

ROUTE TO	ACTION	SIGNATURE (Grade and Surname)	ORIGINATOR (Symbol)	TELEPHONE NO	DATE
1	VP-A	Coord	DT-3A	[]	4 JUN 1981
2	RCC	Coord			
3	GC	Coord			
4	RTS	Coord			
5	CRB	Approve			
			ACTION OFFICER		
			SIGNATURE		
			[]		
			TYPED GRADE AND SURNAME		
			[]		
			TYPIST'S INITIALS		
			dsd		

SG1J

SUBJECT
 Amendment to FY 81 S&T Project: Novel Intelligence Collection Techniques (GRILL FLAME) (U) SG1J

REMARKS

- (U) PURPOSE: To obtain approval for the amended Statement of Work (Encl 1).
- (U) BACKGROUND: The Contract Review Board approved the FY 81 S&T Project contract on 8 April 1981.
- (U) DISCUSSION:
 - (S) The purpose of the basic contract is to investigate the military applications of psychoenergetic phenomena, with emphasis on remote viewing. This amendment is added to permit the development of audio/semantic analysis techniques and to determine what is required for target acquisition.
 - (S) The funds for this amendment are furnished by the U.S. Army INSCOM to cover the Army's first year portion of a planned three year joint DIA/Army GRILL FLAME program in psychoenergetics. Funds are provided by Treasury check to DIA.
- (U) RECOMMENDATION: That the attached SOW amendment be approved and forwarded with a requisition for \$130K to DSS-W for processing.

Jack Vorona
 JACK VORONA

Assistant Vice Director for
 Scientific and Technical
 Intelligence

- 3 Enclosures
- 1. Amended SOW (S) 1 Cy
- 2. Sole Source Justification (S) 1 Cy
- 3. FY 81 S&T Project:
 Novel Intelligence
 Collection Techniques
 (GRILL FLAME) (S) 1 Cy

Classified by DIA (DT-1)
 Declassify on _____
 Review on 2 June 2001
 Extended by DIA (DT)
 Reason 2-301.c.3,5,6

SECRET

SECRET

Contract # (DIA)
DSSW MDA 903-81C-0292

AMENDMENT TO STATEMENT OF WORK

FY 81 S&T PROJECT: NOVEL INTELLIGENCE
COLLECTION TECHNIQUES (GRILL FLAME)

1. General

The Statement of Work for FY 81 S&T Project: Novel Intelligence Collection Techniques (GRILL FLAME) is amended by the addition of Tasks 2.3 and 2.4 defined below.

2.0 Specific Tasks (FY 81)

2.3 Develop audio/semantic analysis techniques to separate correct from incorrect data available from taped viewer descriptions of remote viewing sites and to provide selective editing under operational conditions.

2.4 Determine what is required for target acquisition (names, maps, coordinates, pictures, arbitrary labelings, simply the word "target", etc.).

4. Deliverables:

The contractor shall provide the following additional deliverables for tasks 2.3 and 2.4:

A new paragraph or section shall be added to the quarterly and final reports to cover the new tasks.

The Milestone Chart page will be changed as follows:

Change:

MILESTONE CHART
NOVEL INTELLIGENCE COLLECTION TECHNIQUE
FY 81 (\$230K)

2.0 Tasks for FY 81

2.1	\$125K
2.2	\$ 25K
2.3	\$ 70K
2.4	\$ 60K

Add:

6.0 Special Requirements: The COTR will insure that procedure 18, DoD Directive 5240.1-R governing experimentation on human subjects will be followed by the contractor in regards to tasks 2.3 and 2.4. Informed consent of all subjects will be obtained in writing and only in accordance with the guidelines issued by the Department of Health, Education and Welfare. These guidelines set conditions safeguarding the welfare of the subjects.

SECRET

Encl 1 to S-25-2-13

Inform Subjects

SECRET

Sole Source Justification
for
Novel Intelligence Collection Techniques
(GRILL FLAME)
with
SRI International

(S) In order to fulfill the objective of this statement of work, which is to investigate in detail certain psychoenergetic phenomena that have potential military applications, the contractor is required to have both a high degree of technical competence in the analysis and evaluation of psychoenergetic phenomena such as remote viewing and psychokinetics, and reliability and security clearances necessary to evaluate the applicability to military purposes by comparing reported phenomena to actually verifiable observed phenomena (which might be very highly classified).

(S) While there are other contractors or educational institutions available with the potential to scientifically evaluate the phenomena, the personnel involved would not have the depth of background offered by SRI nor would they possess the requisite security clearances. For another contractor to acquire both personnel and expertise would be prohibitive in time and expense to the government. Additionally, SRI, a recognized leader in this field of analysis, has performed similar work for other U.S. Government agencies and has a unique, classified data base which has commingled both government and proprietary data that is absolutely essential for the successful completion of this contract.

