

OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE
WASHINGTON, D. C. 20301



COMPTROLLER

(Security Policy)

5 10/77

Mr. Michael J. Malanick
Acting Deputy Director for Administration
Central Intelligence Agency
Washington, D. C. 20505

Dear Mr. Malanick:

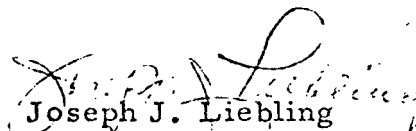
In response to a September 29, 1977 request from of your office, enclosed are copies of Special Guidelines for Downgrading or Declassifying Department of Defense (DoD) World War II (pre-January 1946) Records and Special Guidelines for Downgrading or Declassifying DoD Records Dated or Produced From January 1, 1946 through June 30, 1950.

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Your Agency is authorized to apply the above-mentioned guidelines to those official DoD classified records in your custody and which are under the exclusive or final classification authority of this Department. As indicated above, these guidelines pertain only to DoD records originated prior to 1 July 1950.

Declassification of information under these guidelines is not authorization for public release. Any DoD originated information, which is intended for public release after declassification, should be cleared by the Director of Freedom of Information and Security Review, Office of the Assistant Secretary of Defense (Public Affairs).

Sincerely,


Joseph J. Liebling

Deputy Assistant Secretary of Defense

Enclosures - 2



APR 12 1974

DEPARTMENT OF DEFENSE

Special Guidelines for Downgrading or Declassifying
Department of Defense Records Dated or Produced
From January 1, 1946 through June 30, 1950

Concerning classified documents and other materials which are dated or which were produced from January 1, 1946 through June 30, 1950 and contain information over which the Department of Defense exercises exclusive or final original classification authority, the following special rules shall apply:

1. All classified documents or other material above described are hereby declassified, except those which after review, are determined to contain information described in subparagraphs a through k below:

a. Information originated by or clearly attributed to foreign governments, their agencies, international organizations and groups (including the Combined Chiefs of Staff) or to U.S. Government agencies other than DoD components. This does not include DoD classified information furnished to such entities or information contained in documents or other material captured from an enemy during wartime.

b. Information concerning communications intelligence or cryptography, or their related activities. This includes information concerning or revealing the processes, techniques, technical material, operation or scope of communications intelligence, cryptography and cryptographic security. It also includes information concerning special cryptographic equipment, certain special communications systems designated by the department or agency concerned, and the communications portion of cover and deception plans. Unless the foregoing information is revealed, it does not include such passive measures as physical security, transmission security and electronic security.

c. Information concerning electronic intelligence and electronic warfare support measures, or their related activities. This includes:

(1) Information concerning or revealing the nicknames/nomenclatures, functions, technical characteristics, or descriptions of foreign noncommunications electronic equipment currently in use, their employment/deployment, and their association with weapons systems or military operations.

(2) Information concerning or revealing the process, techniques, operations or scope of activities involved in acquiring, analyzing and evaluating the above information, as well as the degree of success obtained therein.

d. Restricted Data which is currently defined by the Atomic Energy Act of 1954, as amended, as information concerning:

- (1) Design, manufacture or utilization of atomic weapons;
- (2) the production of special nuclear material; or
- (3) the use of special nuclear material in the production of energy, but not to include information declassified or removed from the Restricted Data category pursuant to Section 142 of the Atomic Energy Act.

e. Formerly Restricted Data which is defined as information removed from the Restricted Data category upon determination jointly by the Atomic Energy Commission and Department of Defense that such information relates primarily to the military utilization of atomic weapons, and that such information can be adequately safeguarded as national security information.

f. Nuclear propulsion information or information concerning the establishment, operation, and support of the U.S. Atomic Energy Detection System, unless otherwise specified by the pertinent AEC-DoD classification guide.

g. Information which would adversely affect the conduct of present day and future U.S. foreign policy; plans (whether executed or not) and programs relating to current international security affairs.

h. Information concerning or revealing escape, evasion, cover, or deception plans, procedures, and techniques, or operations related thereto which requires protection currently.

i. Information which reveals sources or methods of intelligence or counterintelligence activities or methods of special operations requiring protection currently. Unless the foregoing information is revealed, this does not include intelligence estimates, plans (whether executed or not), directives and reports which may be a part of operational plans or combat operations orders.

j. Information concerning naval systems and equipments in the following categories which are in current use or projected for future use or design:

1/ By CNO (OP-009D3) memorandum of 15 February 1974, the Department of the Navy advised the Office of the Assistant Secretary of Defense (Comptroller) that with the exception of certain mine warfare information, all information pertaining to naval ship's systems and equipments dated prior to 1 January 1946 has been declassified.

