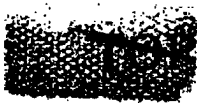


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SOVIET INTELLIGENCE AND SECURITY

RU Personnel Serving with Soviet Military Missions in West Germany (1955 - 1956)

Source: A clandestine source of established authenticity.

INTRODUCTION

1. Of the 26 personalities identified by material available from other sources as being members of the Soviet Military Missions in West Germany during 1955-56, this source has traced at least 16 in connection with affairs of the GSEFG Intelligence Directorate (Razvedyvatelnoe Upravleniye: RU). A further four officers, whose names have not appeared elsewhere, may also be RU personnel stationed with the Soviet Military Missions in FRANKFURT/Main, U.S.G. Zone; BAD SALZUFLEN, U.K. Zone; or BADEN BADEN, French Zone.

2. Evidence from source:

- establishes the subordination of some, if not all, of these officers to the RU at HQ, GSEFG;
- indicates that they participated in the procurement of information (possibly including communications intelligence) by clandestine means, as well as procurement of materiel for the RU; and
- reveals the existence of radio communications between the RU and at least one of the missions.

Information from source also shows extensive contacts between the RU and members of the Soviet office in BERLIN/POTSDAM which conducted liaison with the Allied Military Missions in East Germany. (This aspect of RU activities is not included in this report; Col. L.A. SERGEEV and Lt Col N.K. GONIGO were the senior officers in the BERLIN/POTSDAM office.) An RU officer remarked on 14 Dec 55 that Col N. Ya. DUKA was being considered for three jobs, one of them to SOKOLOV, a involving "external relations similar to what Col L.A. SERGEEV does with us", and a week later it was stated that DUKA might be assigned as liaison officer with the Western Armed Forces.

Comment: DUKA is not believed to have been reassigned, as late as April 1956, from his position as RU clandestine operations officer in BERLIN. Naval Capt First Rank A.S. SOKOLOV was believed to have served with Maj Gen P.N. CHEKMAZOV, Chief of a military intelligence element in BERLIN.

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3. Attached as Appendix A is a complete list of Military Mission personalities traced in source material, together with details of references to them.

SUBORDINATION

4. Evidence of the subordination of at least some of the members of the Soviet Military Missions to HQ, GSFG, was contained in a 2 Sep 55 telecon with the Ministry of Defense in which the participant at HQ, GSFG, pointed out that the GSFG Chief of Staff had issued an order earlier in the year concerning the replacement of Lt Col DUBEL', KOKOLIN, IGNAT'EV, and UDALOV.

Comment: Officers having these names have been identified by other sources as members of the FRANKFURT/Main Mission. The RU, according to this and other sources, was a component of the GSFG Chief of Staff's branch.

5. The subordination of members of military missions to the RU, of the GSFG Chief of Staff's branch, was pinpointed in a 19 Jan 56 telecon between the RU and the Main Intelligence Directorate in MOSCOW:

MOSCOW: I will check immediately the personal files of SVESHNIKOV, K/H/ORTOV, DOIGOV, VOLOSHIN, IVANOV, and VYBORNOV.

RU: I request you not to hold up this despatch of the personal files for all of the officers assigned to us. Up to now there are no personal files for SVESHNIKOV, K/H/ORTOV, DOIGOV, and now for VOLOSHIN, IVANOV, and VYBORNOV who have just arrived.

Later in the telecon the RU stated: "You sent Comrade KHORTOV with three children to us, and he was obliged to leave his wife with the children in POTSDAM, moving alone into the zone, because his children were not accepted in a boarding school."

Comment: Other sources identify Col G.A. SVESHNIKOV, Lt Col K.P. KHORTOV, and DOIGOV as being on the staff of the FRANKFURT/Main mission, and Sr Lt A.D. VYBORNOV on the staff of the mission at BAD SALZUFLEN. Further references to IVANOV, who like VOLOSHIN is unlocated from material currently available, are given in paragraph 7 c.