(S) For the above reasons, SRI International of Menlo Park, CA, is the only source for contracting for this study.



SG1J

for JACK VORONA
Assistant Vice Director for
Scientific and Technical
Intelligence

Classified by DIA/DT-1

Declassify on _____

Review on 19 MAR 2001

Extended by DIA/DT
Reason 201-C.3.506

SECRET

SECRET

JUSTIFICATION FOR SOLE SOURCE PROCUREMENT (U)

1. (S) SRI International is uniquely qualified for this sole source procurement by virtue of a combination of experience and performance.
2. (S/NOFORN) SRI International is a recognized leader in the field of psychoenergetics and has performed similar work for other U.S. Government agencies over the past few years. Previous work, under DIA contracts MDA-908-81-C-0292 and MDA-908-92-C-0034 has formed a highly meaningful data base which will greatly enhance the value and effectiveness of this new contractual effort.
3. (S) The effort involved for a new contractor to research the same level of capability and expertise that SRI currently possesses for this area would be too time consuming and uneconomical to acquire and develop. To acquaint a new contractor in this area of expertise, if he could be found, would require several years simply to achieve the present level of expertise and competence possessed by SRI International.

CLASSIFIED BY: DIR, DIA
DECL: Originating Agency's
Determination Required

NOT RELEASABLE TO FOREIGN NATIONALS

GRILL FLAME

SECRET

SECRET

Justification for Sole Source Procurement
with

SRI INTERNATIONAL
333 Ravenswood Ave.
Menlo Park, California 94025

1. (S) SRI International is uniquely qualified for this sole source procurement by virtue of a combination of experience and performance both on past efforts and on current contract, FY 81 S&T Project: Novel Intelligence Collection Techniques (GRILL FLAME).
2. (S) SRI International has a high degree of technical competence in the analysis and evaluation of audio/semantic techniques and target acquisition. It is a recognized leader in the field of psychoenergetics and has performed similar work for other U.S. Government agencies over the past few years.
3. ; Work proposed in this amendment is not severable from the existing FY 81 S&T Project: Novel Intelligence Collection Technique (GRILL FLAME) contract because work on both contracts are very similar and interrelated. Previous work, under DIA contract DNA 001-78-C-0274 and FY 81 S&T Project: Novel Intelligence Collection Technique (GRILL FLAME) has formed a highly meaningful data base which will greatly enhance the value and effectiveness of this new contractual effort.
4. (S) The effort involved for a new contractor to research the same level of capability and expertise that SRI currently possesses for this area of analysis and evaluation would be too time consuming and uneconomical to acquire and develop. To acquaint a new contractor in this area of expertise, if he could be found, would require several years simply to achieve the present level of expertise and competence possessed by SRI International. Consequently, it has been determined that to amend the basic DIA contract would be much more efficient than starting a new, separate Army contract.

JACK VORONA
Assistant Vice Director for
Scientific and Technical
Intelligence

SECRET

Encl 2 to S-

SECRET

Approved For Release 2003/09/10 : CIA-RDP96-00792R000100140058-9

19 Mar 81

STATEMENT of WORK

for

Novel Intelligence Collection Techniques

(Psychoenergetics-Threat to U.S. and Potential Applications)

Classified by DIA/DT-1

Declassify on _____

Review on 14 March 2001

Extended by DIA/DT

Reason 2-301C.3, 5, 6

Approved For Release 2003/09/10 : CIA-RDP96-00792R000100140058-9

SECRET

STATEMENT OF WORK: NOVEL INTELLIGENCE
COLLECTION TECHNIQUES (PSYCHOENERGETICS-
THREAT TO US AND POTENTIAL APPLICATIONS)

1. General

The objective of this effort is to investigate in detail certain psychoenergetic phenomena that have potential military applications. Emphasis will be on evaluating application potential and limitations of such phenomena, and to provide such knowledge as gained under this contract to appropriate DoD agencies who will evaluate the significance of foreign work, particularly as it may pose a threat to the US.

Major emphasis will be on evaluating remote viewing phenomena and in particular, targeting aspects, via coordinate or other methods. Related to this are training methods, methods of enhancing reliability and repeatability of the phenomena.

2.0 Specific Tasks (FY 81)

2.1 Evaluate threat potential of foreign remote viewing - type investigations:

2.1.1 Simulate experimental results for which there is data to assess validity of the foreign research.

2.1.2 Assess military applications potential of the foreign research (or claims), particularly where a threat to US security is possible.

2.1.3 Assess feasibility of the most significant applications and evaluate limitations.

2.1.4 Evaluate the use of remote viewing to locate target objects or people.

2.1.5 Evaluate the use of remote viewing for event detection and to locate events.

2.1.6 Evaluate the use of remote viewing to detect the presence or absence of targets.

2.1.7 Evaluate the potential of various camouflage, concealment and deception as countermeasures to remote viewing.

2.1.8 Investigate the effects of the following variables on the remote viewing phenomena. (a) accuracy. (b) degree of resolution. (c) affect on the sensory inputs (feel, smell, touch, etc.).