(1) Operational characteristics of surface combatant ships commissioned on or after January 1, 1946 or modernized after that date regardless of date of commission as follows:

(a) Speeds associated with specific tactical situations if such speeds are currently in use.

(b) Vulnerabilities as they relate to the side and bottom protective systems.

(c) Endurance

(d) Ship silencing information which would permit a possible enemy to positively identify specific ships.

(e) Tactical characteristics (e.g. times for ship turning; zero to maximum speed; maximum to zero, etc.).

(2) Information uniquely applicable to nuclear powered submarines.

(3) Operational characteristics of diesel submarines still in the operational fleet (e.g. maneuverability; submerged speed; operating depth; endurance; signature characteristics including silencing techniques; protective systems; and special operational assignments).

(4) Sound Surveillance Systems (SOSUS - Project Jezebel or Project Caesar).

(5) Mines, mine sweeping and mine countermeasures.

(6) Electronic warfare information of any category originally classified Top Secret and has not been downgraded.

k. Operational plans for the offensive employment of Chemical and Biological Warfare to include specific identification of agents and munitions.

2. Though exempted from blanket declassification under the provisions of 1, above, all documents or other material containing information described in subparagraphs l. h through k, above, then classified Top Secret or Secret which are dated or were produced from January 1, 1946 through June 30, 1950 and over which the Department of Defense exercises exclusive or final original classification authority are hereby downgraded to Confidential.

3. All information over which the Department of Defense exercises exclusive or final original classification authority and which is categorized under 1. a through g, above, as being exempted from the blanket declassification hereby ordered, shall nevertheless be declassified if, upon review by the DoD department, agency or office currently having original classification authority over it, a determination is made that such information no longer requires any degree of security classification. In this connection, information categorized under subparagraphs 1. a through g, above, over which the Department of Defense does not exercise exclusive or final original classification authority should, whenever possible without undue interference with day to day operations, be referred for review and possible declassification to agencies or activities, foreign or U.S., having such authority.

4. Information or material over which the Department of Defense exercises exclusive or final original classification authority, exempted from blanket declassification under subparagraphs 1. a through g, above, and not already declassified after review under paragraph 3, above, shall, if it is already 30 years old or has less than 6 months remaining before it will become 30 years old, be reviewed to determine whether it requires continued protection under Section 5(E)(1) of Executive Order 11652. If so, it shall be listed and referred through established channels to the Secretary of Defense or the Secretary of the appropriate Military Department depending upon which head of Department has current security classification jurisdiction over it. This listing shall (1) identify the document or material involved, including its date of origin and field of interest, (2) recommend continued classification beyond 30 years to a specific future event which is certain to happen, or for a fixed period of time to terminate on December 31 of a given future year, and (3) state that the reason for the recommended continued classification is that earlier disclosure would place an identified or identifiable person in immediate jeopardy, or that, because of reasons which are stated, continued classification is essential to the national security.

5. The provisions of Section 4, Chapter IV of DoD Regulation 5200.1-R shall govern the remarking of all documents and other materials determined under these guidelines to be downgraded or declassified. These guidelines are effective immediately.

A. P. Clement

DEPARTMENT OF DEFENSE

MAY 3 1972

Special Guidelines for Downgrading or Declassifying
Department of Defense World War II (pre-January 1, 1946) Records

Concerning classified documents and other materials which are dated or which were produced prior to January 1, 1946 and contain information over which the Department of Defense exercises exclusive or final original classification authority, the following special rules shall apply:

1. All classified documents or other material above described are hereby declassified, except those which, after review, are determined to contain information described in subparagraphs a. through i. below:

a. Information originated by or clearly attributed to foreign governments or their agencies or to international organizations and groups, including the Combined Chiefs of Staff. This does not include U.S. classified information furnished to such entities or information contained in documents or other material captured from an enemy during wartime.

b. Information concerning communications intelligence or cryptography, or their related activities.

(1) This includes information concerning or revealing the processes, techniques, technical material, operation or scope of communications intelligence, cryptography and cryptographic security.

It also includes information concerning special cryptographic equipment, certain special communications systems designated by the department or agency concerned, and the communications portion of cover and deception plans.

