

(59)

ROUTING AND RECORD SHEET

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1.3(a)(4)

TO	ROOM NO.	DATE		OFFICER'S INITIALS
		RECEIVED	FORWARDED	
1. <i>SR/2</i>	<i>12 JAN 1961</i>			<i>[Signature]</i>
2. [redacted]				
3. [redacted]				
4. <i>SR/9</i>				<i>[Signature]</i>
5.				
6.				
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destroyed rec 12/6/01 [redacted]

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INDEXED

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RID/CI 1035 L

Unit Designation

APPROVED FOR RELEASE

31 MAR 1992

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14.			
15.			
16.			
17.	<i>SR/2</i>		

FILE TITLE

FILE NUMBER (PRIMARY CODE)

TRACE REQUEST

ABSTRACT

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DOCUMENT DATE

DOCUMENT NUMBER

1.3(a)(4)

DATE MICROFILMED

30 Dec 60

DISPATCH		CLASSIFICATION	DISPATCH SYMBOL AND NO.
TO			HEADQUARTERS FILE NO.
FROM		Unknown	DATE
SUBJECT	Operational/ [redacted] Reported Provocation Attempt		30 December 1960
ACTION REQUIRED	FYI		RE: "43-3" - (CHECK "X" ONE)
REFERENCE(S)			<input checked="" type="checkbox"/> MARKED FOR INDEXING
			<input type="checkbox"/> NO INDEXING REQUIRED
			INDEXING CAN BE JUDGED BY QUALIFIED HQ. DESK ONLY

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NO
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1. On Wednesday, 21 December, a Dr. A.D. *MERRIMAN contacted the London Embassy by telephone and somewhat guardedly reported the basic elements of what appeared to be a provocation attempt during a recent business trip he had made to Moscow. The message was reported to the KUBARK Station by the Embassy, and it was agreed that London Station would check into the report.

2. [redacted] was asked if they had any information concerning Subject. After checking, they advised that they could confirm that an individual by this name had made a trip to Moscow in early December but knew nothing about him. [redacted] also advised that he had checked with the Foreign Office who also knew nothing about the individual; but in view of the fact that MERRIMAN had stated in his telephone call to the American Embassy that he had already reported the incident to the British Ambassador in Moscow at the time, the Foreign Office was most interested since they had not received any such report from Moscow as yet. [redacted] stated, however, that the Foreign Office apparently expected that the Embassy would advise them if they planned to contact MERRIMAN directly. The Foreign Office was contacted and so advised.

3. [redacted] then telephoned MERRIMAN and arranged to see him at 1500 hours at his home, 59 Streatham Close, London S.W. 16. His telephone number is STR-0411.

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4. (Colonel (Ret.) Dr.) A.D. MERRIMAN is approximately 65 years of age. He is a metallurgist (graduate of Cambridge) and formerly taught this subject in a technical university. He is also the author of an imposing-looking technical tome entitled: "A Dictionary of Metallurgy." During the war, he was chief Scientific Advisor attached to the Eighth Army. After the war, he went into private business, and now he is a "Technical Advisor" to the Steel Industry.

attempted intel provocation

5. [redacted] called upon him at his apartment. He appeared to be completely stable and sincere, and [redacted] has no doubt that he was telling the story essentially as it happened although he was, at times, vague concerning dates and times. As he will probably be returning to Moscow again in the course of his profession, he was most anxious that his identity be protected and that no action be taken which might be traceable to him.

6. He lived in Moscow for about a year after the war and has since returned on business trips "several times." This last trip was at the invitation of the Soviet "State Scientific and Technical Commission." He arrived in Moscow circa 8 December and stated that he made the usual rounds of industry and talked to various people but nothing was new. On Thursday, 15 December, (maybe Wednesday) he was the principal speaker at a Scientific and Technical Commission meeting and dinner. His talk concerned the "Thermodynamic Process" in steelmaking, and he was rather surprised at the questions raised after the talk because it was quite apparent that few, if any, of the experts there really understood what he was talking about.

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FORM 10-57 53 (40)	USE PREVIOUS EDITION. REPLACES FORMS 51-28, 51-28A AND 51-29 WHICH ARE OBSOLETE.	CLASSIFICATION	<input checked="" type="checkbox"/> CONTINUED	PAGE NO. 1
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7. A rather friendly Russian type named fnu PENKOVSKY took him in hand after the meeting and returned with him to his hotel (the Leningradskaya) along with another Britisher. The three had a drink in the bar, and the British companion left for bed. PENKOVSKY asked MERRIMAN if he had any spare cigarettes, and they proceeded to his hotel room so he could get some packs that he had in his suitcase. Once in the room, the Soviet locked the doors, turned the radio up as loud as it would go and produced from his coat pocket a folded pack of paper wrapped in cellophane. He stated that they were secret documents he wanted to be put in the hands of the American Embassy but that he could not turn them over to MERRIMAN but only to an American officer personally. He asked MERRIMAN to telephone the American Embassy and ask for an officer to come to his hotel room. MERRIMAN refused to make the call or to even touch the papers; and the Soviet, after pleading with him for a little while, put the papers away and departed. He was not angry and was around again and underfoot for the remainder of his visit and did not mention the matter again. MERRIMAN at first felt that he should advise the American Embassy about the occurrence but then felt that this, his visit to the American Embassy, might be observed by the Soviets; so he told the British Ambassador about the affair on the following day.

8. He was subsequently advised by two of his British colleagues that they had noticed that he was under close surveillance. MERRIMAN stated that he himself did not bother about this or even try to spot them as he was indifferent to it--having lived in Moscow in more difficult times.

9. Nothing else happened until Sunday at the airport when he was leaving to return to the U.K. PENKOVSKY showed up at the airport about five minutes before departure time. He called MERRIMAN aside and stated that he realized that MERRIMAN was reluctant to become involved, but it was really most important that he establish contact with someone in the American Embassy and asked if MERRIMAN could advise the Americans (presumably upon his return to the U.K.) that PENKOVSKY would be waiting by his home telephone (717184) every Sunday at 10 a.m. for the call and that all the American had to do was to call that number and he would give him further instructions. MERRIMAN was non-committal but asked PENKOVSKY where his "home" was, and PENKOVSKY stated that he lived at 11 Gorki Street. MERRIMAN stated that this was, in fact, the Office of the Scientific and Technical Commission.

10. MERRIMAN stated that there was nothing outstanding about PENKOVSKY that could be used to identify him. He was about 55 years of age, of average height, average build for a Russian, and had no outstanding features. He spoke English well but with the usual heavy Russian accent. He had a pleasant and engaging personality which made it a pleasure to be with him. PENKOVSKY attended the dinner and the speech, and he had seen him around once or twice before at official functions during the trip. He stated that he was an engineer, but MERRIMAN felt that he did not have any real knowledge of engineering other than some of the terminology.

11. MERRIMAN is, understandably, anxious that no one take advantage of the telephone number and attempt to call it because this might get him involved and prevent further trips on his part. [REDACTED] assured him that KUBARK would take no action of any kind, that his confidences would be carefully protected and that his bringing this incident to our attention was deeply appreciated. Mr. MERRIMAN has [REDACTED] name and office telephone number and stated that he would immediately advise [REDACTED] if he hears anything further from this or if, on subsequent trips, he again becomes involved with anything which might affect U.S. interests.

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