2.1.9 Determine potential of remote viewing to assess other types of information, such as specific S&T data; or function/purpose of facilities or activities.

2.2 It is anticipated that during the contract period there will be quick reaction tasks approval by the Grill Flame Committee, passed through the COTR and levied on the contractor. These will be of high priority and will require prompt attention.

3. Security Requirements: It is expected that a maximum of six SI/SAO billets will be required to properly support the program. If and when SRI International commences work on operational situations, it is required that all personnel connected with operational matters possess the necessary clearances.

4. Deliverables: The contractor shall provide the following:

4.1 Quarterly Reports to highlight accomplishments and resources expenditures (by specific tasks the amount of funding, equipment used, Professional/Support man hours). Detail progress on each sub-task designated for examination during the quarter will be completed by the contractor.

4.2 Draft Final Report using the same format as the Quarterly Reports but covering the entire contract period.

4.3 A Final Report based on the Draft Final Report and the comments made by the COTR.

4.4 Program progress briefing shall be of an informal nature and shall be held at the end of each quarter. The contractor shall furnish copies of informal charts, viewgraphs and other aids as may be needed. The informal briefings shall consist of a contractor presentation and informal discussion among contractor, funding agencies and other government personnel as appropriate.

4.5 Quick reaction reports as required by the COTR. They will be of limited scope and deal with subjects of especially high interest and/or critical timeliness.

4.6 All supporting material, i.e. notes, maps, tapes for this contract will be made available by the contractor to the COTR and no such material will be destroyed without written permission.

5. Delivery Schedule: The COTR will insure members of the Grill Flame Committee receive copies of all deliverables.

5.1 Quarterly Reports: Five copies to the COTR. The first report is due 100 days after the contract award date and will cover the first 90 days of the contract. Subsequent reports will be due each 90 days thereafter, i.e., 190 days after contract award, 280 days, 370 days, etc.

5.2 Draft Final Report: Five copies to the COTR within 30 days of completion of the contract. Comments will be returned to the contractor within 45 days of receipt of the draft.

5.3 Final Report: Ten copies and a camera ready master to the COTR within 45 days of receipt of the comments made on the Draft Final Report.

5.4 Program Progress Briefings: Approximately 4 per year are anticipated. Specific times and places will be established by the COTR. Some briefings will occur in the Washington, DC area and may involve more than one presentation by the contractors.

5.5 Quick Reaction Reports: Number of copies, place and time of delivery to be determined by the COTR.

5.6 Supporting Materials: Provided to the COTR when requested during the period of contract performance. All such material will be finally delivered to the COTR at the same time as Final Report. (5.3 above).

SECRET

Approved For Release 2003/09/10 : CIA-RDP96-00792R000100140058-9

MILESTONE CHART

NOVEL INTELLIGENCE COLLECTION TECHNIQUE

FY 81 (\$150K)

2.0 Tasks for FY 81

2.1 \$125K

2.2 \$ 25K

SECRET

Approved For Release 2003/09/10 : CIA-RDP96-00792R000100140058-9

GOVERNMENT FURNISHED PROPERTY/EQUIPMENT

Government furnished property or equipment to be provided to the contractor will primarily consist of reports, data, and documents which will be provided on a loan basis. Contractor access to other DIA facilities as may be necessary will be arranged by the DIA contract monitor.

INSPECTION AND ACCEPTANCE

Inspection, acceptance, and delivery of reports, will be at the Defense Intelligence Agency, ATTN: DT-1A, Washington, D.C., 20301. Acceptance will be based on a determination by the COTR with the approval of the Grill Flame Committee that the contractor is performing in accordance with the tasks specified in the Statement of Work.

TECHNICAL PROPOSALS

Requires the contractor to provide information which details his methodology, schedule, and manpower loading in meeting the contractual requirements. Such information should be submitted to DIA/DT-1A for evaluation by the Grill Flame Committee prior to negotiations.

OTHER

The classification of the contract is SI/SAO. Reports issued under this contract shall contain the following acknowledgements:

This study was supported and monitored
by the Defense Intelligence Agency of
the Department of Defense under Contract No. _____

GOVERNMENT FURNISHED DATA

Data required by the contractor will be requested in writing from the COTR. The COTR will maintain a record of all government documents transferred to the contractor and will ensure their return when the contract terminates.

CONTRACTING OFFICER'S TECHNICAL REPRESENTATIVE (COTR)

SG1
A

SG1J

The COTR will be [redacted] DIA, telephone [redacted] SRI International, 333 Ravenswood Ave., Menlo Park, California 94025.