6. Two references to Lt Col DUBEL', of the FRANKFURT/Main mission, provide additional confirmation of the subordination of military mission members to the RU. On 8 Sep 55 Maj Gen A.E. CHECHENTSEV, Chief, RU, notified the Main Intelligence Directorate: "Lt Col DUBEL' is leaving on 9 September on a regular furlough. Comrade UDALOV requests that he remain at his post. In connection with this, please begin processing his return trip into the zone. Personal records are being sent to your address... We propose that Lt Col Aleksandr Afanas'evich ISAEV of GANDYBIN's be appointed Deputy Chief of the Mission. Please communicate your opinion to KUDLOV." During the course of a telecon with the Main Intelligence Directorate on 17 Sep 55, an RU officer stated: "The second [question] concerns DUBEL'; UDALOV strongly requests his retention because he has improved at the present time. It is, therefore, advisable to leave an old employee who is experienced in this work. And what is the situation concerning REPNIKOV? You have appointed KOZLOVSKII already..."

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Comment: V.L. UDALOV was a member of the FRANKFURT/Main mission and ISAEV and GANDYBIN of the BAD SALZUFLEN mission.

PROCUREMENT OF INFORMATION AND MATERIEL

7. References suggesting or confirming the participation of military mission personnel in the procurement of information and materiel on behalf of the RU are as follows:

a) Purchases in West Marks

Col V.V. MIKHAILOV (Chief, RU & W/T communications) had been instructed to have Vladimir Leont'evich UDALOV and Col M.G. BELOV (Chief, RU detachment, BERLIN) make certain purchases in West Marks, it was stated on 9/14 Nov 55.

b) The Soviet Ship 'YANA'

In a 7 Jan 56 telecon between Col MIKHAILOV and Lt Col A.F. MARYNYCHEV (W/T communications, Main Intelligence Directorate), the latter stated: "Maj KURBATOV of the British Mission Angl Missiya must hand over the documents to the Captain of the Soviet ship 'YANA'. Today the ship is at HAMBURG for repair and testing. He presumably KURBATOV will arrive at HAMBURG on the 8th and can start his assigned trip on the 9th. Therefore, Col V.V. PAREICHUK W/T communications, Main Intelligence Directorate asked that you be told to take all measures to warn Maj KURBATOV about the circumstance that has arisen and to make sure that he hands over the documents on 8 January. According to our information, the ship will come into port for a stop on the night of 7 January. Also, the captain of the ship was notified that he should receive the documents from KURBATOV. Can you forewarn KURBATOV?..."

MIKHAILOV replied: "I'm taking care of this matter. We sent out the documents yesterday. KURBATOV went out into the zone at 0500 today. I'll give him instructions right away by radio..."

Comment: Maj G.I. KURBATOV joined the Soviet Military Mission at BAD SALZUFLEN on 10 Dec 53, other sources have reported, and he remained there throughout January 1956; the itinerary of the Soviet ship 'YANA' was as follows:

3 Dec 55 - Arrived FLENSBURG to tow a floating dock
4 Dec 55 - Arrived HAMBURG
10 Dec 55 - Sailed from HAMBURG
11 Jan 56 - Arrived PLYMOUTH, England
18 Jan 56 - Sailed from PLYMOUTH
7 Feb 56 - Arrived TRINIDAD
22 Feb 56 - Arrived PANAMA
17 Mar 56 - Arrived HONOLULU
20 Mar 56 - Sailed from HONOLULU for VLADIVOSTOCK

c) Possible Communications Intelligence Activities

Two RU-Main Intelligence Directorate telecons made reference to an IVANOV. Although a common name, there is the possibility of this IVANOV being identical with the IVANOV mentioned in

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a Military Mission context in Paragraph 5 above. In the first teletoon on 12 Jan 56 between communications intelligence officers in GSGC and MOSCOW, the latter asked whether IVANOV had arrived. The GSGC officer had just returned from leave and did not know about IVANOV, so MOSCOW explained: "He left us to go to you with two [other] comrades... I won't say any more about him now; he will come and tell you everything and all will be clear to you..." The GSGC officer answered: "That is clear. We will give him every possible assistance and aid". On 25 Jan 56 the Chief of the RU Cadres Department stated: "Engineer Maj IVANOV asks to be informed whether you have selected an interpreter of the English language for his group to replace AVBEEV [probably a corruption for AVDEEV but there is no other likely trace of either name]. The group cannot work without an interpreter; we will assign him to a position as interpreter for the regiment of ... [Lt Col] RYZHIKOV [Commander, 28 Special Purpose Radio Regiment, subordinate to the RU] and send him to the mission." The Main Intelligence Directorate officer answered: "We do not have an interpreter for IVANOV at present, but if there is a possibility, select one locally and submit [his name] to us for drawing up his appointment."