(2) However, provided the material does not reveal the foregoing information, this does not include radar intelligence or electronic intelligence, or such passive measures as physical security, transmission security, and electronic security.

c. Restricted Data which is currently defined by the Atomic Energy Act of 1954, as amended, as information concerning:

- (1) design, manufacture or utilization of atomic weapons;
- (2) the production of special nuclear material; or
- (3) the use of special nuclear material in the production of energy, but not to include information declassified or removed from the Restricted Data category pursuant to Section 142 of the Atomic Energy Act.

d. Formerly Restricted Data which is defined as information removed from the Restricted Data category upon determination jointly by the Atomic Energy Commission and Department of Defense that such information relates primarily to the military utilization of atomic weapons, and that such information can be adequately safeguarded as classified defense information.

e. Nuclear propulsion information or information concerning the establishment, operation, and support of the U.S. Atomic Energy Detection System, unless otherwise specified by the pertinent AEC-DoD classification guide.

f. Information concerning or revealing escape, evasion cover, or deception plans, procedures, and techniques, or operations related thereto which requires protection currently.

g. Information which reveals sources or methods of intelligence or counterintelligence activities or methods of special operations requiring protection currently. However, provided the material does not reveal the foregoing information, this does not include intelligence estimates, plans (whether executed or not), directives and reports which may be a part of operational plans or combat operations orders.

h. Technical information concerning Naval systems and equipments in the following categories to be designated by the Department of the Navy as being in current use or projected for future use or design and which reveals the capabilities, limitations, or vulnerabilities of such systems or equipments and thus could be exploited to counter, render ineffective, neutralize or destroy them:

- (1) Design characteristics of combatant ships (fighting ships of the line)
- (2) Combatant ship armor, protective and damage control systems, and war damage reports.

(3) Mines, mine sweeping, and mine countermeasures.

(4) Electronic equipment and componentry listed as classified in current editions of the Military Standardization Handbook, Security Classification and Cognizant Activity of Electronic Equipment (MIL-HBK 140).

i. Information which would adversely affect the conduct of present day and future U.S. foreign policy and plans (whether executed or not) and programs relating to current international security affairs.

2. Though exempted from blanket declassification under the provisions of 1, above, all documents or other material containing information described in subparagraphs 1. f. through i., above, then classified Top Secret or Secret which are dated or were produced prior to 1 January 1946 and over which the Department of Defense exercises exclusive or final original classification authority are hereby downgraded to Confidential.

3. All information over which the Department of Defense exercises exclusive or final original classification authority and which is categorized under 1. a. through i., above, as being exempted from the blanket declassification hereby ordered, shall nevertheless be declassified if, upon review by the DoD department, agency or office currently having original classification authority over it, a determination

is made that such information no longer requires any degree of security classification. In this connection, information categorized under subparagraphs 1. a. through i., above, over which the Department of Defense does not exercise exclusive or final original classification authority should, whenever possible without undue interference with day to day operations, be referred for review and possible declassification to agencies⁴ or activities, foreign or U.S., having such authority.

4. Information or material over which the Department of Defense exercises exclusive or final original classification authority, exempted from blanket declassification under subparagraphs 1. a. through 1. i., above, and not already declassified after review under paragraph 3., above, shall, if it is already 30 years old or has less than 6 months remaining before it will become 30 years old, be reviewed to determine whether it requires continued protection under Section 5(E)(1) of Executive Order 11652. If so, it shall be listed and referred through established channels to the Secretary of Defense or the Secretary of the appropriate Military Department depending upon which head of Department has current security classification jurisdiction over it. This listing shall (1) identify the document or material involved, including its date of origin and field of interest, (2) recommend continued classification beyond 30 years to a specific future event which is certain to happen, or for a fixed period of time to terminate on December 31 of a given future year, and (3) state that the reason for the recommended continued classification is that earlier disclosure

would place an identified or identifiable person in immediate jeopardy, or that, because of reasons which are stated, continued classification is essential to the national security.

5. The provisions of Section IV., DoD Directive 5200.9 shall govern the remarking of all documents and other materials determined under these guidelines to be downgraded or declassified.

These guidelines are effective immediately.

Kenneth Rush

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Remarks: Attached is the latest revision of internal handling procedures on declassification. Note that the "alternative procedures" (when documents are sent to outsiders for review coordination) has not been changed---only the standard procedures which comprised the first 3 pages of the package you received. For your information a copy of the revised Archives box "Contents" label (label applied by RRB after review is complete) is attached, plus the current Form 4023A we are using in our review (printed versions are not yet in from Printing Services and we are using Xerox's).			
FOLD HERE TO RETURN TO SENDER			
FROM: NAME, ADDRESS AND PHONE NO.			DATE
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