SG1J

He is located at the contractor's facility. His point of contact in Washington will be [redacted] DIA/DT-1A, Washington, D.C. 20301

SG1A

[redacted] The COTR will be the principal interface for both the contractor and the several DoD participants which are represented by the Grill Flame Committee.

1. These reports will present a concise and factual discussion of findings and accomplishments during the period and must include appropriate references.

2. Each report will include a report summary. This summary, prominently identified, should normally not exceed a few pages. The purpose of the projects must be specified, together with a description of important equipment purchased or developed, if any, and the conclusions reached by the contractor. The most important single feature of this summary is that it must be meaningful to readers who are not specialists in the subject matter of the contract.

3. The requirement for careful preparation cannot be overemphasized as this summary will often provide the basis for decision on the continuity of the project. The contractor must recognize that his achievements are quite often surveyed by Department of Defense staff who function at a level that precludes a thorough review of detailed reports.

4. When appropriate, references should be made to more detailed sections of the report in order to guide those who may be prepared to spend the additional time required to develop a more complete and professional understanding of the accomplishments.

5. The report summary should include the following information for each major portion of the work.

- a. Problems.
- b. General methodology (e.g., literature view, laboratory experiment, survey, field study, etc.).
- c. Results
- d. Implications for further research (if any).
- e. Special comments (if any).

MIPR CONTROL PROVISIONS AND GUIDANCE

1. Funding cited may be used only for the project delineated in the attached statement of work (SOW) for Project GRILL FLAME.
2. The total MIPR estimate may not be exceeded by the purchasing office.
3. Changes in purpose, scope or desired results from those stated in the attached SOW must be approved by the COTR with the approval of the GRILL FLAME committee.
4. The COTR for this project is: [redacted] SG1J
c/o SRI International
333 Ravenswood Ave.
Menlo Park, CA 94025
[redacted] SG1
A
5. The COTR's local point of contact is: [redacted] SG1J
DIA/DT-1A
Washington, D.C. 20301
[redacted] SG1
A
6. It is desirable that the accumulation of pre-award costs be permitted so that work on task 2.1 may begin as soon as possible.

STANDARD FORM 30, JULY 1966 GENERAL SERVICES ADMINISTRATION FED. PROC. REG. (41 CFR) 1-16.101		AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		PAGE 1	OF 5
1. AMENDMENT/MODIFICATION NO. P00002		2. EFFECTIVE DATE 30SEP81	3. REQUISITION/PURCHASE REQUEST NO. 1208/5702/81	4. PROJECT NO. (If applicable)	
5. ISSUED BY Virginia Contracting Activity ATTN: RS-Q2 Washington, DC 20301		CODE HIASA7	6. ADMINISTERED BY (If other than block 5) DCASMA San Francisco 1250 Bayhill Drive San Bruno, CA 94066		CODE S0507A
7. CONTRACTOR NAME AND ADDRESS SRI International 333 Ravenswood Avenue Menlo Park, CA 94025 <small>(Street, city, county, state, and ZIP Code)</small>		CODE 03652	FACILITY CODE	8. <input type="checkbox"/> AMENDMENT OF SOLICITATION NO. _____ DATED _____ (See block 9) <input checked="" type="checkbox"/> MODIFICATION OF CONTRACT/ORDER NO. MDA903-81-C-0292 DATED 06APR81 (See block 11)	
9. THIS BLOCK APPLIES ONLY TO AMENDMENTS OF SOLICITATIONS <input type="checkbox"/> The above numbered solicitation is amended as set forth in block 12. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended, <input type="checkbox"/> is not extended. Offerors must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation, or as amended, by one of the following methods: (a) By signing and returning _____ copies of this amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE ISSUING OFFICE PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If, by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided such telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.					
10. ACCOUNTING AND APPROPRIATION DATA (If required) ACRN: AB 2112020 25-2037 P381321.03250-2572 S18128 2P29 \$189,892.00					
11. THIS BLOCK APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS (a) <input type="checkbox"/> This Change Order is issued pursuant to _____ The Changes set forth in block 12 are made to the above numbered contract/order. (b) <input type="checkbox"/> The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data, etc.) set forth in block 12. (c) <input checked="" type="checkbox"/> This Supplemental Agreement is entered into pursuant to authority of 10 U.S.C. 2304(a)(11) It modifies the above numbered contract as set forth in block 12.					
12. DESCRIPTION OF AMENDMENT/MODIFICATION A. Delete any and all references to Contract No. MDA903-81-C-0292 and substitute therefor Contract No. MDA908-81-C-0004. B. Add the following as task number 2.1.10 to the Statement of Work (Classified) dated 19MAR 81: (U) 2.1.10 Continue development and evaluation of CRV training program. C. Add task numbers 2.1 and 2.2 of the Contractor's technical proposal number ESU 81-60 (Classified), dated 23MAR81, to the Statement of Work (Classified) dated 19MAR81. These tasks shall be renumbered 2.3 and 2.4 respectively. D. Add an additional paragraph or section to the quarterly and final reports specified in paragraph C.2 of the contract to cover the tasks added by this modification. E. Add the following as paragraph H.9 of the contract: (continued)					
Except as provided herein, all terms and conditions of the document referenced in block 8, as heretofore changed, remain unchanged and in full force and effect.					
13. <input type="checkbox"/> CONTRACTOR/OFFEROR IS NOT REQUIRED TO SIGN THIS DOCUMENT <input checked="" type="checkbox"/> CONTRACTOR/OFFEROR IS REQUIRED TO SIGN THIS DOCUMENT AND RETURN <u>2</u> COPIES TO ISSUING OFFICE					
14. NAME OF CONTRACTOR/OFFEROR BY <i>Spencer Floyd</i> <small>(Signature of person authorized to sign)</small>			17. UNITED STATES OF AMERICA BY <i>Barry L. McVay</i> <small>(Signature of Contracting Officer)</small>		
15. NAME OF TITLE OF SIGNER Spencer Floyd Director, Contract Administration		APPROVED FOR RELEASE 2003/09/10 9/6/81	CIA-RDP96-00792R000100140058-9	19. DATE SIGNED 81SEP29	