d) 'Microamperometers'

An order for 40 microamperometers, type "KYU-DE" (Q.D.) manufactured by the NEUBERGER firm, was given on 27/28 Mar 56 by Col SMIRNOV to Col A.N. OVCHINNIKOV (Chief, RU detachment, BERLIN). The same order, OVCHINNIKOV said, had also been given to CHERNOUSOV.

Comment: Col S.I. CHERNOUSOV is identified by other sources as Chief of the Soviet Military Mission at FRANKFURT/Main. According to other sources, a firm making electrical measuring instruments and called the "MUNICH Sales Office" is listed in the West BERLIN telephone directory under the name Josef NEUBERGER, Suedende, Rotweilerstrasse 7a, BERLIN-STEGLITZ, Tel. No. 75-41-46.

RADIO COMMUNICATIONS

8. Confirmation of the existence of W/T contacts between the RU and the mission at BAD SALZUFLEN was provided on 7 Jan 56, when Col MIKHAILOV told MARTYNYCHEV that he would give Maj KURBATOV "instructions right away by radio" (See Para 7 (b) above). Another indication of the existence of W/T contact between the RU and the military missions was the statement by Lt Col MARTYNYCHEV to Lt Col N.M. SIREL'NIKOV (Chief, RU W/T station, SPERENBERG) on 18 Nov 55 that "the question regarding admittances and affairs at the mission" had not yet been settled. Finally, there was the fact (noted in para 7(a)) that it was MIKHAILOV who was to pass instructions to UDALOV for purchases in West Marks.

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Appendix 'A' to MFU 1035 of
22 Jul 1957

Members of Soviet Military Missions in
West Germany

<u>Surname</u>	<u>First Name & Patronymic</u>	<u>Rank</u>	<u>Location</u>	<u>Activities and Remarks</u>
X <u>BORISOV</u>	<u>? Genadii Aleksandrovich</u>	Capt	BADEN BADEN	14/15 Nov 55: A BORISOV, who could be found at the RU, required a pass to enter a building at HQ, GSPG, in order to call MOSCOW using a secure means of communication. <u>Comment: No other BORISOV has been traced in an RU context.</u>
X <u>CHERNOUSOV</u>	<u>Stepan Ivanovich</u>	Col	FRANKFURT/ Main	19 Oct 55: A CHERNOUSOV left the USSR on assignment to the RU. 27/28 Mar 56. See para 7d. 19 Jan 56: See para 5.
X <u>DOLGOV</u>	<u>A. E.</u>	Lt Col	FRANKFURT/ Main	2 Sep 55: See para 4, 8 and 11 Sep 55: see para 6.
X <u>DUBEL'</u>		Lt Col	FRANKFURT/ Main	8 Sep 55: See para 6.
X <u>GANDYBIN</u>	<u>Vladimir Ivanovich</u>	Col	BAD SALZUFLEN	2 Sep 55: See para 4, 8 Sep 55: See para 6.
X <u>IGNAT'EV</u>			FRANKFURT/ Main	8 Sep 55: See para 6.
X <u>ISAEV</u>	<u>Aleksandr Afanas'evich</u>	Lt Col	BAD SALZUFLEN and FRANKFURT/ Main	12 and 25 Jan 56: See para. 7d.
X <u>IVANOV</u>		Maj		19 Jan 56: See para 5.
X <u>KHORTOV</u>	<u>Konstantin P.</u>	Lt Col	FRANKFURT/ Main	2 Sep 55: See para 4.
X <u>KOKOLIN</u>			FRANKFURT/ Main	6 Sep 55: Lt Col STREL'NIKOV reported to the Chief of the RU detachment at ERFURT that KOZLOVSKII had telephoned to request a pass for REE'NIKOV, who was subordinate to the Chief of the detachment. 17 Sep 55: See para 6. 14/16 Mar 56: KOZLOVSKII was to enter a hospital
X <u>KOZLOVSKII</u>				9894

