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STATEMENT BY MR-ALLEN W. DULLES DIRECTOR OF CENTRAL INTELLIGENCE to the

SENATE FOREIGN RELATIONS COMMITTEE ON 31 MAY 1960

The duty of the Central Intelligence Agency under statute and under National Security Council directives pursuant to statute, is to provide the President and the National Security Council with evaluated intelligence-relating-to our-national security.

The Agency has no policy or police functions.

In addition, however, the Agency has the duty, within policy limitations prescribed by the President and State Department, to do whatever is within its power to collect and produce the intelligence required by the policy makers in government, to deal with the dangers we face in the world today, a nuclear world.

Increasingly over the past ten years, the main target for our intelligence collection has been the U.S.S.R., its military, its economic, and its subversive potential.

The carrying out of this task has been rendered extremely difficult because the Soviet Union is a closed society.

Great areas of the U.S.S.R. are curtained off to the outside world. Their military preparations are made in secret. Their military hardware, ballistic missiles, bombers, nuclear weapons, and submarine forces, as far as physically possible, are concealed from us. They have resisted all efforts to realize mutual inspection or "open skies."



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The ordinary tools of information gathering, under these circumstances are not wholly adequate. These ordinary tools include both the normal overt means of obtaining information, and the classical covert means generally referred to as espionage. It is true that from these sources and from the many Soviet defectors who have come over to the Free World and from disaffected and disillusioned Soviet nationals, we obtain very valuable information. However, these sources and other sources developed through the application of various scientific techniques, while very helpful, did not give us the full intelligence protection this country required against the danger of preparation for surprise attack against us, from bases which might remain unknown and by weapons, the strength and power of which we might not be able adequately to evaluate. Almost equally serious had been our lack of knowledge of Soviet defense measures against our retaliatory striking power. Shackled by traditions, we were seeing the power of attack grow while the ability to secure the intelligence necessary for defense against attack was slipping, bound down in part by tradition. For example, while Soviet spy trawlers can lurk a few miles off our shores and observe us with impunity, the Soviets cry "aggression" when a plane, invisible to the naked eye, flies over itsome fifteen miles above the ground, Either, theoretically, could carry a nuclear weapon. The trawler could deal a much more serious nuclear blow than a light reconnaissance plane. But, of course, as we well know, mone would think of starting a nuclear war with either an isolated plane or ship.

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In this age of nuclear peril we, the Central Intelligence Agency, felt that a new approach was called for in the whole field of intelligence collection.

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This was the situation, when in 1954, almost six years ago, consultation was initiated on new intelligence collection techniques. We consulted with a group of highly competent technicians in and out of government. From our discussions there emerged the concept of a high-flying, high performance reconnaissance plane. In the then state of the art of aeronautics, it was confidently believed that a plane could be designed to fly unintercepted over the vitally important closed areas of the Soviet Union, where ballistic, nuclear, and other military preparations against us were being made.

We also believed, as a result of these consultations, that the art of photography could be so advanced as to make the resolution of the pictures taken, even at extreme altitudes, of very great significance. On both counts the accomplishments exceeded expectations.

While the developmental work for this project, pursuant to high ______ policy directive was in process, there came the Summit Conference ______ of July 1955.

Here, in order to relax the growing tensions resulting from the danger of surprise attack, the President advanced the "open skies" proposal. Moscow summarily rejected anything of this nature, and Soviet security measures continued to be reinforced.

Accordingly, the U-2 project was pushed forward rapidly, and about a year after the 1955 summit meeting the first operational U-2 flight over the Soviet Union took place. For almost four years the flight program has been carried forward successfully.

Speed in getting the program underway had been a top priority. We were then faced, that is in 1955-1956, with a situation where the Soviets were continuing to develop their missiles, their heavy bomber and bomber bases, and their nuclear weapons production without adequate knowledge on our part.

This was considered to be an intolerable situation; intolerable both from the viewpoint of adequate military preparation on our part to meet the menace; intolerable from the point of view of being able effectively to take countermeasures in the event of attack.

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It was recognized at the outset that this U-2 project had its risks and had a limited span of life due to improvement of counter measures; that a relatively fragile single-engine plane of the nature of the U-2 might one day have a flame-out or other malfunction in the rarified atmosphere in which it had to travel. If that resulted in a serious and prolonged loss of altitude, there was danger of failure and discovery.