H-9 USE OF HUMAN SUBJECTS (1978 Aug)

(a) The following definitions are used in this clause:

(1) At risk means that the human subject may be exposed to the possibility of harm - physical, biological, psychological, sociological, or other - as a consequence of an act or omission that goes beyond the application of those established and accepted methods or procedures which are in his best interests, or that increases ordinary risks of daily life, including the recognized risks inherent in his chosen occupation or field of service.

(2) Human Subject means any human being who, knowingly or unknowingly, is subjected to an act or omission, whether at risk or not, the object of which is to contribute to knowledge to be gained as a part of work to be performed under the scope of this contract.

(b) The Contractor, before undertaking to perform any study involving human subjects, whether at risk or not, shall insure that the following minimum conditions are complied with:

(1) The proposed study has been reviewed and approved by a committee meeting the requirements set forth in Chapter 46 of Title 45 of the Code of Federal Regulations.

(2) The number of human subjects used will be kept to the minimum number that will reasonably achieve the required results.

(3) The study must be such as to contribute significantly to scientific knowledge and have reasonable prospects of yielding important results essential to an Army research program.

(4) The study will be conducted only by persons possessing the requisite scientific qualifications. The highest degree of skill and care will be required during all stages of study of persons who conduct or assist in the study.

(5) The human subject will be informed that at any time during the course of his participation he has the right to revoke his consent and withdraw from participation without prejudice to himself.

(6) Participation by subjects will be immediately terminated if it subsequently appears that the risk to the subjects is significantly greater than anticipated at the time review and approval was granted.

(7) There shall be no greater intrusion into the privacy of the human subject than is absolutely necessary for the conduct of the study involved. Except for the submission of reports and other data required by this contract, any information obtained about human subjects as a result of their participation shall be held as confidential as the law allows.

(8) The study will be conducted so as to avoid all unnecessary physical or mental suffering or injury.

(9) No study will be conducted if there is any inherent reason to believe that death or disabling injury is likely to occur. Sufficient animal or laboratory experiments, or other evaluations, must have been completed to give assurance of acceptable risks prior to the use of human subjects.

(10) The degree of risk to be taken will never exceed that which is justified by the benefit to the subject and/or the humanitarian importance of the knowledge to be gained.

(11) A physician will be responsible for the medical care of subjects. Even if not the project leader, the physician will have authority to terminate the study at any time that he believes death, injury or harm is likely to result.

(12) Proper preparations will be made, and adequate facilities provided, to protect the subject against all foreseeable possibilities of injury, disability, or death. This includes but is not limited to hospitalization and medical treatment as may be required. In addition, all apparatus and instruments necessary to deal with likely emergency situations will be available.

(13) Human subjects will have no physical or mental conditions which will make participation more hazardous for them than it would be for normal healthy persons, unless such condition is a necessary prerequisite for the particular study involved. In any such case, the use of human subjects with such pre-existing conditions must have been specifically described and justified in the scope of the work to be performed under this contract.

(14) The scientifically qualified person conducting the study, and each member of his research team, will be prepared to terminate the subject's participation at any stage if he has reason to believe, in the exercise of the good faith, superior skill, and careful judgment required of him, that continuation is likely to result in injury, disability, or death to the human subject.

(c) The Contractor, before permitting any person to participate as a human subject, whether at risk or not, shall insure that the following minimum conditions are complied with:

(1) Legally effective informed consent will be obtained by adequate and appropriate methods in accordance with the provisions of this clause.

(2) All consent must be voluntary. It must be the knowing consent of the individual or his legally authorized representative, so situated as to be able to exercise free power of choice without there having been any use of force, fraud, deceit, duress, constraint, coercion, or lawful or improper inducement. The elements of information necessary to such consent include:

(i) A fair explanation of the procedures to be followed, and their purposes, including identification of any procedures which are experimental.