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Appendix 'A' (continued)

<u>Surname</u>	<u>First Name & Patronymic</u>	<u>Rank</u>	<u>Location</u>	<u>Activities and Remarks</u>
X <u>KOZLOVSKII</u>	(continued)			Comment: It is not certain that KOZLOVSKII was in a military mission in West Germany.
X <u>KUDLOV</u>				8 Sep 55: See para 6. Comment: KUDLOV may be a garble for UDALOV.
X <u>KURBATOV</u>	Grigori Ivanovich	Maj	BAD SALZUFLEN	7 Jan 56: See para 7c.
X <u>REFNIKOV</u>				6 Sep 55: See under KOZLOVSKII. 17 Sep 55: See under para 6. Comment: It is not certain that REFNIKOV, subordinate to the Chief of the RU detachment at ERFURT, was in a military mission in West Germany.
X <u>SEDOKOV</u>	Konstantin Fedorovich	Lt Col	FRANKFURT/ Main and BADEN BADEN	27 Aug 55: A personnel officer in the Main Intelligence Directorate notified the Chief of the RU Cadres Department that SEDOKOV would "leave for his place of service on 30 August." 2 Sep 55: The Chief of the RU Cadres Department stated: "I still would like to be informed as to when Col SEDOKOV left. Earlier, you said he left on the 30th. We met it yesterday, but Comrade SEDOKOV did not arrive." 8 Oct 55: Col SMIRNOV sent a message for SEDOKOV and UDALOV to remain in BERLIN that day. 9 Feb 56: Col SMIRNOV said that there would be a "man from the south, from SEDOKOV," arriving, possibly in BERLIN.
X <u>STRUCHKO</u>	Erzengi Evgenevich	Lt	FRANKFURT/ Main	27 Dec 55: The Duty Chief, RU, informed the Chief of the Personnel Directorate, Main Intelligence Directorate, that STRUCHKO had arrived "at his new place of service. Please send his personal file to us".

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Appendix 'A' (continued)

<u>Surname</u>	<u>First Name & Patronymic</u>	<u>Rank</u>	<u>Location</u>	<u>Activities and Remarks</u>
* <u>SVESHNIKOV</u>	G.A.	Col	FRANKFURT/ Main	19 Jan 56: See para 5.
✓ <u>UDALOV</u>	Vladimir Leont'evich	Col	FRANKFURT/ Main	2 Sep 55: See para 4. 8 and 17 Sep 55: See para 6. 8 Oct 55: See under SEDOKOV. 9/14 Nov 55: See para 6, d.
✓ <u>VOLOSHIN</u>	A.P.	Col	BAD SALZUFLEN	2 Sep 55: "Maj Gen [A.P.] CHECHENTSEV [Chief, RU] and Col SMIRNOV object categorically to the assignment of either AZOV or ZOLOTAREV to VOLOSHIN," declared the Chief of the RU Cadres Department. "Comrades AZOV and ZOLOTAREV have been in the Group of Forces for a short time. As yet they are not really familiar with the situation, and to transfer them to other work is not expedient." Comment: Both Col V.P. AZOV and Col K.M. ZOLOTAREV were still assigned to the RU at HQ, GSPG, and engaged in agent operations as of April 1956. 19 Jan 56: See para 5. 9 Feb 56: "I request that you give instructions for sending us the personal files of Col A.P. VOLOSHIN, Col SMIRNOV asked the Chief of the Cadres Directorate, Main Intelligence Directorate.
* <u>VYBORNOV</u>	Aleksandr Dmitrievich	Sr Lt	BAD SALZUFLEN	19 Jan 56: See para 5.

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(1) - Locations, with the exception of KURBATOV's, are provided by other sources.



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C of slight value only	Y of slight value only
D of no value	Z of no value
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