items in this table have been converted to rew steel. But values of the items were taken as follows: anthracite coal, 15,100 btu per lb; bituminous coal. But values of the items were taken as follows: anthracite coal, 15,100 btu per lb; bituminous d. But values of the items vere taken as follows: anthracite coal, 15,100 btu per lb; bituminous d. A conversion factor of 336 lbs per barrel was used to obtain the result in mt.
c. The number of antifriction bearings indicated here is the number of equivalent bearings of a standard size of 1 lb and a standard value of \$1.50. The number of bearings used per unit is much fever (on the order of 50 to 70 antifriction bearings per unit).

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Soviet Items of Input per Unit of Production of Rolling Stock as Calculated from the US Census of Manufactures, 1947

Item	Units	US Input Factor from 1947 "Census" for 4-Axle Freight Cars	Correction Factor a/	Soviet Input per Unit of Production of 2-Axle Rolling Stock Units	Remarks	
Labor Steel (Raw) <u>c</u> /	man-years mt	0.339 19.154	0.75 b/ 0.5	0.2543 9.527	Includes raw steel equivalents of the following items: rolled steel, cast steel, forgings, hardware, heating and cooling apparatus, boiler shop products, metal stamp- ings, lighting fixtures, steel springs, bolts, muts, pumps and compressors, blowers and fans, power transmission equipment, re- frigeration machinery, machine shop	
					products, and railroad car parts.	
Aluminum	mt	0.018	0.5	0.009		
Copper	mt	0.018	0.5	0.009	Includes rolled and drawn copper and alloy castings.	
Zinc	mt	0.024	0.5	0.012		
Coal <u>d</u> / (Bituminous)	mt	2.544	0.5	1.272	Includes anthracite and bituminous coal, natural and manufactured gases, and coke. All have been con- verted to equivalent mt of bitumi- nous coal.	
Electric Power	kwh	1.720.0	0.5	860		
POL (Fuel Oil) e/	mt	0.410	0.5	0,205		
Rubber	mt	0.0036	0.5	0.0018	•	
Mineral Wool	mt	0.062	0.5	0.031		
Arbestos	mt	0.002	0.5	0.001		
Lumber	bd ft	880	0.5	440	Includes products from sawmills and planing mills.	
Plywood	sq ft	3,060	0.5	1,530	3/8-inch-thick sheets.	
Unallocated	percentage of value	16.1	none	16.1	Represents percentage of total invest- ment of industry which cannot be allocated to particular industries.	
Antifriction Bearings f/	number	12.6	0.5	6.3	Represents equivalent bearings of standard unit size.	
Capital Equipment (Not Elsewhere Counted)	mt raw steel	0.098	0.5	0.049	. Represents raw steel input contained in the following items: cutting tools, hand tools, and hand saws and blades.	

a. A constant correction factor of 0.5 has been used to convert from a 4-axle freight car to a 2-axle rolling stock unit.
b. For the purposes of this estimate, it has been assumed that the USSR uses 1-1/2 times as much labor per unit of production as does the US. This assumption is based on the fact that reports indicate that the labor force in the USSR is less skilled and generally less efficient in its work organization.
c. The various items in this list have been converted to raw steel. The factors used were: rolled steel, 1.39; forged steel, 1.76; and castings, 1.08.
d. All items in this table have been converted to equivalent tons of bituminous coal. Btu values of the items were taken as follows: anthracite coal, 15,100 btu per lb; bituminous coal, 14,400 btu per lb; coke, 11,600 btu per lb; matural gas, 1,150 btu per cu ft; manufactured gas, 600 btu per cu ft.
e. A conversion factor of 336 lbs per barrel was used to obtain the result in mt.
f. The number of antifriction bearings indicated here is the number of equivalent bearings of a standard size of 1 lb and a standard value of \$1.50.

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