(ii) A description of any attendant discomforts or risks reasonably to be anticipated.

(continued)

(iii) A description of any benefits reasonably to be anticipated.

(iv) A disclosure of any appropriate alternative procedures that might be advantageous to the subject.

(v) An offer to answer any questions concerning the procedure.

(vi) An instruction that the subject is free to revoke his consent and to discontinue participation at any time without prejudice to himself.

(d) Exculpatory language through which the subject is made to waive, or appear to waive, any of his legal rights, including any release from liability for negligence, is prohibited.

(e) Prior consent by a subject or his legally authorized representative shall be obtained in all cases. Such consent shall be in writing whenever it is reasonably possible to do so. The consent form may be read to the subject or his legally authorized representative, but in any event he or his legally authorized representative must be given adequate opportunity to read it and to ask questions they might have. This consent form should then be signed by the subject or his legally authorized representative and by a witness not directly involved in the study. Oral consent may be used only when it has been specifically described and justified in the scope of the work to be performed under this contract or approved in writing by the contracting officer. When so authorized and used, oral consent is subject to all the same standards as apply to written consent, except that the signature of the subject or his legally authorized representative is not required.

(f) Prior to conduct of the study, the contractor shall submit for approval to the contracting officer a detailed description of the means by which informed consent will be obtained, to include any forms to be used. Upon completion of the study, the contractor will submit to the contracting officer detailed report demonstrating compliance with paragraph (c), to include copies of the written consent if such was obtained.

(g) The Contractor shall not undertake to conduct either the clinical pharmacology or clinical trials of an investigational drug unless this contract contains the clause entitled "Clinical Study of Investigational Drugs."

(h) Prisoners of war will not be used under any circumstances.

F. Add the following as paragraph H.10 of the contract:

H.10 All persons participating as human subjects, as defined by paragraph H.9(a)(2) hereof, shall be known to possess the abilities and qualities which will be observed and analyzed during the conduct of this contract.

Contract No. MDA903-81-C-0292
Modification P00002
(New Contract No. MDA908-81-C-0004)
Page 5 of 5

- G. Military security requirements in the performance of contract MDA908-81-C-0004 as modified shall be maintained in accordance with the revised DD Form 254 attached hereto. The highest classification involved in the performance of this contract as modified is TOP SECRET.

H.

SGFOIA2

CONTRACT DISTRIBUTION

PCO: DA, Defense Supply Service-Washington, Room 1D245, The Pentagon, Washington, DC 20310

Agent/Specialist Sidney M. Koss Date 22 JUN 1981

Telephone: Area Code 202 694-4577

PIIN: MDA903-81-C-0292 Modification Number _____

TO	COPY SENT	ADDRESS	NO. OF COPIES
1. Contractor	X	SRI International 333 Ravensworth Avenue Menlo Park, CA. 94025	1
2. Admin Contracting Officer	X	DCASMA San Francisco 1250 Bayhill Drive San Bruno, CA. 94066	5
3. Payment Office	X	DCASR Los Angeles P.O. Box 45011 Los Angeles, CA. 90045	1
4. Pre-Certification Office			
5. Auditor	X	Defense Contract Audit Agency 298 So. Sunnyvale Avenue, Suite 103 Sunnyvale, CA. 94086	1
6. COTR except DARPA Bureau 1001	X	[Redacted] c/o SRI International 333 Ravensworth Ave. Menlo Park, CA. 94025	1
7. Consignee		[Redacted] SG1J	
8. Other - DAR 20-401	X	[Redacted] Defense Intelligence Agency Washington, D.C. 20301	1 ✓
9. Supply Coordinators A. All Bureaus Except DARPA B. Bureau 1001 - DARPA (COMPLETE A or B)	X	1002 DARPA, Mgmt Info Sys, Architect Bldg, Rm 839 1400 Wilson Blvd, Arlington, Virginia 22209	2
10. Station Code (Cite "S" Code)	X	503744	1
11. Reporting P&P	X	DSS-W, Policy & Procedures Branch Room 1C243 The Pentagon, Washington, DC 20310	1
12. Reporting: DD 1057		DSS-W, Office Deputy Director for Acquisition Room 1E230, The Pentagon, Washington, DC 20310	
13. Bureaus: 8000 thru 8999		DSS-W, Financial Mgmt, Room 1E231, The Pentagon Washington, DC 20310	
14. System Update	X	Information Mgmt & Financial Operations Office Room 1E243, The Pentagon, Washington, DC 20310	1
15. PCO Contract File	X	DSS-W, Room 1D245, Pentagon, Washington, DC 20310	1