To stop any enterprise of this nature because there are risks, would be, of course, in this field, to accomplish very little.

While air reconnaissance is an old and tried method of gaining______ intelligence, a peacetime operation of this particular type and on______ this scale was unique.

But I submit that we live in an age when old concepts of the limits of "permitted" techniques for acquiring information are totally outdated. They come from the horse and buggy days.

I see no reason whatever to draw an unfavorable distinction between the collection of information by reconnaissance at a high altitude in the air and espionage carried on by individuals who illegally operate directly within the territory of another-state.

In fact, the distinction, if one is to be drawn, would favor the former. The illegal espionage agents generally attempt to suborn and subvert the citizens of the countries in which they operate. High level air reconnaissance in no way disturbs the life of the people. It does not harm their property. They do not even notice it.

I believe these techniques should be universally sanctioned on a mutual basis and become an accepted and agreed part of our international arrangements.

The USSR has known a good deal about these flights for the last four years. It has studiously refrained from giving the people of the Soviet Union the knowledge they now admit they had.

With respect to the U-2-project, I am prepared to support and document these conclusions:--

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First, that this operation was one of the most valuable intelligence collection operations that any country has ever mounted at any time, and that it was vital to our national security.

Second, that the chain of command and authority for the project was clear.

Third, that every overflight was carefully planned, fully authorized, and, until May 1, 1960, effectively carried out.

Fourth, that the technical and logistic support was prompt and efficient.

Fifth, that the security which was maintained for this project over a period of more than five years has been unique.

I shall deal with these points in the inverse order in which I have presented them.

First - security. The project was run by a small, closely knit organization at headquarters and in the field. Knowledge of the operation was restricted to a minimum. Over more than five years, since the inception of the project, there has never been any damaging disclosure to interfere with the program.

The existence of the U-2 aircraft was, of course, well known, though its full capabilities, particularly the altitude and range were not disclosed. It had important weather and air sampling capabilities which were effectively used and which afforded natural cover for the project. These weather capabilities were open and publicized.

For example, as far as I know the U-2 is the first aircraft that has ever flown over the eye of a typhoon. It was used very effectively out in the Far East to learn about typhoons which cause so much damage, and we have a very extraordinary series of pictures of the U-2 looking right down at the eye of a typhoon from several miles above the top of it. Of course, the U-2 also had very valuable characteristics as a reconnaissance plane for peripheral flights.

With regard to technical and logistic support: -- from the inception of the project, CIA has called on the United States Air Force for support in the form of technical advice and assistance in those fields

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where the Air Force has the most expert knowledge. These included advice on aircraft design and procurement, operational training of ... air crews, weather, zero-medicine and communications. I may say the Air Force liberally gave all this support to us. The CIA also drew on the technical knowledge and advice of those members of the United States Intelligence Board with particular competence in the field of intelligence priorities, - targeting and the like. Each mission was carefully planned with respect to the decide highest priority requirements of the Intelligence Community. The project has been directed by a senior civilian in CIA with high competence in this area of work. He was responsible directly to me and, of course, to General Cabell. Since the inception of CIA - going back for ten years - personnel from the military services, including the Air Force, have been detailed to CIA for tours of duty. We have had as many as 8 or 9 hundred of them at one time. These personnel take their orders from CIA, not from their parent service, during their period of detail, The U-2 project, under its civilian director, drew upon both the military and civilian personnel in the Agency. They were assigned to duties in headquarters and in the field staffs which were responsible for carrying out the technical functions of the program. They were chosen in view of their particular qualifications for this particular project. Third, every overflight, from the inception of the project, and every phase of it, was carefully planned and staffed.

From time to time intelligence-requirements were reviewed, and programs of one-or-more-missions-were authorized by higher authority.

Within the authority thus granted, specific flights could then be carried out on the order of the Director of Central Intelligence, as availability and readiness of aircraft and of pilot and as weather conditions permitted.

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On the afternoon of 30 April last, after carefully considering the field report on the weather and other determining factors affecting the flight then contemplated, and after consultation with General Cabell and other qualified advisors in the Agency, and acting within existing authority to make a flight at that time, I personally gave the order to proceed with the flight of May first.