Blocks "X" are Mandatory Distribution

DEPARTMENT OF DEFENSE CONTRACT SECURITY CLASSIFICATION SPECIFICATION		1. THE REQUIREMENTS OF THE DOD INDUSTRIAL SECURITY MANUAL APPLY TO ALL SECURITY ASPECTS OF THIS EFFORT. THE FACILITY CLEARANCE REQUIRED IS: <u>Top Secret</u>	
2. THIS SPECIFICATION IS FOR:		3. CONTRACT NUMBER OR OTHER IDENTIFICATION NUMBER (Prime contracts must be shown for all subcontracts)	
4. DATE TO BE COMPLETED (Estimated)		5. THIS SPECIFICATION IS: (See "NOTE" below. If item b or c is "X'd", also enter date for item a)	
X	a. PRIME CONTRACT	MDA903-81-C-0292	82 Apr 05
	b. SUBCONTRACT (Use Item 15 for subcontracting beyond second tier)		
	c. REQUEST FOR BID, REQUEST FOR PROPOSAL OR REQ FOR QUOTATION		
	a. PRIME CONTRACT NUMBER		
	b. FIRST TIER SUBCONTRACT NO.		
	c. IDENTIFICATION NUMBER		
	a. ORIGINAL (Complete date in all cases)		81 Apr 06
	b. REVISED (supersedes all previous specifications)		
	c. FINAL		
6. Is this a follow-on contract? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No. If YES, complete the following:			
a. <u>DNA001-78-C-0274</u>		c. Accountability for classified material on preceding contract	
PRECEDING CONTRACT NUMBER		DATE COMPLETED	
<input type="checkbox"/> Is <input type="checkbox"/> Is not, transferred to this follow-on contract.			
7a. Name, Address & Zip Code of Prime Contractor *		b. FSC Number	c. Name, Address & Zip Code of Cognizant Security Office
SRI International 333 Ravenswood Ave Menlo Park, CA 94025		94025	DCASR Los Angeles, ATTN: Directorate of Industrial Security, 11099 S. La Cienega Blvd., Los Angeles, CA. 90045
8a. Name, Address & Zip Code of First Tier Subcontractor *		b. FSC Number	c. Name, Address & Zip Code of Cognizant Security Office
8b. Name, Address & Zip Code of Second Tier Subcontractor, or facility associated with IFB, RFP OR RFQ *		b. FSC Number	c. Name, Address & Zip Code of Cognizant Security Office
* When actual performance is at a location other than that specified, identify such other location in Item 15.			
10a. General identification of the Procurement for which this specification applies			b. DoDAAD Number of Procuring Activity identified in Item 16d.
S&T Intelligence Study/Analysis			W74V8H
c. Are there additional security requirements established in accordance with paragraph 1-114 or 1-115, ISR? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No. If YES, identify the pertinent contractual documents in Item 15.			
d. Are any elements of this contract outside the inspection responsibility of the cognizant security office? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No. If YES, explain in Item 15 and identify specific areas or elements.			
11. ACCESS REQUIREMENTS		ACCESS REQUIREMENTS (Continued)	
YES NO		YES NO	
a. Access to Classified Information Only at other contractor/Government activities.	X	j. Access to SENSITIVE COMPARTMENTED INFORMATION.	X
b. Receipt of classified documents or other material for reference only (no generation).	X	k. Access to other Special Access Program information (Specify in Item 15).	X
c. Receipt and generation of classified documents or other material.	X	l. Access to U. S. classified information outside the U. S. Panama Canal Zone, Puerto Rico, U. S. Possessions and Trust Territories.	X
d. Fabrication/Modification/Storage of classified hardware.	X	m. Defense Documentation Center or Defense Information Analysis Center Services may be requested.	X
e. Graphic arts services only.	X	n. Classified ADP processing will be involved.	X
f. Access to IPO information.	X	o. REMARKS:	
g. Access to RESTRICTED DATA.	X	DOD DIR 5200.17	
h. Access to classified COMSEC information.	X	DOD DIR 5200.1R	
i. Cryptographic Access Authorization required.	X		
12. Refer all questions pertaining to contract security classification specification to the official named below (NORMALLY, thru ACO (Item 16a); EMERGENCY, direct with written record of inquiry and response to ACO) (thru prime contractor for subcontracts).			
a. The classification guidance contained in this specification and attachments referenced herein is complete and adequate.			
b. <input type="checkbox"/> other		c. Activity name, address, Zip Code, telephone number and office symbol	
Deputy Directorate for Scientific and Technical Intelligence Defense Intelligence Agency		Defense Intelligence Agency ATTN: DT-1A Washington, D.C. 20301	
SG1J		SG1 A	
NOTE: Original Specification (Items 5b and c) is the authority for contractors to remark the regraded classified information. Such actions by contractors shall be taken in accordance with the provisions of the Industrial Security Manual.			