There was no laxity or uncertainty in the chain of command in obtaining the authority to act or in giving the order to proceed. With respect to the flight authorized on April 30, the same careful procedures were followed as had been followed in the many preceding successful flights.

Under the law setting up the Central Intelligence Agency, as Director, I am enjoined to protect "intelligence sources and methods from unauthorized disclosure." Naturally I recognize this Committee as an authorized body to whom disclosures can properly be made that should not be made publicly. In so doing I wish to keep within the bounds of what I believe you would agree to be in the national interest to disclose, even here.

I feel that you should share the facts which I confidently believe justified the obvious risks of this project. Such risks were recognized and evaluated at all stages of the project.

For many years, the United States Intelligence community has been directing its efforts to provide the information which would help to meet the threat of surprise attack. Every available means in the classifical intelligence field have been utilized, and over recent years these have been valuably supplemented by the highly technical electronic and other scientific means to which I have referred.

Our main emphasis in the U=2 program has been directed against five critical problems affecting our national security. These are: the Soviet bomber force, the Soviet missile program, the Soviet atomic energy program, the Soviet submarine program. These are the major

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elements constituting the Soviet Union's capability to launch a surprise attack. In addition, a major target during this program. has been the Soviet air_defense_system with which our retaliatory force would have to contend, in case of an attack-on-us and a counterattack by us. Today, the Soviet bomber force is still the main offensive long range striking force of the Soviet Union, However, the U-2 program has helped to confirm that only a greatly reduced long-range bomber. production program is continuing in the Soviet Union. It has established, however, that the Soviet Union has recently developed a new medium range bomber with supersonic capabilities. The U-2 program has covered many Soviet long-range bomberairfields, confirming estimates of the location of bases and the disposition of Soviet long=range bombers. It has also acquired data on the nuclear weapons storage facilities associated with them. Our overflights have enabled us to look periodically at the actual ground facilities involved With respect to the Soviet missile test program =- this I shall____ illustrate graphically by showing you the photograph. of these facilities, including both their IGBM and their IRBM test launching sites which could, of course, also become and may well be, operational sites. Our photography has also provided us-valuable-insight into the problem of Soviet doctrine regarding ICBM deployment. It has taught us much about the use which the Soviets are making of these sites for the training of troops in the operational-use of the short and intermediate range ballistic missiles. The program has provided valuable information on the Soviet atomic energy program. This information has been included in the estimate which we give periodically to the Joint Committee on Atomic Energy, but without referring to the actual source of our data. This has covered the production of fissionable materials, weapons development and test activities, and the location, type, and size of many stockpile sites. TOP SECRET -8-COPY. Thisydocometty consists of ...

The project has shown that, despite Mr. Khrushchev's boasts that the Soviets will soon be able to curtail the production of fissionable materials for weapons purposes, the Soviets are continuing to expand fissionable material capacity.

The Soviet nuclear testing grounds have been photographed more than once with extremely interesting results. The photography has also given us our first firm information on the magnitude and location of the USSR's domestic uranium ore and uranium processing activities, vital in estimating Soviet fissionable material production. We have located national and regional nuclear storage sites and forward storage facilities.

In general, the program has continued to give useful data on the size and rate of growth of Soviet industry.

The material obtained has been used for the correction of military maps and aeronautical charts.

Among the most important intelligence obtained is that affecting the tactics of the United States deterrent air strike force. We now have hard information about the nature, extent, and in many cases, the location of the Soviet ground-to-air missile development. We have learned much about the basic concept, magnitude, operational efficiency, deployment, and rate of development of the Soviet air defense system, including their early warning radar development.

We have obtained photographs of many scores of fighter air fields previously inadequately identified, and have photographed various fighter-types vainly attempting to intercept the U-2. All of this has proved invaluable to SAC in adjusting its plans to known elements of the opposition it would have to face.

As a result of the concrete evidence acquired by the U-2 program on a large number of targets in the Soviet Union, it has now been possible for U. S. commanders to make a more efficient and confident allocation of aircraft, crews and weapons.

U-2 photography has also made it possible to provide new and accurate information to strike crews which will make it easier for them to identify their targets and plan their navigation more precisely.

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We have obtained new and valuable info submarine deployment and the precise locat pens.	rmation with regard to tion of their submarine
In the opinion of our military, of our senior officials responsible for our national of the program have been invaluable.	. 2
The program has had other elements of Soviets less cocky about their ability to dea bring against them.	l with what we might
They have gone through four years of f knowledge since 1956 that they could be ove that their vaunted fighters were useless aga that their ground-to-air-missile capability.	rflown with impunity, ainst such flights, and
Khrushchev has never dared expose the It is only after he had boasted, and we belie been able to bring down the U-2 on May 1 by while flying at altitude, that he has allowed even an inkling of the capability which we p	eve falsely, that he had y a ground-to-air missile his own people to have
His frustrated military, many of whom less confident today than they otherwise wo	h know the facts, are far
At the_same time, in competent milita the evidence of American_capability_demon disclosure of the U-2 flights has given a ne of our own relative_strength as compared w	strated by the present w and better perspective
At this point I propose to show you son my presentation regarding the intelligence	ne photographs to support value of the project.
Now I shall present the facts with rega May 1 flight and the ensuing developments i aspects are concerned and insofar as they	insofar_as the intelligence
As to the timing of the flight, there is, for a failure.	, of course, no good time
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I have already presented the circumstances under which I assumed direct responsibility for dispatching this flight.

If this flight had been a success, we would have covered certain targets of particular significance and we would, in the normal course, have wished to analyze its results before scheduling a further mission. When it failed, it was obvious even before we received instructions that we would not try again before studying the cause and effects of failure. In either event, success or failure, after this flight we were not preparing to fly again for several weeks and until further policy guidance was received.

With respect to the timing of the flights, the President, in his speech of May 25, had this to say: "As to the timing, the question was really whether to halt the program and thus forego the gathering of important information that was essential and that was likely to be unavailable at a later date. The decision was that the program should not be halted."

"The plain truth is this:" when a nation needs intelligence activity, there is no time when vigilance can be relaxed. Incidentally, from Pearl Harbor we learned that even negotiation itself can be used to conceal preparations for a surprise attack."

I would point out, also, that if you turn off all flights for months before international meetings and then for some time after such meetings and before trips to the Soviet Union of high American officials or trips here of Soviet officials; if you also estimate that in times of tension flights should be stopped because they might increase the tension, and in times of sweetness and light they should not be run because it would disturb any "honeymoon" in our relations with the Soviet Union; if, on top of this, you take into account that in much of the Soviet Union most days of the year are automatically eliminated because of weather and cloud cover and low Arctic sun, - then you can understand the problem of timing of flights.

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I shall deal in a moment with the statements which were issued during this period of uncertainty.

The question of course arises as to what actually happened to cause this aircraft to come down deep in the heart of Russia.

Let me remind you first that the returns are not yet all in, and so our picture is not complete. However, we do have a considerable body of evidence that permits a reasonable judgment with a high degree of confidence.

Our best judgment is that it did not happen as claimed by the Soviets. That is, we believe that it was not shot down at its operating altitude of around 70,000 feet by the Russians. We believe that it was initially forced down to a much lower altitude by some as yet undetermined mechanical malfunction. At that lower altitude, it was a sitting duck for Soviet defenses, whether fighter aircraft or ground-to-air fire or missiles.

As to what happened at the lower altitude, we are not sure. The pilot may have bailed out at any time or he may have crash landed. The aircraft was equipped with a destruction device to be activated by the pilot as he leaves the aircraft. Again we do not know whether or not he attempted to do so. It should be noted, however, that no massive destruction device capable of ensuring complete destruction could be carried in this aircraft as weight limitations were critical, and every pound counted.

Thus, whether or not the destruct device was used, one might expect sizable and identifiable parts of the aircraft and its equipment to remain.

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As to the nature and cause of the suspected malfunction, we are not prepared to pass judgment. But let me remind you that this aircraft and this pilot had proven their high degree of reliability in many technically similar flights, inside and outside friendly territory. When operating as in this case, about 1200 miles within unfriendly, heavily-defended territory, there can be no cushion against malfunction.