13a. Information pertaining to classified contracts or projects, even though such information is considered unclassified, shall not be released for public dissemination except as provided by the Industrial Security Manual (paragraph 5a and Appendix IX).

b. Proposed public releases shall be submitted for approval prior to release Direct Through (Specify):

Public Release of SCI is not Authorized.

to the Directorate For Freedom of Information and Security Review, Office of the Assistant Secretary of Defense (Public Affairs) for review in accordance with paragraph 5a of the Industrial Security Manual.
* In the case of non-DoD User Agencies, see footnote, paragraph 5a, Industrial Security Manual.

14. Security Classification Specifications for this solicitation/contract are identified below ("X" applicable box(es) and supply attachments as required). Any narrative or classification guide(s) furnished shall be annotated or have information appended to clearly and precisely identify each element of information which requires a classification. When a classification guide is utilized, that portion of the guide(s) pertaining to the specific contractual effort may be extracted and furnished the contractor. When a total guide(s) is utilized, each individual portion of the guide(s) which pertains to the contractual effort shall be clearly identified in Item 14b. The following information must be provided for each item of classified information identified in an extract or guide:

- (I) Category of classification. (II) Date or event for declassification or review for declassification, and (III) The date or event for downgrading (if applicable).

The official named in Item 12b, is responsible for furnishing the contractor copies of all guides and changes thereto that are made a part of this specification. Classified information may be attached or furnished under separate cover.

- a. A completed narrative is (1) attached, or (2) transmitted under separate cover and made a part of this specification.
- b. The following classification guide(s) is made a part of this specification and is (1) attached, or (2) transmitted under separate cover. (List guides under Item 15 or in an attachment by title, reference number and date).
- c. Service-type contract/subcontract. (Specify instructions in accordance with ISR/ISM, as appropriate).
- d. "X" only if this is a final specification and Item 6 is a "NO" answer. In response to the contractor's request dated _____ retention of the identified classified material is authorized for a period of _____.
- e. Annual review of this DD Form 254 is required. If "X'd", provide date such review is due: _____.

15. Remarks (Whenever possible, illustrate proper classification, declassification, and if applicable, downgrading instructions).
a. This contract requires access to SCI. The Defense Intelligence Agency has exclusive security responsibility for such information released to the contractor or developed under this contract. DIAM 50-5 provides the necessary guidance for physical, personnel and information security measures and is a part of the security specifications for this contract. DCASR is relieved of responsibility for all SCI material or information released to the contractor under this contract.

b. Foreign national employees of the contractor or subcontractor(s) are not authorized access to classified information resulting from or use in the performance of this Contract.

c. The Contractor shall notify the COTR in writing no later than five (5) days after receipt of the contract of the following information regarding the individuals assigned to work on this contract.

- (1) Name
- (2) Date and Place of Birth
- (3) Social Security Number

(SEE CONTINUATION SHEET)

16a. Contract Security Classification Specifications for Subcontracts issuing from this contract will be approved by the Office named in Item 16e below, or by the prime contractor, as authorized. This Contract Security Classification Specification and attachments referenced herein are approved by the User Agency Contracting Officer or his Representative named in Item 16b below.

REQUIRED DISTRIBUTION:

- Prime Contractor (Item 7a)
- Cognizant Security Office (Item 7c)
- Administrative Contracting Office (Item 16a)
- Quality Assurance Representative
- Subcontractor (Item 8a)
- Cognizant Security Office (Item 8c)
- Program/Project Manager (Item 12b)
- U. S. Activity Responsible for Overseas Security Administration

SG1J

ADDITIONAL DISTRIBUTION:

- RTS-6B
- RSS
-

b. Typed name and title of approving official

[Empty box for typed name and title]

d. Approving official's activity address and Zip Code
Defense Intelligence Agency
Washington, D.C. 20301

e. Name, address and Zip Code of Administrative Contracting Office
DCASMA San Francisco
1250 Bayhill Drive

DD Form 254 (Continued)

(4) Certification of clearance investigation and clearance, date clearance granted, and name of agency granting clearance.

c. Final classification of the information generated under this Contract is the responsibility of DIA (DT-1A). Information generated under this Contract is subject to the guidelines contained in DoD Regulation 5200.1R, dated 2 November 1978, which implements EO 12065 which became effective 1 December 1978. Technical reports classified as high as TOP SECRET SI/SAO will be provided the Contractor by DIA and other DoD activities, and other DoD contractors for use in connection with this Contract. These reports may be retained for the duration of this Contract unless no longer needed. Upon termination of this Contract, the reports shall be returned to the sender (if required) or destroyed in accordance with current security regulations. If these documents are to be retained beyond the ending date of this Contract, written justification must be forwarded to and authorization given by the Contracting Officer.

e. All copies of final documents generated by the Contractor under this Contract will be transmitted to DIA (DT-1A) for dissemination to the Grill Flame Committee. No other distribution is authorized.

f. Use of the ARFCOS system is required.