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There has been much comment and questioning with regard to the pilot and his behavior after apprehension. Of course, we only have the Soviets' report on all of this, and we should accept it with caution.

All of the pilots engaged in this enterprise were most carefully selected. They were highly trained, highly motivated, and, as seemed right, well compensated financially. But no one in his rightmind would have accepted these risks for money alone.

Since the operational phase of the program started, the reliability record of the plane, for a craft of this character, was little short of phenomenal. It was a tribute to the high skill of the designer, the maintenance crews, and the pilots. Until the May first flight, over about a four-year period of operations, no plane had been lost over unfriendly territory in the course of many, many missions. Several were lost during the training period at home and in friendly territory abroad.

Francis Gary Powers, the pilot on the May 1 flight, is a fourth generation American citizen, born in Jenkins, Kentucky, about 31 years ago. He received a BA degree from Milligan College, Tennessee, in September 1956. Scholastically he was high average. He joined the Air Force in the fall of 1950, as a private and served in an enlisted status until November 1951, when he was discharged as a Corporal in order to enter the Aviation Cadet School to train as a pilot. He attended the Air Force Basic and Advance Pilot Training School at Greenville, Mississippi. Upon completion of this training in December 1952, he was commissioned as a Second Lieutenant.

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His first duty assignment was as an F-84 Commando Jet Pilot with the 468th Strategic Fighter Squadron at Turner Air Force Base, Georgia. He resigned his Air Force Reserve Commission under honorable conditions in May 1956. The reason for such resignation was to join the project we are discussing.

His record with the Air Force had been uniformly good. He was given a special security screening by the Air Force and also a supplemental check by the security office of the CIA.

An Air Force Major Flight Surgeon assigned to CIA who worked with the U-2 pilots during their training in the United States and continuously during their stay overseas, had this to say in regard to Francis Powers, ". . . During the period of my assignment as Flight Surgeon at Adana, I not infrequently shared a room with Mr. Powers and participated in social, flying, and mission duties with him. In my opinion Mr. Powers was outstanding among the pilots for his calmness under pressure, his precision, and his methodical approach to problems. I have flown considerably in jets with Mr. Powers. I would consider him temperate, devoted, perhaps more than usually patriotic, and a man given to thinking before speaking or acting."

It should be remembered that Powers was a pilot, navigator, a well-rounded aviator trained to handle himself under all conditions, in the air or if grounded in hostile territory. He was not trained as an "agent" as there were no foreseeable circumstances, even the present ones, where he would act as such. Furthermore, such training would have been incompatible both temperamentally and with the strenuous technical demands of his flight missions.

The pilots of these aircrafts on operational missions, and this was true in the case of Powers, received the following instructions for use if downed in a hostile area:

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First, it was their duty to ensure the destruction of the aircraft and its equipment to the greatest extent possible.

Second, on reaching the ground it was the pilot's first duty to attempt escape and evasion so as to avoid capture, or delay it as long as possible. To aid him in these purposes and for survival he was given the various items of equipment which the Soviets have publicized and which are normal and standard procedure, selected on the basis of wide experience gained in World War II and in Korea.

Third, pilots were equipped with a device for self destruction but were not given positive instructions to make use of it. In the last analysis, this ultimate decision has to be left to the individual himself.

Fourth, in the contingency of capture, pilots were instructed to delay as long as possible the revelation of damaging information,

Fifth, pilots were instructed to tell the truth if faced with a situation, as apparently faced Powers, with respect to those matters which were obviously within the knowledge of his captors as a result of what fell into their hands. In addition, if in a position where some attribution had to be given his mission, he would acknowledge that he was working for the Central Intelligence Agency. This was to make it clear that he was not working for any branch of the armed services, and that his mission was solely an intelligence mission.

These instructions were based on a careful study of our experience in the Korean war of the consequences of brain-washing and of the extent of information which could be obtained by these and other means available to the Soviets.

Whether or not in this instance the pilot complied with all of these instructions, it is hard to state today with the knowledge we have. However, a careful review of what he has said does not indicate that he has given to the Soviets any valuable information which they could not have discovered from the equipment they found upon the pilot's person or retrieved from the downed aircraft.

I would warn, of course, against putting too much belief in what-Powers may say, particularly if he is later put on trial. By that time they will have had a more thorough opportunity for a complete brainwashing operation which might well produce a mixture of truth and fiction.

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сору Сору I will now deal with the "cover story" statements which were issued following May 1.

When a plane is overdue and the fact of its takeoff and failure to return is known, some statement must be made, and quickly. Failure to do so, and, under normal conditions, to start a search for the lost plane, would in itself be a suspicious event.

Thus, when the U-2 disappeared on May first and did not return to its base within the requisite time period after its takeoff, action was required.

For many years, in fact since the inception of the operation, consideration has been given to the cover story which would be used in the case of the disappearance of a plane which might possibly be over unfriendly territory.

Because of its special characteristics, the U-2 plane-was of great interest to the U. S. weather services and to the National Advisory Committee for Aeronautics, the predecessor of NASA. NASA was very much concerned with the scientific advances which operations of these U=2s could make towards greater knowledge of the upper atmosphere and for other scientific purposes. As already indicated, U-2s have now undertaken many weather and related missions and their functions in this respect have been publicized by NASA, and this publicity has been distributed freely to the world.

It was therefore natural that NASA's operations be used to explain the presence of U-2s at various bases throughout the world, although NASA did not participate in the development of intelligence devices, nor did they participate in the planning and conduct of any intelligence missions.

Accordingly, when the May first flight was lost, an initial statement was issued on May 2nd by the Base Commandant at Adana that a U-2 aircraft, engaged in upper air studies and operating from the base was down, and oxygen difficulties had been reported. This was identified in the press as a NASA plane. A search for the plane was initiated in the remote areas of eastern Turkey.

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On May 5, early in the day by our time, Khrushchev made his claim that "an American aircraft crossed our frontier and continued its flight into the interior of our country ... and ... was shot down." At that time, Khrushchev gave no further details of significance.

Apparently as an attempt at deception, Khrushchev followed up his speech the next day by distributing photographs of a pile of junk -- according to experts, pieces of an old Soviet fighter plane -possibly for the purpose of making us think that the U-2 plane had been effectively destroyed. Since the fake wreckage was quickly identified for what it was, this particular ruse had no effect.

The NASA statement which followed the Khrushchev speech of May 5 developed somewhat further the original cover story. Also on May 5, the Department of State issued a further release which generally followed the cover story. Mr. Dillon has covered this in his testimony before this Committee on May 27.

At this time - on 5-6-May - we still did not know whether the plane or any recognizable parts of it or the pilot were in Soviet hands, or whether the pilot was dead or alive. Furthermore, then we did not know whether Khrushchev desired to blow up the incident as he later did, or put it under the rug and spare his people the knowledge that we had been overflying them.

Hence, in this situation, there seemed no reason at that time to depart from the original cover story.

These two press releases attributed to NASA were worked out in consultation between CIA and NASA and after conferring with the Department of State.

These statements did not come out of any lack of forethought or attention to their preparation or lack of coordination. The basic cover story had been developed some years ago for the exigency of a failure, and this original cover story was on May 5 modified to meet our then estimate of what was best to say in the light of what little we knew about the details of the May 1 flight failure.

Subsequently, on May 7, Khrushchev adduced evidence that he had the pilot alive, and quoted his purported statements. He also

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produced certain of the contents of the plane and later various parts of the plane itself. This clearly disclosed the true nature of the mission on which the plane was engaged.

The cover story was outflanked,

The issue then was whether to admit the incident but deny high level responsibility, or to take the course that was decided upon and clearly expressed in Secretary Herter's statement of May 9 and in the President's statement of May 11, and his address of May 25.

In Mr._Herter's appearance before this Committee, he has dealt with the statements which were issued during the period after May 6, except for the two statements involving NASA which I have covered.

I would only add that in my opinion, in the light of all the factors involved, the decision taken to assume responsibility in this particular case was the correct one. Denial, in my opinion, over the long run would have been tortuous and self defeating.

Those who took this decision knew that I was ready to assume the full measure of responsibility and to cover the project as a technical intelligence operation carried out on my own responsibility as Director of CIA. This alternative, too, was rejected because of the many elements making it hardly credible over the longer run.

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This concludes my statement respecting the intelligence aspects of the U-2 project.